

3.5.2	Revenue generated from consultancy and corporate training during the last five years (INR in Lakhs)	15																		
Q <sub>n</sub> M	<p>3.5.2.1: Total amount generated from consultancy and corporate training year-wise during the last five years (INR in lakhs)</p> <table><tr><th>Year</th><th>2016-17</th><th>2017-18</th><th>2018-19</th><th>2019-20</th><th>2020-21</th></tr><tr><td>Consultancy (INR in lakhs)</td><td>Nil</td><td>42.29</td><td>25.54</td><td>39.33</td><td>2.24</td></tr><tr><td>Corporate training (in INR)</td><td>Nil</td><td>Nil</td><td>4.44</td><td>15.18</td><td>2.50</td></tr></table> <p>Data to be provided for the last five years: (As per Data Template)</p> <ul style="list-style-type: none"><li>Names of the consultants</li><li>Name of the consultancy project</li><li>Consulting/Sponsoring agency with contact details</li><li>Revenue generated (amount in Rupees)</li><li>Total revenue generated in Rupees</li><li>Details of Corporate training provided (Title of the training, Corporates for which training has been provided, number of participants etc.,).</li></ul> <p><b>File Description (Upload)</b></p> <ul style="list-style-type: none"><li>Audited statement/s of accounts indicating the revenue generated through consultancy. <a href="#">Annexure 3.5.2.A.</a></li><li>Any additional information. Orders and agreements are in <a href="#">Annexure 3.5.2.B.</a></li><li>Provide the List of consultants and revenue generated by them (Data Template)</li></ul>	Year	2016-17	2017-18	2018-19	2019-20	2020-21	Consultancy (INR in lakhs)	Nil	42.29	25.54	39.33	2.24	Corporate training (in INR)	Nil	Nil	4.44	15.18	2.50	
Year	2016-17	2017-18	2018-19	2019-20	2020-21															
Consultancy (INR in lakhs)	Nil	42.29	25.54	39.33	2.24															
Corporate training (in INR)	Nil	Nil	4.44	15.18	2.50															

#### DVV requirement

#### Documents Needed

Audited statements of accounts indicating the revenue generated through consultancy and corporate training. [Annexure 3.5.2.A.](#) List of participants can be provided on demand.

- Letter from the corporate where training was imparted along with the fee paid. [Annexure 3.5.2.B](#)
- CA certified copy of statement of accounts as attested by head of the institution. [Annexure 3.5.2.A.](#)
- Letter from the beneficiary of the consultancy along with details of the consultancy fee. [Annexure 3.5.2.B](#)

#### Specific instruction to HEI:

Amount generated through consultancy and corporate training will be considered here.

**Avoid the following while uploading data:** Grants received for research projects are not to be included.

Consultancy fee from any sister institution/same trust will not be considered. The revenue generated by sharing the physical resources / by testing will not to be considered. Revenue generated by training the students should not be included.

#### Data Template

##### Revenue generated from consultancy during the last five years

Name of the teacher consultants	Name of consultancy project	Consulting/Sponsoring agency with contact details	Year	Revenue generated (INR in Lakhs)
Arun Kansal, Department of Regional Water Studies	Preparation of an Assessment report of Water Study Higher Education Institutions in India	International Centre for Integrated Mountain Development (ICIMOD)	2017-18	1.62
Leena Srivastava, Department of Policy Studies	Feasibility Study for Climate Protection by Financial Instruments in India in Collaboration with One Climate Club	One Climate Club	2017-18	10.71

Leena Srivastava, Department of Policy Studies	Develop a proof of concept for relating pollutant emissions to mass heavy duty vehicular movement	National Security Council Secretariat	2017-18	8.99
Atul Kumar, Department of Energy & Environment	Share Experiences between India and Norway on GHG Emission Inventory	Ministry of Climate and Environment, Norway	2017-18	10.24
Leena Srivastava, Department of Policy Studies	Preparation of Two technical Papers on Linkages between Energy and SDG's for discussion during "2018 High Level Political Forum"	UNDESA (DSD)	2017-18	10.24
Leena Srivastava, Department of Policy Studies	Review undertaken for Global Challenges Annual Report	Global Challenges Foundation	2017-18	0.50
		<b>Total</b>	2017-18	42.29
Manish Kumar Srivastava, Department of Energy & Environment	Developing Country Participation in addressing Climate Change : Research on policy instruments for achievement of India's NDC's and Strengthening Non-state Climate Action in the Global South	TERI	2018-19	3.74
Arun Kansal, Department of Regional Water Studies	Mahindra TERI Centre of Excellence	TERI	2018-19	3.75
Arun Kansal, Department of Regional Water Studies	Energy Audit and Pre-Feasibility Study for Namangan City Suvokova (1256781), Uzbekistan	TERI	2018-19	0.81
Arun Kansal, Department of Regional Water Studies	Preparation of an Assessment report of Water Study Higher Education Institutions in India	International Centre for Integrated Mountain Development (ICIMOD)	2018-19	1.62
Arun Kansal, Department of Regional Water Studies	Scoring of Innovations and Best Practices Under Swachh Survekshan 2019	Karvy Management Pvt. Ltd.	2018-19	9.17
Ritika Mahajan, Department of Business Sustainability	"Sustainable Business Models" - Strengthening online and offline SCP training capacity in tertiary institutions in Asia and the Pacific.	Hazardous Substance Management (HSM), Chulalongkorn University	2018-19	5.22
Vinay Shankar Prasad Sinha, Department of Natural Resources	Development of Flood Warning System for Guwahati and Jorhat Town	TERI	2018-19	1.23
		<b>Total</b>	2018-19	25.54

Shaleen Singhal, Department of Energy & Environment	Consultant on impact analysis on Sustainable Consumption and Production (SCP)	UNESCAP	2019-20	2.21
Leena Srivastava, Department of Policy Studies	Global Conference on Synergies between the Paris Agreement and the 2030 Agenda for Sustainable Development : Linking Implementation across SDGs and NDCs	UNDESA	2019-20	13.94
Anjal Prakash, Department of Regional Water Studies	Preparation of chapter on vulnerability and adaptation assessment for kingdom of bhutan's third national communication	ChhimiD Consulting	2019-20	2.06
Anjal Prakash, Department of Regional Water Studies	TROSA Mid Term Review - Nepal	OXFAM	2019-20	1.45
Manish Kumar Srivastava, Department of Energy & Environment	Developing Country Participation in Addressing Climate Change : Research on policy instruments for achievement of India's NDC's in short "NFA" & Strengthening Non-State Climate Action in Global South	TERI	2019-20	1.64
Arun Kansal, Department of Regional Water Studies	Mahindra TERI Centre of Excellence	TERI	2019-20	3.75
Arun Kansal, Department of Regional Water Studies	Energy Audit and Pre-Feasibility Study for Namangan City Suvokova (1256781), Uzbekistan	TERI	2019-20	1.22
Vinay Kumar Sinha, Department of Natural Resources	Development of Flood Warning System for Guwahati and Jorhat Town	TERI	2019-20	4.92
Atul Kumar, Department of Energy & Environment	Options for Cooling Load Reduction in Automobile Cabin	Garware Polyester Limited	2019-20	8.14
		<b>Total</b>	2019-20	39.33
Som Mondal, Department of Energy and Environment	Solar dryer based self-employment model for rural tribal communities, women and differently-abled persons	TERI	2020-21	2.00
Aviruch Bhatia, Department of Energy and Environment	Vetting of Illuminance Design Simulation for a Football Field	Dhupar Brothers Trading Pvt. Ltd.	2020-21	0.24
		<b>Total</b>	2020-21	2.24

**Revenue generated from corporate training during the last five years**

<b>Names of the teacher-consultants/ corporate trainers</b>	<b>Title of the corporate training program</b>	<b>Agency seeking training with contact details</b>	<b>Year</b>	<b>Revenue generated (amount in rupees)</b>	<b>Number of trainees</b>
Sapna Arora Narula, Department of Business Sustainability	Reporting Sustainability in Business 2018	Indian Oil Corporation Limited	2018-19	1,80,000	9
Sapna Arora Narula, Department of Business Sustainability	Reporting Sustainability in Business 2018	Bharat Petroleum Corporation Limited	2018-19	1,60,000	10
Sapan Thapar, Department of Energy & Environment	Certificate Programme on Financing Renewable Energy Projects	PTC India Financial Services Limited	2018-19	40,000	5
Sapan Thapar, Department of Energy & Environment	Certificate Programme on Financing Renewable Energy Projects	Avaada Energy Private Limited	2018-19	8,000	1
Sapan Thapar, Department of Energy & Environment	Certificate Programme on Financing Renewable Energy Projects	NTPC Limited	2018-19	16,000	2
Sapan Thapar, Department of Energy & Environment	Certificate Programme on Financing Renewable Energy Projects	PTC India Limited	2018-19	8,000	1
Sapan Thapar, Department of Energy & Environment	Certificate Programme on Financing Renewable Energy Projects	BSES Rajdhani Power Limited	2018-19	16,000	2
Sapan Thapar, Department of Energy & Environment	Certificate Programme on Financing Renewable Energy Projects	Solar Energy Corporation of India Limited	2018-19	16,000	2
		<b>Total</b>	<b>2018-19</b>	<b>4,44,000</b>	
Jai Kanwar Garg, Department of Natural Resources	International Conference on LCLUC and Forestry	Miscellaneous	2019-20	3,47,710	100
Nandan Nawn, Department of Policy Studies	Training programme for 2018 batch of Officers trainees (Ots) of the Indian Economic Service (IES)	Ministry Of Finance, Department Of Economic Affairs	2019-20	2,56,368	10
Fawzia Tarranum, Department of Regional Water Studies	Redefining Urban Water Space	Development Of Humane Action Foundation	2019-20	2,25,431	75
Sapna Arora Narula, Department of Business Sustainability	Reporting Sustainability in Business 2019-20	Indian Oil Corporation Limited	2019-20	4,00,000	20
Gopal Krishna Sarangi, Department of Policy Studies	Global MDP Summit	Columbia University	2019-20	2,88,159	11
		<b>Total</b>	<b>2019-20</b>	<b>15,17,668</b>	
Atul Kumar, Som Mondal, Avruch Bhatia, Department of Energy and Environment	Management Development Programme on Sustainable Energy and Environment Transition	Jamshedpur Management Association	2020-21	2,50,000	22
		<b>Total</b>	<b>2020-21</b>	<b>2,50,000</b>	



**TO WHOM SO EVER IT MAY CONCERN**

On the basis of documents and records produced before me, this is to certify the following:

1. TERI SAS has generated revenue from Consultancy and Corporate Training during the last five years(INR in Lakhs)

Year	2016-17	2017-18	2018-19	2019-20	2020-21
<b>Consultancy Revenue (INR in lakhs)</b>	Nil	42.29	25.54	39.33	2.24
<b>Corporate Training Revenue (INR in lakhs)</b>	Nil	Nil	4.44	15.18	2.50

2. These figures are included in Schedule 3 and Schedule 4 of the Annual Audited Statement of Accounts.

**For MS Negi & Associates**  
(Chartered Accountants)  
FRN No. 032560N

Madan Singh Negi  
Prop.  
Membership No. 537833

Place: New Delhi  
Date: 07<sup>th</sup> December 2021  
UDIN: 21537833AAAACF8602



Dhanraj Singh  
Deputy Finance and  
Project Management Officer  
TERI School of Advanced Studies

**Dhanraj Singh**  
Deputy Finance & Project Management Officer  
TERI School of Advanced Studies  
10, Institutional Area, Vasant Kunj  
New Delhi-110070

## 3.5.2.A.

<b>TERI UNIVERSITY</b> <b>New Delhi</b> <b>Income &amp; Expenditure Account for the year ended on March 31, 2017</b>			
		(Amount in INR)	
	Schedule	As on 31.3.2017	As on 31.3.2016
<b>Income</b>			
Academic Receipts	4 (a)	92,421,972	81,078,473
Interest Receipts	4 (b)	17,248,215	17,476,048
Others Receipts	4 (c)	44,959,302	40,038,140
Receipts from Completed Research Projects		46,577,868	57,147,953
<b>Total Income</b>		<b>201,207,157</b>	<b>195,740,614</b>
<b>Expenditure</b>			
Salary	5	116,264,712	82,001,873
Academic Course Expenses	6	20,976,710	17,801,028
Office Contingencies & Miscellaneous	7	57,351,107	69,660,106
Expenditure of Completed Research Projects		19,473,777	17,573,755
Depreciation		3,416,989	1,964,326
<b>Total Expenditure</b>		<b>217,483,295</b>	<b>189,001,088</b>
<b>Excess of Receipts over Expenditure (Surplus)</b>		<b>16,276,138</b>	<b>6,739,526</b>
Balance available for Appropriation		16,276,138	6,739,526
Less : Transferred in to Reserve & Surplus Account		16,276,138	6,739,526
Surplus/Deficit brought forward		70,986,375	64,246,849
Surplus/ Deficit Carried over to Income & Expenditure		54,710,237	70,986,375
Significant Accounting Policies and Notes to the Accounts:	8		
Schedule 1 to 8 form an integral part of the Accounts			
This is the balance sheet referred to in our report of even date			
For Sanjay Rastogi & Associates			
Chartered Accountants			
(FRN : 014056N)			
Sanjay Rastogi			
Proprietor			
(Membership No. 075033)			
Place : New Delhi			
Date : 2			
	Chancel Singh	Dr. Rajiv Seth	Dr. Leena Swastika
	Finance Officer	Pro-Vice Chancellor	Vice Chancellor



## 3.5.2.A.

TERI UNIVERSITY	As on 31.3.2017	As on 31.3.2016
<b>Schedule 3: Current Liabilities</b>		
Sundry Creditors	12,966,646	13,776,367
Loan against FDR - Short Term	36,000,000	-
Amount Payable to Students/OSF Students	703,641	16,210
Amount Payable to Staff	-	26,000
Project Contribution Received in Advance	122,117,177	99,923,464
Amount Payable to State Bank of India & HDFC Bank Limited - Credit Card	279,595	120,148
Security Deposit Received from Suppliers	15,000	115,000
Audit Fees Payable	71,500	71,500
Interest Payable on Loan against FDR	1,796,456	-
Group Insurance Claim Payable	38,927	-
Stale & Cancelled Cheques - (Dr / Cr)	(118,343)	4,926
Unutilised Contingent Grants - CSIR	84,844	79,178
Unutilised Contingent Grant - DBT	205,588	206,350
Unutilised Contingent Grant - UGC	97,914	97,914
Unutilised Contingent Grant - DST	68,450	48,450
Grant Recoverable from DST (Inspire) for Students	59,800	151,840
Grant received from UGC for Students	15,000	16,000
Grant received from DST for Students	-	130,000
Grant received for Manish Manjunath Fellowship	7,400	10,000
Misc Fellowship Received	529,900	-
Amount transferred from Previous Employer for Retirement Benefits	1,273,429	1,273,429
Outstanding Expenses	2,212,292	1,884,183
Provision - Faculty/Student Development Programme	471,049	-
Student Activities Fund	400,000	550,000
Salary Payable	70,570	56,893
Advance Money Received for Field Work - Dr. Sapna Narula	254,200	-
Received from University of Guelph for PhD Student	237,281	237,281
Duties & Taxes Payable	1,685,330	1,210,340
Security Deposit Refundable - International Tractor Limited	25,307,250	-
Security Deposit Refundable	6,096,803	5,473,803
Security Deposit from Employee - House Lease	234,000	161,000
Security Deposit Hostel Students	375,000	290,000
Course Fee Received in Advance	149,672	385,000
Course - MA (PPSD) Travelling	-	3,280,000
<b>Total</b>	<b>213,706,371</b>	<b>129,291,596</b>
<b>Schedule 4: Income Receipts</b>		
<b>A. Academic Receipts</b>		
Course fees	89,532,946	79,168,451
Sale of Application Form	2,889,026	1,910,022
	<b>92,421,972</b>	<b>81,078,473</b>
<b>B. Interest Receipts</b>		
Interest Received on Deposits	15,930,840	13,581,512
Interest Received on Reserve Bank of India Bond	-	2,380,000
Interest Received on Tax Deducted at Sources	-	75,480
Interest on Home Loan/Vehicle Loan/Education Loan	528,115	454,429
Interest - Others	110,159	110,160
Interest on Saving Bank	879,101	874,467
	<b>17,248,215</b>	<b>17,476,048</b>
<b>C. Other Receipts</b>		
Sponsorship	15,020,000	280,500
Admission Cancellation Fees	239,380	341,240
Rent Received from Rental Premises	16,871,500	-
Amount Written back	2,078,314	530,880
Miscellaneous Income	1,439,110	1,483,227
Receipts from Hostel Fees	5,426,393	5,138,071
Recoveries against Notice Pay & Leave Encashment	12,067	30,329
Receipts from Training, Seminar & Workshop	3,665,018	11,922,162
Travelling Expenses	206,420	111,760
	<b>44,959,302</b>	<b>40,038,140</b>
<b>Total</b>	<b>159,629,489</b>	<b>138,592,661</b>

**TERI SCHOOL OF ADVANCED STUDIES**  
**New Delhi**  
**Income & Expenditure Account for the year ended on March 31, 2018**

(Amount in INR)			
	Schedule	As on 31.3.2018	As on 31.3.2017
<b>Income</b>			
Academic Receipts	4 (a)	111,151,909	92,421,972
Interest Receipts	4 (b)	14,971,702	17,248,215
Others Receipts	4 (c)	68,052,540	44,959,302
Receipts from Completed Research Projects		93,802,712	46,577,668
<b>Total Income</b>		<b>287,978,863</b>	<b>201,207,157</b>
<b>Expenditure</b>			
Salary	5	144,094,576	116,264,712
Academic Course Expenses	6	17,728,353	20,978,710
Office Contingencies & Miscellaneous	7	79,252,868	57,351,107
Expenditure of Completed Research Projects		47,918,316	19,473,777
Depreciation		3,738,739	3,416,989
<b>Total Expenditure</b>		<b>292,732,852</b>	<b>217,483,295</b>
<b>Excess of Receipts over Expenditure (Surplus)</b>		<b>4,753,989</b>	<b>16,276,138</b>
Balance available for Appropriation		4,753,989	16,276,138
Less : Transferred in to Reserve & Surplus Account		4,753,989	16,276,138
Surplus/Deficit brought forward		54,710,237	70,986,375
Surplus/ Deficit Carried over to Income & Expenditure		49,956,248	54,710,237
<b>Significant Accounting Policies and Notes to the Accounts</b>	<b>8</b>		

Schedule 1 to 8 form an integral part of the Accounts

This is the balance sheet referred to in our report of even date

For **Rajan K. Gupta & Co.**  
Chartered Accountants  
(FRN : 005945C)

CA Rajan Gupta

Partner

(Membership No. 074696)

Place : New Delhi

Date :

Dhanraj Singh  
Deputy Finance &  
Project  
Management  
Officer

Capt. Pradeep Padhy

Registrar

Dr. Leena Srivastava

Vice Chancellor



TERI SCHOOL OF ADVANCED STUDIES		
	As on 31.3.2018	As on 31.3.2017
<b>Schedule 3: Current Liabilities</b>		
Sundry Creditors	21,053,956	12,966,646
Loan against FDR - Short Term	-	36,000,000
Amount Payable to Students/OSF Students	-	703,641
Amount Payable to DBT - Pratiksha Jain	278,745	-
Amount Payable to TERI - P F & VPF	8,673	-
Course Fee Unidentified	679,029	-
Project Contribution Received in Advance	104,723,488	122,117,177
Amount Payable to State Bank of India & HDFC Bank Limited - Credit Card	226,081	279,595
Security Deposit Received from Suppliers	15,000	15,000
Audit Fees Payable	71,500	71,500
Interest Payable on Loan against FDR	-	1,796,456
Group Insurance Claim Payable	74,079	38,927
Stale & Cancelled Cheques - (Dr / Cr)	244,909	(118,343)
Unutilised Contingent Grants - CSIR	116,903	84,044
Unutilised Contingent Grant - DBT	102,304	205,588
Unutilised Contingent Grant - UGC	122,914	97,914
Unutilised Contingent Grant - DST	36,998	66,450
Grant Recoverable from DST (Inspire) for Students	-	59,800
Grant received from UGC/DBT for Students	7,661	15,000
Fellowship Grant received Unclaimed for Students	1,219,603	529,900
Grant received/Advanced Paid for Manish Manjunath Fellowship	15,600.00	7,400
Grant Received from TERI for Guwahati Campus	1,699,147	-
Amount transferred from Previous Employer for Retirement Benefits	1,273,429	1,273,429
Outstanding Expenses	7,645,652	2,212,292
Provision - Faculty/Student Development Programme	868,011	471,049
Student Activities Fund	550,000	400,000
Salary Payable	94,338	70,570
Advance Money Received for Field Work - Dr. Sapna Narula	254,200	254,200
Received from University of Guelph for PhD Student	237,281	237,281
Duties & Taxes Payable	3,972,084	1,685,330
Security Deposit Refundable - International Tractor Limited	25,307,250	25,307,250
Security Deposits Refundable	7,593,653	6,096,803
Security Deposit from Employee - House Lease	371,000	234,000
Security Deposit Hostel Students	600,000	375,000
Course Fee Received in Advance	625,000	149,672
Course - MA (PPSD) Travelling Expenses Payable	5,400,000	-
Retention Money - KNK Construction Pvt. Limited	2,756,033	-
<b>Total</b>	<b>187,723,503</b>	<b>213,706,371</b>
<b>Schedule 4: Income Receipts</b>		
<b>A. Academic Receipts</b>		
Course fees	109,791,387	89,532,946
Sale of Application Form	1,360,522	2,889,026
	<b>111,151,909</b>	<b>92,421,972</b>
<b>B. Interest Receipts</b>		
Interest Received on Deposits	13,779,120	15,930,840
Interest Received on Tax Deducted at Sources	151,241	-
Interest on Home Loan/Vehicle Loan/Education Loan	455,783	528,115
Interest - Others	-	110,159
Interest on Saving Bank	585,558	679,101
	<b>14,971,702</b>	<b>17,248,215</b>
<b>C. Other Receipts</b>		
Sponsorship	-	15,020,000
Admission Cancellation Fees	631,085	239,880
Rent Received from Rental Premises	50,974,500	16,871,500
Amount Written back	425,164	2,078,314
Miscellaneous income	652,736	1,439,110
Receipts from Hostel Fees	6,145,974	5,426,993
Recoveries against Notice Pay & Leave Encashment	-	12,067
Receipts from Training, Seminar & Workshop	9,042,001	3,665,018
Transit Residence	181,080	206,420
	<b>68,052,540</b>	<b>44,959,302</b>
<b>Total</b>	<b>194,176,151</b>	<b>154,629,489</b>

**TERI SCHOOL OF ADVANCED STUDIES**  
**New Delhi**  
**Income & Expenditure Account for the year ended on March 31, 2019**

	Schedule	(Amount in INR)	
		As on 31.3.2019	As on 31.3.2018
<b>Income</b>			
Academic Receipts	4 (a)	110,786,114	111,151,909
Interest Receipts	4 (b)	13,461,851	14,971,702
Others Receipts	4 (c)	68,164,395	68,052,540
Receipts from Completed Research Projects		101,440,028	93,802,712
<b>Total Income</b>		<b>294,852,388</b>	<b>287,976,863</b>
<b>Expenditure</b>			
Salary	5	170,065,084	144,094,576
Academic Course Expenses	6	18,107,461	17,728,353
Office Contingencies & Miscellaneous	7	68,491,182	79,252,868
Expenditure of Completed Research Projects		68,796,871	47,918,316
Depreciation		4,164,704	3,738,739
<b>Total Expenditure</b>		<b>329,645,302</b>	<b>292,732,852</b>
<b>Excess of Receipts over Expenditure (Surplus)</b>		<b>34,792,914</b>	<b>4,753,989</b>
Balance available for Appropriation		34,792,914	4,753,989
Less : Transferred in to Reserve & Surplus Account		34,792,914	4,753,989
Surplus/Deficit brought forward		49,956,248	15,163,334
Surplus/ Deficit Carried over to Income & Expenditure		15,163,334	49,956,248
<b>Significant Accounting Policies and Notes to the Accounts</b>	8		

Schedule 1 to 8 form an integral part of the Accounts

This is the balance sheet referred to in our report of even date

For Rajan K. Gupta & Co.  
Chartered Accountants  
(FRN : 005945C)

CA Rajan Gupta  
Partner  
(Membership No. 074696)

Dhanraj Singh  
Deputy Finance &  
Project Management  
Officer

Gupt. Pradeep Padhy  
Registrar

Dr. Manipadma Datta  
Vice Chancellor  
(Acting)

Place : New Delhi

Date : 16/09/2019

TERI SCHOOL OF ADVANCED STUDIES		As on 31.3.2019	As on 31.3.2018
Schedule 3: Current Liabilities			
Sundry Creditors	13,699,340		21,053,956
Loan against FDR - Short Term	95,018,750		
Amount Payable to Staff - Leave Travel Allowance	65,700		
Amount Payable to DBT - Pratiksha Jain	278,745		278,745
Amount Payable to TERI - P F & VPF	9,807		8,673
Course Fee Unidentified	366,827		679,029
Project Contribution Received in Advance	70,506,823		104,723,488
Amount Payable to State Bank of India & HDFC Bank Limited - Credit Card	379,058		226,081
Security Deposit Received from Suppliers	25,772		15,000
Audit Fees Payable	71,500		71,500
Interest Payable on Loan against FDR	4,935,356		
Group Insurance Claim Payable	5,281		74,079
Stale & Cancelled Cheques - (Dr / Cr)	197,739		244,909
Unutilised Contingent Grants - CSIR	75,516		116,903
Unutilised Contingent Grant - DBT	130,889		102,304
Unutilised Contingent Grant - UGC	65,914		122,914
Unutilised Contingent Grant - ICMR	6,398		
Unutilised Contingent Grant - DST	36,998		36,998
Fellowship- DBT - Anju Goel	7,339		
Fellowship- DBT - Simran Kaur	7,500		
Fellowship- UGC - Aparna Tyagi	689,703		
Fellowship- UGC - Tanushri	15,000		
Fellowship Grant received Unclaimed for Students	40,000		1,219,603
Grant received from UGC/DBT for Students			7,661
ICSSR - Project Fund Unutilised Payable	567,658		
DST - Workshop Fund Unutilised Payable	514,318		
Grant received/Advanced Paid for Manish Manjunath Fellowship	29,400.00		15,600.00
Grant Received from TERI for Guwahati Campus	7,292,385		1,699,147
Amount transferred from Previous Employer for Retirement Benefits	554,292		1,273,429
Outstanding Expenses	20,527,136		7,645,652
Provision - Faculty/Student Development Programme	1,395,427		868,011
Student Activities Fund	430,000		550,000
Salary Payable	222,442		94,338
Advance Money Received for Field Work			254,200
Received from University of Guelph for PhD Student	237,281		237,281
Duties & Taxes Payable	3,523,748		3,972,084
Security Deposit Refundable - K R Engineers	160,000		
Security Deposit Refundable - International Tractor Limited	25,307,250		25,307,250
Security Deposits Refundable	8,369,003		7,593,653
Security Deposit from Employee - House Lease	313,000		371,000
Security Deposit Hostel Students	775,000		600,000
Course Fee Received in Advance	8,513,525		625,000
Course - MA (PPSD) Travelling Expenses Payable	3,600,000		5,400,000
Retention Money - Shine Combine Pvt Limited	837,274		
Retention Money - KNK Construction Pvt. Limited	6,838,020		2,756,033
<b>Total</b>	<b>276,232,958</b>		<b>187,723,503</b>

**TERI SCHOOL OF ADVANCED STUDIES**  
**New Delhi**  
**Income & Expenditure Account for the year ended on March 31, 2020**

	Schedule	(Amount in INR)	
		As on 31.3.2020	As on 31.3.2019
<b>Income</b>			
Academic Receipts	4 (a)	110,615,215	110,786,114
Interest Receipts	4 (b)	9,098,484	13,461,851
Others Receipts	4 (c)	70,861,719	69,164,395
Receipts from Completed Research Projects		47,145,989	101,440,028
<b>Total Income</b>		<b>237,721,387</b>	<b>294,852,388</b>
<b>Expenditure</b>			
Salary	5	163,652,316	170,085,084
Academic Course Expenses	6	21,087,205	18,107,461
Office Contingencies & Miscellaneous	7	58,047,715	68,491,182
Expenditure of Completed Research Projects		22,217,679	68,796,871
Depreciation		3,437,504	4,184,704
<b>Total Expenditure</b>		<b>268,442,419</b>	<b>329,645,302</b>
<b>Excess of Receipts over Expenditure (Surplus)</b>		<b>30,721,032</b>	<b>34,792,914</b>
Balance available for Appropriation		30,721,032	34,792,914
Less: Transferred in to Reserve & Surplus Account		30,721,032	34,792,914
Surplus/Deficit brought forward		15,163,334	49,956,248
Surplus/ Deficit Carried over to Income & Expenditure		15,567,698	15,163,334
<b>Significant Accounting Policies and Notes to the Accounts</b>	<b>8</b>		

Schedule 1 to 8 form an integral part of the Accounts

This is the balance sheet referred to in our report of even date

For Rajan K. Gupta & Co.  
Chartered Accountants  
(FRN : 005945C)

Sanjay Rastogi



For and on behalf of  
Sanjay Rastogi & Associates  
Chartered Accountants, New Delhi

Place : New Delhi  
Date :

Dhanraj Singh

Deputy Finance &  
Project Management  
Officer

Kamal Sharma

Registrar

Dr. Manipadma Datta

Vice Chancellor

### 3.5.2.A.

Schedule 3: Current Liabilities		
Sundry Creditors	22,726,263	13,699,340
Loan against FDR - Short Term	45,818,750	95,018,750
TERI - Financial Assistance	25,000,000	-
Amount Payable to Staff - Leave Travel Allowance	214,900	65,700
Amount Payable to DBT - Pratiksha Jain	278,745	278,745
Amount Payable to TERI - P F & VPF	9,807	9,807
Project Contribution Received in Advance	63,844,672	70,506,823
Amount Payable to State Bank of India & HDFC Bank Limited - Credit Card	345,314	379,058
Security Deposit Received from Suppliers	25,772	25,772
Audit Fees Payable	71,500	71,500
Interest Payable on Loan against FDR	3,287,463	4,935,356
Group Insurance Claim Payable	71,514	5,281
Stale & Cancelled Cheques - (Dr / Cr)	299,171	197,739
Unutilised Contingent Grants - CSIR	66,821	75,516
Unutilised Contingent Grant - DBT	145,782	130,889
Unutilised Contingent Grant - UGC	15,000	65,914
Unutilised Contingent Grant - ICMR	21,421	6,398
Unutilised Contingent Grant - DST	20,000	36,998
Unutilised Contingent Grant - Tanushri	50,914	-
Fellowship- DBT - Anju Goel	7,339	7,339
Fellowship- DBT - Simran Kaur	-	7,500
Fellowship- UGC - Aparna Tyagi	-	689,703
Fellowship- UGC - Tanushri	15,000	15,000
Fellowship- ICMR - Preeti Rana	201,600	-
Living Expenses Payable - Duke Ojo Patrick	679,495	-
Field Expenses Refundable - Dyuti Aggarwal	10,000	-
Fellowship Grant received Unclaimed for Students	60,000	40,000
DST - Workshop Fund Unutilised Payable	-	514,318
Grant received/Advanced Paid for Manish Manjunath Fellowship	44,400	29,400.00
Grant Received from TERI for Guwahati Campus	7,292,385	7,292,385
Amount transferred from Previous Employer for Retirement Benefits	-	554,292
Outstanding Expenses	30,539,740	21,094,794
Provision - Faculty/Student Development Programme	1,923,175	1,395,427
Student Activities Fund	-	430,000
Salary Payable	110,746	222,442
Received from University of Guelph for PhD Student	237,281	237,281
Duties & Taxes Payable	5,065,172	3,523,748
Security Deposit Refundable - K R Engineers	160,000	160,000
Security Deposit Refundable - International Tractor Limited	25,307,250	25,307,250
Security Deposits Refundable	9,318,353	8,369,003
Security Deposit Refundable - AD Air Conditioner	214,336	-
Security Deposit from Employee - House Lease	268,000	313,000
Security Deposit Hostel Students	785,000	775,000
Course Fee Received in Advance	3,880,475	8,880,352
Staff Rent Lease Payable	326,925	-
Course - MA (PPSD) Travelling Expenses Payable	6,048,000	3,600,000
Retention Money - Shine Combine Pvt Limited	837,274	837,274
Retention Money - KNK Construction Pvt. Limited	9,268,471	6,838,020
<b>Total</b>	<b>264,899,548</b>	<b>276,232,958</b>





**TERI SCHOOL OF ADVANCED STUDIES**  
**New Delhi**  
**Income & Expenditure Account for the year ended on March 31, 2021**

(Amount in INR)			
	Schedule	As on 31.3.2021	As on 31.3.2020
<b>Income</b>			
Academic Receipts	4 (a)	108,386,112	110,615,215
Interest Receipts	4 (b)	5,402,079	9,098,484
Others Receipts	4 (c)	56,302,197	70,861,719
Receipts from Completed Research Projects		19,928,095	47,145,969
<b>Total Income</b>		<b>190,018,483</b>	<b>237,721,387</b>
<b>Expenditure</b>			
Salary	6	155,505,620	163,652,316
Academic Course Expenses	6	10,873,547	21,087,205
Utilities, Maintenance & Overheads	7	33,997,551	58,047,715
Expenditure of Completed Research Projects		10,910,820	22,217,679
Depreciation		3,407,400	3,437,504
<b>Total Expenditure</b>		<b>214,694,938</b>	<b>268,442,419</b>
<b>Excess of Receipts over Expenditure (Surplus)</b>		<b>24,676,465</b>	<b>30,721,032</b>
Balance available for Appropriation		24,676,455	30,721,032
Less : Transferred in to Reserve & Surplus Account		24,676,455	30,721,032
Surplus/Deficit brought forward		15,557,698	15,163,334
Surplus/ Deficit Carried over to Income & Expenditure		40,234,153	15,557,698
<b>Significant Accounting Policies and Notes to the Accounts</b>	8		

Schedule 1 to 8 form an integral part of the Accounts

This is the balance sheet referred to in our report of even date

For Sanjay Rastogi & Associates  
Chartered Accountants  
FRN: 014056N

CA Sanjay Rastogi  
M.No.075033  
UDIN: 21075033AAAAHW4461



Dr Eklavya Sharma  
Vice Chancellor

Dhanraj Singh

Deputy Finance &  
Project Management  
Officer

Dr. Manipadma Datta  
Pro Vice Chancellor

Kamal Sharma

Registrar

Place : New Delhi  
Date : 02.11.2021



## 3.5.2.A.

TERI SCHOOL OF ADVANCED STUDIES	As on 31.3.2021	As on 31.3.2020
<b>Schedule 2: Current Assets</b>		
Loan & Advances - Delhi Campus	2,804,676	7,704,621
Loan & Advances - Hyderabad Campus	10,923,865	10,923,865
Security Deposit - KNK Construction Pvt. Limited - Electricity Connection	330,460	330,460
Interest Accrued but not due	162,960	166,445
Project Work in Progress	48,735,844	47,393,547
Prepaid Expenses	1,152,226	1,232,570
Imprest Accounts	946,713	1,268,856
Salary Recoverable from TERI for Deputation Staff		3,000
TERI - Students Fellowship	9,954,619	9,954,619
Amount Recoverable from Student - Course Fee	9,450,319	12,259,104
Amount Recoverable for Completed Projects	23,341,169	26,054,630
Amount Recoverable from Training, Seminar, Workshop and Sponsorship Activities	272,312	600,136
Security Deposit with Landlords	603,867	638,200
TDS Recoverable	12,289,166	17,629,226
Water & Electricity Charges Receivable	285,340	285,340
Library Fine Recoverable	69,855	74,160
Cash & Bank Balance		
State Bank of India S.B. No. 52142908571	3,489,894	2,314,702
State Bank of India		
SB A/c No. 52142908560	10,904	2,456,744
HDFC Bank A/c - 02731110000021	101,027	2,608,623
HDFC Bank FCRA A/c - 00031170000088	28,064	12,401
HDFC Bank Limited - Guwahati - 50100209697657	157,257	152,548
HDFC Bank A/c - 50100129911511	23,384	22,684
HDFC Bank A/c - 50100209697644	14,524	14,089
ICICI Bank - 000701263993	52,985	46,441
Canara Bank - 3159101000096	118,236	17,956
Canara Bank - 3159101001448	21,010	20,680
Cash in Hand	234,698	343,540
<b>Total</b>	<b>125,575,375</b>	<b>144,529,187</b>
<b>Schedule 3: Current Liabilities</b>		
Sundry Creditors	19,779,567	22,726,263
Loan against FDR - Short Term	11,250,000	45,818,750
TERI - Financial Assistance	25,000,000	25,000,000
Advance Received from International Tractor Limited - VRV HVAC	4,000,000	
Amount Payable to Staff - Leave Travel Allowance & Leave Encashment	2,127,250	214,900
Amount Payable to DBT - Pratiksha Jain	278,745	278,745
Amount Payable to TERI - P.F. & VPF	9,807	9,807
Project Contribution Received in Advance	80,666,375	63,844,672
Amount Payable to - HDFC Bank Limited - Credit Card	322,856	345,314
Security Deposit Received from Suppliers	25,772	25,772
Audit Fees Payable	71,500	71,500
Interest Payable on Loan against FDR	427,303	3,287,463
Interest Payable on Loan - HDFC Limited	1,514,370	
Group Insurance Claim Payable	123,066	71,514
Stale & Cancelled Cheques - (Dr / Cr)	294,596	299,171
Unutilised Contingent Grants - CSIR	66,821	66,821
Unutilised Contingent Grant - DBT	160,782	145,782
Unutilised Contingent Grant - UGC	15,000	15,000
Unutilised Contingent Grant - ICMR	36,421	21,421
Unutilised Contingent Grant - DST	40,000	20,000
Unutilised Contingent Grant - Tanusha	50,914	50,914
Fellowship - DST Inspire - Rishu Jain	461,280	
Fellowship - DBT - Anju Goel	7,339	7,339



TERI UNIVERSITY		As on 31.3.2017	As on 31.3.2016
<b>Schedule 3: Current Liabilities</b>			
Sundry Creditors	12,966,846	13,776,367	
Loan against FDR - Short Term	36,000,000	-	
Amount Payable to Students/OSF Students	703,641	16,210	
Amount Payable to Staff	-	26,000	
Project Contribution Received in Advance	122,117,177	99,923,464	
Amount Payable to State Bank of India & HDFC Bank Limited - Credit Card	279,595	120,148	
Security Deposit Received from Suppliers	15,000	115,000	
Audit Fees Payable	71,500	71,500	
Interest Payable on Loan against FDR	1,796,456	-	
Group Insurance Claim Payable	38,927	-	
Stale & Cancelled Cheques - (Dr / Cr)	(118,343)	4,926	
Unutilised Contingent Grants - CSIR	84,844	79,178	
Unutilised Contingent Grant - DBT	205,588	206,350	
Unutilised Contingent Grant - UGC	97,914	97,914	
Unutilised Contingent Grant - DST	68,450	48,450	
Grant Recoverable from DST (Inspire) for Students	59,800	151,840	
Grant received from UGC for Students	15,000	16,000	
Grant received from DST for Students	-	130,000	
Grant received for Manish Manjunath Fellowship	7,400	10,000	
Misc Fellowship Received	529,900	-	
Amount transferred from Previous Employer for Retirement Benefits	1,273,429	1,273,429	
Outstanding Expenses	2,212,292	1,884,183	
Provision - Faculty/Student Development Programme	471,049	-	
Student Activities Fund	400,000	550,000	
Salary Payable	70,570	56,893	
Advance Money Received for Field Work - Dr. Sapna Narula	254,200	-	
Received from University of Guelph for PhD Student	237,281	237,281	
Duties & Taxes Payable	1,685,330	1,210,340	
Security Deposit Refundable - International Tractor Limited	25,307,250	-	
Security Deposit Refundable	6,096,803	5,473,803	
Security Deposit from Employee - House Lease	234,000	161,000	
Security Deposit Hostel Students	375,000	290,000	
Course Fee Received in Advance	149,672	385,000	
Course - MA (PPSD) Travelling	-	3,280,000	
<b>Total</b>	<b>213,706,371</b>	<b>129,291,596</b>	
<b>Schedule 4: Income Receipts</b>			
<b>A. Academic Receipts</b>			
Course fees	89,532,946	79,168,451	
Sale of Application Form	2,889,026	1,910,022	
	<b>92,421,972</b>	<b>81,078,473</b>	
<b>B. Interest Receipts</b>			
Interest Received on Deposits	15,930,840	13,581,512	
Interest Received on Reserve Bank of India Bond	-	2,380,000	
Interest Received on Tax Deducted at Sources	-	75,480	
Interest on Home Loan/Vehicle Loan/Education Loan	528,115	454,429	
Interest - Others	110,159	110,160	
Interest on Saving Bank	679,101	874,467	
	<b>17,248,215</b>	<b>17,476,048</b>	
<b>C. Other Receipts</b>			
Sponsorship	15,020,000	280,500	
Admission Cancellation Fees	235,880	541,240	
Rent Received from Rental Premises	16,871,500	-	
Amount Written back	2,078,314	530,860	
Miscellaneous Income	1,439,110	1,483,327	
Receipts from Hostel Fees	3,426,993	3,138,071	
Recoveries against Notice Pay & Leave Encashment	12,067	30,320	
Receipts from Training, Summer & Workshops	2,885,018	24,322,162	
Transit Residence	206,420	111,160	
	<b>44,959,302</b>	<b>40,038,140</b>	
<b>Total</b>	<b>184,629,489</b>	<b>138,592,661</b>	

## 3.5.2.A.

TERI SCHOOL OF ADVANCED STUDIES		
	As on 31.3.2018	As on 31.3.2017
<b>Schedule 3: Current Liabilities</b>		
Sundry Creditors		
Loan against FDR - Short Term	21,053,956	12,966,646
Amount Payable to Students/OSF Students	-	36,000,000
Amount Payable to DBT - Pratiksha Jain	-	703,641
Amount Payable to TERI - P F & VPF	278,745	-
Course Fee Unidentified	8,673	-
Project Contribution Received in Advance	679,029	-
	104,723,488	122,117,177
Amount Payable to State Bank of India & HDFC Bank Limited - Credit Card		
Security Deposit Received from Suppliers	226,081	279,595
Audit Fees Payable	15,000	15,000
Interest Payable on Loan against FDR	71,500	71,500
Group Insurance Claim Payable	-	1,796,456
State & Cancelled Cheques - (Dr / Cr)	74,079	38,927
Unutilised Contingent Grants - CSIR	244,909	(118,343)
Unutilised Contingent Grant - DBT	116,903	84,844
Unutilised Contingent Grant - UGC	102,304	205,588
Unutilised Contingent Grant - DST	122,914	97,914
Grant Recoverable from DST (Inspire) for Students	36,998	68,450
Grant received from UGC/DBT for Students	-	59,800
Fellowship Grant received Unclaimed for Students	7,661	15,000
Grant received/Advanced Paid for Manish Manjunath Fellowship	1,219,603	529,900
Grant Received from TERI for Guwahati Campus	15,600.00	7,400
Amount transferred from Previous Employer for Retirement Benefits	1,099,147	-
Outstanding Expenses	1,273,429	1,273,429
Provision - Faculty/Student Development Programme	7,645,652	2,212,292
Student Activities Fund	868,011	471,049
Salary Payable	550,000	400,000
Advance Money Received for Field Work - Dr. Sapna Narula	94,338	70,570
Received from University of Guelph for PhD Student	254,200	254,200
Duties & Taxes Payable	237,281	237,281
Security Deposit Refundable - International Tractor Limited	3,972,084	1,685,330
Security Deposits Refundable	25,307,250	25,307,250
Security Deposit from Employee - House Lease	7,593,653	6,096,803
Security Deposit Hostel Students	371,000	234,000
Course Fee Received in Advance	600,000	375,000
Course - MA (PPSD) Travelling Expenses Payable	625,000	149,672
Retention Money - KNK Construction Pvt. Limited	5,400,000	-
	2,756,033	-
<b>Total</b>	<b>187,723,503</b>	<b>213,706,371</b>
<b>Schedule 4: Income Receipts</b>		
<b>A. Academic Receipts</b>		
Course fees		
Sale of Application Form	109,791,387	89,532,946
	1,380,522	2,889,026
<b>B. Interest Receipts</b>	<b>111,151,909</b>	<b>92,421,972</b>
Interest Received on Deposits		
Interest Received on Tax Deducted at Sources	13,779,120	15,930,840
Interest on Home Loan/Vehicle Loan/Education Loan	151,241	-
Interest - Others	455,783	520,115
Interest on Saving Bank	-	110,159
	585,558	679,101
<b>C. Other Receipts</b>	<b>14,971,702</b>	<b>17,248,215</b>
Sponsorship		
Admission Cancellation Fees	-	15,020,000
Rent Received from Rental Premises	631,085	239,880
Amount Written back	50,974,500	16,871,500
Miscellaneous income	425,164	2,078,314
Receipts from Hostel Fees	652,736	1,439,110
Recoveries against Notice Pay & Leave Encashment	6,145,974	5,426,993
Receipts from Training, Seminar & Workshop	-	12,067
Transit Residence	9,042,091	3,665,018
	181,080	206,420
<b>Total</b>	<b>68,052,540</b>	<b>44,959,302</b>
	<b>194,176,151</b>	<b>154,629,489</b>

## TERI SCHOOL OF ADVANCED STUDIES

As on  
31.3.2019As on  
31.3.2018

## Schedule 4: Income Receipts

<b>A. Academic Receipts</b>		
Course fees	108,612,214	109,791,987
Sale of Application Form	2,173,900	1,360,522
<b>B. Interest Receipts</b>	<b>110,786,114</b>	<b>111,152,509</b>
Interest Received on Deposits	12,435,235	13,779,120
Interest Received on Tax Deducted at Sources	-	151,241
Interest on Home Loan/Vehicle Loan/Education Loan	429,018	455,783
Interest - Others	149,634	-
Interest on Saving Bank	447,964	585,558
<b>C. Other Receipts</b>	<b>13,461,851</b>	<b>14,971,702</b>
Admission Cancellation Fees	1,182,660	631,085
Rent Received from Rental Premises	50,974,500	50,974,500
Amount Written back	1,993,890	425,164
Miscellaneous income	728,788	652,736
Receipts from Hostel Fees	7,047,607	6,145,974
Recoveries against Notice Pay & Leave Encashment	377,223	-
Receipts from Training, Seminar & Workshop	6,076,452	9,042,001
Transit Residence	183,275	181,080
<b>Total</b>	<b>69,164,395</b>	<b>68,052,540</b>
	<b>193,412,360</b>	<b>194,176,751</b>

## Schedule 5: Salary Components

Pay & Allowances	146,999,773	126,108,626
Employer's Provident Fund Contribution	11,260,713	11,523,867
Telephone Reimbursement	114,631	73,998
Medical Reimbursements	1,329,625	1,126,183
Gratuity Expenses	3,445,391	1,085,324
Leave Encashment	3,530,901	678,333
Leave Travel Allowance	3,384,050	3,498,245
<b>Total</b>	<b>170,065,084</b>	<b>144,094,576</b>

## Schedule 6: Academic Course Expenses

Advertisement	1,869,249	2,240,544
Bank Charges	15,871	4,304
Books & Periodicals - (Library)	3,576,788	574,171
Computer Software Maintenance Charges	177,000	1,313,824
Laboratory, Chemical, Glassware & Consumable Expenses	287,330	297,424
Conveyance & Hiring Expenses	421,069	421,597
Membership Fee, Subscription and Registration Charges - Academic	367,900	828,727
Fellowship, Honorarium, Stipend & Internship and Award Expenses	629,622	1,283,745
Students Field Expenses	4,233,371	2,712,812
Misc. Expenses	63,597	5,058
Postage Expenses	2,950	4,190
Printing & Stationery	156,015	244,118
Professional Time Other Consultants	6,094,400	7,147,571
Repair & Maintenance Expenses	70,904	324,558
Meeting, Seminar & Workshop	66,284	162,993
Travelling Expenses	75,111	162,717
<b>Total</b>	<b>18,107,461</b>	<b>17,728,353</b>



Schedule 4: Income Receipts		
<b>A. Academic Receipts</b>		
Course fees	109,472,715	108,612,214
Sale of Application Form	1,142,500	2,173,900
	<b>110,615,215</b>	<b>110,786,114</b>
<b>B. Interest Receipts</b>		
Interest Received on Deposits	6,503,714	12,435,235
Interest Received on Tax Deducted at Sources	1,660,829	-
Interest on Home Loan/Vehicle Loan/Education Loan	255,650	429,018
Interest - Others	157,407	149,634
Interest on Saving Bank	520,884	447,964
	<b>9,098,484</b>	<b>13,461,851</b>
<b>C. Other Receipts</b>		
Admission Cancellation Fees	599,200	1,182,660
Rent Received from Rental Premises	53,912,606	50,974,500
Donations	50,000	-
Alumni Contribution	13,000	-
Amount Written back	1,910,585	1,993,890
Miscellaneous income	660,030	728,788
Receipts from Hostel Fees	6,822,906	7,047,607
Recoveries against Notice Pay & Leave Encashment	176,580	377,223
Receipts from Training, Seminar & Workshop	<b>6,716,812</b>	<b>6,676,452</b>
Transit Residence	-	183,275
	<b>70,861,719</b>	<b>69,164,395</b>
<b>Total</b>	<b>190,575,418</b>	<b>193,412,360</b>
Schedule 5: Salary Components		
Pay & Allowances	139,919,823	146,999,773
Employer's Provident Fund Contribution	15,961,803	11,260,713
Telephone Reimbursement	147,155	114,631
Medical Reimbursements	1,288,425	1,329,625
Gratuity Expenses	1,140,623	3,445,391
Leave Encashment	1,912,037	3,530,901
Leave Travel Allowance	3,282,450	3,384,050
<b>Total</b>	<b>163,652,316</b>	<b>170,065,084</b>
Schedule 6: Academic Course Expenses		
Advertisement	1,167,662	1,869,249
Bank Charges	20,337	15,871
Books & Periodicals - (Library)	3,526,295	3,576,788
Computer Software Maintenance Charges	47,291	177,000
Laboratory, Chemical, Glassware & Consumable Expenses	220,141	287,330
Conveyance & Hiring Expenses	693,385	421,069
Membership Fee, Subscription and Registration Charges - Academic	743,144	367,900
Fellowship, Honorarium, Stipend & Internship and Award Expenses	1,267,768	629,622
Students Field Expenses	3,679,138	4,233,371
Misc. Expenses	11,095	63,597
Postage Expenses	24,661	2,950
Printing & Stationery	73,809	156,015
Professional Time Other Consultants	9,120,600	6,094,400
Repair & Maintenance Expenses	248,790	70,904
Meeting, Seminar & Workshop	55,326	66,284
Travelling Expenses	187,763	75,111
<b>Total</b>	<b>21,087,205</b>	<b>18,107,461</b>



### 3.5.2.A.

TERI SCHOOL OF ADVANCED STUDIES		As on 31.3.2021	As on 31.3.2020
Fellowship: DBT - Simran Kaur		21,700	
Fellowship: DGG - Aparna Tyagi			
Fellowship: DGG - Tanusha	15,000		15,000
Fellowship: ICMR - Priyoti Rana	380,600		201,600
Living Expenses Payable - Duke Ojo Patrick			679,495
Amount Refundable to the Students	351,572		10,000
Fellowship Grant received Unclaimed for Students	60,000		60,000
Expenses Reimbursement Payable to the Students	51,438		
Grant received/Advanced Paid for Manish Manjunath Fellowship	76,400		44,400.00
Grant Received from TERI for Guwahati Campus	7,292,385		7,292,385
Outstanding Expenses	35,258,073		30,539,740
Provision - Faculty/Student Development Programme	2,623,175		1,923,175
Salary Payable	289,867		110,746
Received from University of Guelph for PhD Student	237,281		237,281
Duties & Taxes Payable	5,156,705		5,065,172
Security Deposit Refundable - K R Engineers	160,000		160,000
Security Deposit Refundable - International Tractor Limited	25,307,250		25,307,250
Security Deposit Refundable - Goel Enterprises	197,909		
Security Deposits Refundable	11,587,243		9,318,353
Security Deposit Refundable - AD Air Conditioner			214,336
Security Deposit from Employee - House Lease	260,067		268,000
Security Deposit Hostel Students	535,000		785,000
Course Fee Received in Advance	1,956,818		3,880,475
Staff Rent Lease Payable	390,433		326,925
Course - MA (PPSD) Travelling Expenses Payable	5,616,000		6,048,000
Retention Money - Shine Combine Pvt Limited	837,274		837,274
Retention Money - KNK Construction Pvt Limited	9,268,471		9,268,471
Hyderabad Off Campus Payables	3,573,696		
<b>Total</b>	<b>256,219,743</b>		<b>264,899,548</b>
<b>Schedule 4 - Income Receipts</b>			
<b>A. Academic Receipts</b>			
Course fees	107,121,796		109,472,715
Sale of Application Form	1,264,316		1,142,500
	<b>108,386,112</b>		<b>110,615,215</b>
<b>B. Interest Receipts</b>			
Interest Received on Deposits	3,883,585		6,503,714
Interest Received on Tax Deducted at Sources	1,173,360		1,660,829
Interest on Home Loan/Vehicle Loan/Education Loan	66,236		255,650
Interest - Others	63,938		157,407
Interest on Saving Bank	220,953		520,884
	<b>5,402,079</b>		<b>9,098,484</b>
<b>C. Other Receipts</b>			
Admission Cancellation Fees	202,300		599,200
Rent Received from Rental Premises	55,123,356		53,912,506
Donations			50,000
Alumni Contribution	7,500		13,000
Amount Written back			1,910,585
Miscellaneous income	200,791		660,030
Receipts from Hostel Fees			6,822,906
Recoveries against Notice Pay & Leave Encashment			176,580
Receipts from Training, Seminar & Workshop	678,250		6,716,812
Transit Residence			
	<b>55,302,197</b>		<b>70,861,719</b>
<b>Total</b>	<b>170,090,388</b>		<b>190,575,418</b>
<b>Schedule 5 - Salary Components</b>			
Pay & Allowances	133,306,803		139,919,823
Employer's Provident Fund Contribution	15,108,057		15,961,803
Telephone Reimbursement	130,076		147,155
Medical Reimbursements	1,303,046		1,288,425
Gratuity Expenses	1,758,375		1,140,623





# ICIMOD

## Institutional Agreement

between

International Centre for Integrated Mountain Development  
and

TERI School of Advanced Studies

Agreement No. CONIS00211

**Purpose** : PREPARATION OF AN ASSESSMENT REPORT OF WATER STUDY HIGHER EDUCATION INSTITUTIONS IN INDIA

**Effective date** : 1 March 2018

**End date** : 15 May 2018

THIS INSTITUTIONAL AGREEMENT [Agreement] is made on 1 March 2018, by and between the INTERNATIONAL CENTRE FOR INTEGRATED MOUNTAIN DEVELOPMENT ["ICIMOD"], Nepal and TERI SCHOOL OF ADVANCED STUDIES (TSAS), New Delhi, India ("the Collaborator") to acquire consultancy services of the Collaborator.

WHEREAS, the ICIMOD desires to engage the Collaborator to acquire services on the terms and conditions hereinafter set forth,

WHEREAS, the Collaborator is willing to provide these services and has accepted to undertake the tasks on the agreed terms and conditions,

NOW THEREFORE, ICIMOD and TSAS (hereafter referred to as Parties) agree to enter into this Institutional Agreement to achieve the agreed objectives under this cooperation.

NOW THEREFORE THE PARTIES hereby agree as follows:

### 1. Roles and Responsibilities

- a. The Collaborator shall provide the services specified in Terms of Reference (ToR) as in Annex-I which is an integral part of this Agreement.
- b. The Collaborator shall be responsible for the professional and technical services of highest quality for the implementation of this Agreement.
- c. The Collaborator shall notify immediately to ICIMOD in writing if any situation or circumstances that may cause delays, prevent or influence during the course of implementation of the assignment.
- d. The Collaborator shall deliver a final report which shall set forth in detail, all the work done as per the ToR within the time of the Agreement.

### 2. General Conditions

- e. Payment shall be made within 30 days after receipt of verified and approved deliverables by ICIMOD for which payment is requested. ICIMOD reserves the right to withhold payment subject to deliverables completion verification.
- f. Payment shall be made to the Collaborator by the Account Payee Cheque or through bank transfer.
- g. The Collaborator shall maintain accounting books, records, documents and other evidence relating to the Agreement, adequate to show, without limitation, all costs incurred and revenues earned by the Collaborator for the project and the overall progress toward completion of the project. It shall maintain project books and records in accordance with the generally accepted accounting standards. Project books and records must be kept in the possession of the Collaborator for at least up to 15 May 2025.
- h. The accounts of this activities under the Institutional Agreement will be subject to financial audit by ICIMOD anytime during the period of the agreement. If deemed necessary, ICIMOD might also assign an external auditor to do the final audit. Similarly, there may also be requests from the project sponsors/donors for a specific audit. In such cases, the audit schedule will be provided to the Collaborator in advance and the Collaborator without any demur shall comply with this provision.

#### 4. Taxation

The Collaborator themselves shall be responsible for compliance with tax laws including withholding tax, income and other indirect taxes for the activities funded through this Agreement. Any taxes or other government liabilities arising out of any payments made from this Agreement will be the responsibility of the collaborator. ICIMOD is in no case be liable for any such taxes or liabilities.

#### 5. Administration

ICIMOD and Collaborator designate the following as respective focal persons who will be responsible for the coordination of activities under this Agreement. The ICIMOD focal person will also be responsible for receiving and approving deliverables and invoices for the payment.

<b>ICIMOD</b>  Dr. Chi Huyen Truong Programme Coordinator, HUC Khumaltar, Kathmandu, Nepal Tel: + 977 1 5275222 Email: chi.truong@icimod.org	<b>TERI School of Advanced Studies</b>  Name: Dr. Arun Kansal Dean, Research and Relationships New Delhi, India Tel: + 91 9213373000 Email: akansal@terisas.ac.in
--	--

#### 6. Arbitration

Any dispute arising out of or in connection with this Agreement which cannot be settled by mutual agreement shall be submitted for arbitration to three arbitrators. Each party shall appoint one arbitrator each. The two appointed arbitrators thus appointed shall agree on the third one. The arbitrators shall rule on the costs which may be divided between the Parties. The decision rendered in the arbitration shall constitute the final decision of the dispute.

#### 7. Amendment

Any modification or change to this Agreement shall require an amendment in writing between both Parties duly signed by the authorized representatives of ICIMOD and the Collaborator.

#### 8. Termination

## ANNEX I: Terms of Reference

### PREPARATION OF AN ASSESSMENT REPORT OF WATER STUDY HIGHER EDUCATION INSTITUTIONS IN INDIA

#### BACKGROUND

Hindu Kush Himalaya (HKH) region is the source of ten large Asian river systems and provides water, ecosystem services, and the basis for the livelihoods of more than 210 million people in the South Asian region. Five of the eight HKH countries – Bangladesh, Pakistan, India, Nepal and Bhutan – share twenty major rivers emanating from the Greater Himalaya. The basins of these rivers provide water to 1.3 billion people. The river flow regimes, the supply of water and food and energy security in the region are under direct impact from changes in temperature, precipitation and Himalayan glacier dynamics. Due to this trans-boundary interconnectedness in water resources and its high relevance in regional stability and sustainable and inclusive growth, regional cooperation is imperative in the management and development of water resources. A regional integration and cooperative transboundary water resource management in turn requires increased accountability and transparency of governments, improved technology, infrastructure, market access, delivery of services, and enhanced social institutions.

Among the latter, universities and research centers play a key role in generating, sharing and disseminating knowledge on water resources and provide evidence for effective intergovernmental integrated water resources management policies and practice. Universities and research centers in the region, however, have largely not been able to fulfill their important tasks. This is mostly due to the lack of resources and inadequate institutional and research capacity. Moreover, an information sharing mechanism and platform as well as substantive coordinated efforts in creating and conducting collaborative research and training between institutions are seriously lacking.

As an initiative of the Regional Programme VI of the International Centre for Integrated Mountain Development (ICIMOD), the Himalayan University Consortium (HUC) has its mandate in developing an effective, sustainable network of universities in the HKH, in collaboration with academic, research and knowledge generating and exchange institutions both within and outside the HKH region. The HUC has received a grant from The World Bank, under its South Asia Water Initiative Phase II, aiming to strengthen its network and members' capacity in the field of water studies and management.

One of the key activities of the HUC WB Grant is to build a knowledge base of the state of the art of water studying higher education and research institutions in the region. To this end, a series of country inventory reports and in-depth assessments are commissioned by ICIMOD, to be administered by HUC Secretariat.

TERI School of Advanced Studies (TSAS) was established as a Trust by TERI - a not-for-profit, independent research institute recognized globally for its contribution to scientific and policy research in the realms of energy, environment, and sustainable development in 1998. TSAS was known as TERI

#### REPORTING

- A designated focal person of TSAS is expected to remain in frequent contact with the HUC Secretariat.

Dr Chi Huyen Truong  
Program Coordinator, HUC  
[Chi.Truong@icimod.org](mailto:Chi.Truong@icimod.org)  
Phone: +977 1 5003222 Ext. 172

- A brief financial report and institutional invoice is required for the lump sum allocation of USD5,000.

#### DURATION & TERMINATION

- The Agreement starts from 1 March 2018 and ends on 15 May 2018.

#### FINANCING

- The World Bank South Asia Water Initiative





ONE CLIMATE CLUB  
Verdistrasse 8  
48165 Münster  
Germany  
Phone: +49 151 15103425  
Mail: edgar.boeswenner@gmail.com

To  
TERI-University  
Dr Leena Srivastava  
Plot No. 10, Institutional Area  
Vasant Kunj  
New Delhi, Delhi 110070  
India

June 6, 2017

**Subject: Feasibility Study**

Dear Dr Srivastava,

We are pleased to inform you that you may now conduct the feasibility study according to the terms of agreement, objectives and aims given in the attached document "Feasibility study for climate protection by financial instruments in India in collaboration with One Climate Club".

A written report of the feasibility study is expected to be submitted after four (4) months after transfer of fund.

We will be working on the transmittal of the amount of Euro 15000 within this week.

Rest assured you will be informed, should there be changes regarding these arrangements.

Yours sincerely,

  
Edgar Boes-Wenner  
Chair

  
Dr. Ulrich Möller  
Co-Chair

**Feasibility study**  
**for climate protection by financial instruments in India**  
**in collaboration with One Climate Club**  
**June 2017**

---

**1.0 Introduction**

The One Climate Club incorporated in Germany has a vision of a world free of fossil fuel energy as well as a world that has overcome energy poverty by 2070. The Club is proposing financial instruments that can accelerate investments in renewable energy both in industrialized countries as well as in developing countries including transition economies. For the purpose the Club also focuses on generating funds in Germany that can be provided as investments in developing countries.

**2.0 Proposed financial instruments**

The Club is proposing four kinds of financial instruments

**Crowd Financing** – One Climate Club proposes to launch major crowdfunding drives for setting up a vehicle for investing in Renewable Energy in developing countries or transition economies such as India. It is proposed that projects in a developing country can avail of 80% capital requirement through the soft credit from the vehicle set up through crowdinvesting / crowdlending in Germany. It is proposed that the said vehicle would be administered through a German entity. It is also envisaged that the remaining 20% finance for the project can be mobilised through a crowdinvesting / crowdlending initiative in the beneficiary country. The project size for such financing would be in the range of Euro 100000 to Euro 200000.



**Bank Fund** – One Climate Club is in discussion with the Evangelical Bank and DEG. They have set up a Renewable Energy Investment Fund for projects in developing countries. The Fund operates in collaboration with institutional investors in Germany like church banks or pension funds of churches. The intention is to make available the finance in the form of a Financing product from the Banks such as Evangelical Bank and DEG in Germany. The Fund would be launched for developing countries. The funding portfolio would comprise projects in the range of Euro 5-20 Million. It is proposed that One Climate Club intermediary would be set up to facilitate project financing.

**Climate Savings Account** One Climate Club would motivate Banks either in Germany or another country of Europe to set up individual Climate Savings Account. These Accounts will be in the form of term Fixed Deposits and the resources will be used for renewable energy financing. It is envisaged that besides Germany the Banks in developing countries will be encouraged to set up similar Climate Savings Accounts.

**Climate Green Bonds**- It is discussed that Green Bonds can have a huge impact on financing climate projects. It should be figured out how this could work in India.

One Climate Club proposes to set up a digital platform to elaborate and form a dashboard for all the three potential financing options. As a next step it is proposed to carry out feasibility studies for such instruments in potential beneficiary countries such as India.

### **3.0 Feasibility Study**

One Climate Club is keen to collaborate with institutions applying to these financial instruments in India and for the purpose intends to carry out a feasibility study. In the absence of information (including documents, regulatory approvals, funding conditions, focused sectors, etc.) the feasibility needs to be broad based on the potential of the above mentioned financial instruments.

#### **4.0 Scope of work**

- Assess the current legal and policy framework for launch of the above mentioned financial instruments
- Undertake stakeholder assessment to determine their perspective and opinion on the above mentioned instruments
- On the basis of the above two objectives provide recommendations related to go and no go scenarios.

#### **5.0 Timelines**

The study is expected to be completed within a period of four (4) months from the date of transfer of funds, subject to availability of relevant information from Indian stakeholders as well as One Climate Club.

#### **6.0 Budget**

One Climate Club will pay a total lump sum of Euro 15000 for the feasibility study. The money will be transferred at the beginning of the feasibility study.



**Fw: Feasibility Study India One Climate**  
Sapan Thapar to: Dhanraj Singh

07/04/2017 04:19 PM

FYKI please...

----- Forwarded by Sapan Thapar/RETA/DEL/TERI on 07/04/2017 04:19 PM -----

From: Edgar Boes-Wenner <edgar.boeswenner@gmail.com>  
To: Leena Srivastava <leena@teri.res.in>, Sapan.Thapar@teri.res.in, Rehman Hafeez <hafeez.rehman@vito.be>, Ibrahim H Rehman <ihrehman@teri.res.in>, Dr. Ulrich Möller <dr.moeller@ika.evw.de>, Dr. Ulrich Möller <dr.u.moeller@t-online.de>  
Date: 06/07/2017 04:25 PM  
Subject: Feasibility Study India One Climate

---

Dear Leena, Hafeez, Ulrich and Sapan,

I trust you are well. I just want to write that you can start with the feasibility in near future.

Please find attached documents:

1. Letter of ONE CLIMATE CLUB to TERI-University
2. ToR

I just talked to the Bank. They will transfer the fund today.

Please excuse for this quick email, but I am leaving to Africa in 2 hours.

Warm regards

Edgar

--

Edgar Boes-Wenner  
Project Coordinator One1Climate  
fon: +49(0)151 15103425



mail: [edgar.boeswenner@gmail.com](mailto:edgar.boeswenner@gmail.com) Feasibility\_study\_1ClimateClub\_India\_Final\_20170605.docx



Letter\_to\_Leena\_Feasibility\_India\_20170605.pdf

## National Security Council Secretariat

3<sup>rd</sup> Floor Sardar Patel Bhawan,  
Sansad Marg, New Delhi-110001

Dated: 21<sup>st</sup> February, 2018

To

The Sr. Accounts Officer,  
Pay & Accounts Office,  
Cabinet Affairs,  
New Delhi.

Sir,

TERI University, 10 Institutional Area, Vasant Kunj, New Delhi was engaged for undertaking a project to develop a proof of concept for relating pollutant emissions to mass heavy duty vehicular movement at a cost of Rs.8,99,409/- (Rupees eight lakh ninety nine thousand four hundred nine only) payable in three installments as per terms & conditions of the project. An amount of Rs.3,59,764/- has already been released vide this Secretariat's sanction letter of even number dated 22<sup>nd</sup> September, 2017.

2. Sanction of the competent authority is hereby conveyed to the payment of Rs.5,39,645/- (Rupees five lakh thirty nine thousand six hundred forty five only) towards balance amount of aforesaid study.

3. The expenditure involved is debitable to the following Head of Account during the current financial year 2017-2018:-

"47- Cabinet

Major Head 2013

106.2 - National Security Council Secretariat

02.00.28 - Payment for Professional and Special Services"

4. The e-payment of Rs.5,39,645/- may be made in favour of TERI University to his State Bank of India Pragati Vihar, Ground Floor, Core 6 Scope Complex, Lodi Road New Delhi Saving Bank Account No. 52142908571 (IFSC Code: SBIN 002 0511).

Yours faithfully,



(R.K. Jain)

Deputy Secretary (Admn.)

☎ 23349311

Copy to:-

- ✓ Dr. Leena Srivastava, Vice-Chancellor, TERI University, 10 Institutional area, Vasant Kunj, New Delhi-100070 for information.
2. SO (C&G), NSCS (2 copies).
3. DS (SK), NSCS

PAN No: AAATT4778L  
 GST No -07AAATT4778L1ZU  
 Invoice No: DECEMBER/GST-5  
 Date: 11.12.2017

To

Ministry of Climate and Environment  
 Address: P.B. 8013 Dep, 0030 Oslo, Norway

## Invoice/Bill

Particulars	Amount (Euros)
Two Day Workshop on 26-27 October, 2017	€13,647
SGST @ 9%	€1,228
CGST @ 9%	€1,228
<b>TOTAL</b>	<b>€16,103</b>

Total (in Words) Sixteen Thousand One Hundred and Three Euro Only

  
 (Authorized Signatory)

## Mode of payment

Payment may please be remitted either through Demand Draft/cheque (A/c Payee) drawn in favour of "TERI University" payable at New Delhi or through bank transfer as per bank details given below:

Name of the beneficiary : TERI University  
 Account No. : 00031170000088  
 Name of the bank : HDFC Bank  
 Address : 209-214, Kailash Building , 26, KG Marg, New Delhi  
 Bank IFSC Code : HDFC0000003  
 Bank Swift Code : HDFCINBB

Please mention the invoice number and date in the remittance advise



## CONTRACTOR AGREEMENT

### Parties

This Agreement is made and entered into by the undersigned parties the Energy and Resources Institute (TERI) University/ Captain Pradeep Kumar Padhy (known as the "Company") and the Norwegian Ministry of Climate and Environment, Section for Development and Finance/ Nina Rør (known as the "Contractor").

Each of the above being referred to together as the "Parties."

### Services

The Company will provide the following services:

- Organize a two-day workshop to share experiences between India and Norway on GHG inventories in accordance with the attached budget. The workshop will take place in New Delhi, India on 26-27 October 2017.
- After the workshop, the Company shall draft a report and present it to the Indian Ministry of Environment, Forest and Climate Change (MoEFCC) and the Norwegian Ministry of Climate and Environment (MCE). The report shall include a discussion on the possibilities of future cooperation between India and Norway on GHG inventories, based on the outcomes of the workshop. If the workshop concludes that further cooperation is advisable, the report should identify possible knowledge gaps, topics/sectors and actors relevant for future cooperation. The report shall also have a paragraph on the way forward. The first draft of the report shall be delivered to the Ministries no later than November 15 2017 and the final draft shall be delivered by 4 December 2017.

### Term

This agreement will commence on its execution by both Parties and terminate 4 December 2017 on the fulfilment of all obligations, unless extended by mutual agreement between the Parties.

### Payment

Subject to providing the services as outlined above, the Contractor will be paid the sum of EUR 16.675,- after presenting the final draft and financial statement on December 4 2017.

Payment under this agreement will be made 10 business days after the receipt of an invoice from Company. The invoice is to be sent by e-mail to Guri Storaas (guri.storaas@kld.dep.no).

### Governing Law

The construction, validity and performance of this Agreement shall be governed by the laws of Norway.

There are two copies of this agreement, one for each of the Parties.





Norwegian Ministry of  
Climate and Environment

TERI University

## Budget

CATEGORY	Cost (INR)	Cost (Euro)
Catering	95,000	1,241
AV and Other Facilities	34,000	444
Transport	170,000	2,221
Accommodation	120,000	1,568
Professional Cost	345,357	4,512
Contingency	60,000	784
Workshop report	257,260	3,361
GST (@ 18% of above)	194,691	2,544
<b>Total Expenses</b>	<b>1,276,308</b>	<b>16,675</b>

**Posting Title:** Senior Expert

**Department/Office:** Department of Economic and Social Affairs/Division for Sustainable Development

**Duration:** 28 work-days during 12 June – 31 August 2017

## I. BACKGROUND

The 2030 Agenda for Sustainable Development fully recognizes the critical importance of energy for sustainable development by establishing Sustainable Development Goal 7 (SDG 7), the first-ever global goal and targets on energy, including:

- By 2030, ensure universal access to affordable, reliable and modern energy services;
- By 2030, increase substantially the share of renewable energy in the global energy mix;
- By 2030, double the global rate of improvement in energy efficiency.

Energy is crucial for achieving almost all of the Sustainable Development Goals, from its role in the eradication of poverty through advancements in health, education, water supply and industrialization, to combating climate change. Achieving SDG7 will play a decisive role in setting the world on a pathway toward limiting global temperature rise to below 2 °C and meeting the Paris Agreement on climate change.

Member States have established the High-Level Political Forum (HLPF) to ensure progress on the 2030 Agenda. Specifically, SDG7 on energy will be in-depth reviewed for the first time at the HLPF in July 2018. This will provide an opportunity for the UN system to enhance international dialogue and cooperation on energy, facilitate mainstreaming of SDG7, and consolidate inputs to contribute to the thematic reviews of SDG7 and interlinked SDGs at the HLPF.

## II. OBJECTIVE

The objective of the consultancy is to prepare two technical papers: (1) on energy's interlinkages with SDGs, and (2) on capacity building activities related to energy and its linkages with other SDGs. These reports are to be fed into the discussions at a series of *Symposiums and Meetings* in 2017 and 2018 to be conducted in Asia, Europe, Latin America and Africa in support of the first global review of SDG 7 in the 2018 HLPF.

The proposed *Symposiums and Meetings* will provide a platform to discuss major issues related to progress on SDG7 and its interlinkages with other SDGs. They will also provide an excellent opportunity for knowledge exchange among Member States and the UN system for advancing the implementation of the 2030 Agenda, including supporting the preparations of the Voluntary National Reviews, especially towards HLPF 2018.

These symposiums are also expected to further define a comprehensive global energy assessment, informed by technical briefs on energy's linkages with other SDGs and discussions on means of implementation.

Outcomes of the discussions from these events will provide inputs to a *Preparatory Meeting in support*

of the global review of SDG7, to be held on 7-8 December 2017 at the UN HQs in New York.

### III. DUTIES AND RESPONSIBILITIES

Under the guidance of the Water, Energy and Capacity Development Branch of the Division for Sustainable Development, the expert will:

- Prepare a technical discussion paper (approx. 20-30 pages) on conceptual/practical linkages between SDG7 and other SDGs, including an analysis of main challenges, gaps and opportunities in advancing the interlinkages and integration. To illustrate the energy interlinkages, lessons learned and experiences from real examples should be incorporated. It should also present way forward and next steps, for all actors to consider.
- Prepare a technical discussion paper (approx. 20-30 pages) on approaches to capacity building to advance the interlinkages and integration in practice between SDG7 and other SDGs. The objective of this paper will be to identify key approaches and explore a generic guiding framework for capacity building to advance the knowledge about interlinkages and integration. It should also present a way forward and next step, including actions that should be taken by governments, UN and all stakeholders. The report should also include development and application of approaches, guiding frameworks and tools, and ways to continue to enhance knowledge, experience and learning, including through international/local conferences, global networks, etc.
- Present both papers and lead expert discussions on both topics at the Symposium on "Progress on SDG7 and its interlinkages with other SDGs in support of the First Review of SDG7 during the 2018 High-Level Political Forum", to be held in Bangkok, Thailand, in 28-30 June 2017, and organised by the Division for Sustainable Development of UN DESA, its UN Office for Sustainable Development (UNOSD) and the UN Economic and Social Council for Asia and the Pacific (ESCAP).

A draft version of both papers should be ready in time for the symposium to be held in Bangkok, Thailand to take place 28-30 June 2017. Final versions of both papers should be ready by end of August 2017.

### IV. DELIVERABLES

#	Deliverable	Target due date
1	<u>Draft</u> of Technical discussion paper on the interlinkages between SDG7 and other SDGs	3 <sup>rd</sup> week of June 2017
2	<u>Draft</u> of Technical discussion paper on approaches to capacity building to advance interlinkages and integration in practice between SDG7 and other SDGs	3 <sup>rd</sup> week of June 2017
3	<u>Final</u> Technical discussion paper on the interlinkages between SDG7 and other SDGs	End of August 2017
4	<u>Final</u> Technical discussion paper on approaches to capacity building to advance interlinkages and integration in practice between SDG7 and other SDGs	End of August 2017



## V. TIME FRAME, PAYMENT AND OTHER REQUIREMENTS

### Duration

The duration of the consultancy is 28 work-days over the period of 5 June to 31 August 2017.

### Fee and Payment Schedule

The Expert will be paid in two instalments upon submission and acceptance of the deliverables according to the following schedules:

Payment amount	Target date (week)	Deliverable
USD 8,000	3 <sup>rd</sup> week of June 2017	#1. Technical discussion paper on SDG7 interlinkages (DRAFT)  #2. Technical discussion paper on approaches to capacity building to advance interlinkages and integration in practice between SDG7 and other SDGs (DRAFT)
USD 8,000	End of August 2017	#3. Technical discussion paper on SDG7 interlinkages (FINAL)  #4. Technical discussion paper on approaches to capacity building to advance interlinkages and integration in practice between SDG7 and other SDGs (FINAL)

### Qualifications/special skills

#### EXPERIENCE:

- Minimum of 15 years of progressively responsible relevant experience in policy oriented work in a broad range of sustainable development issues and in particular in energy, ten years of which must be at the international level, either in the public or private sector, is required.
- Experience with capacity development, particularly in the fields of sustainable development and energy, is required.
- Knowledge and experience working with UN system organizations is highly desirable;
- Experience working with a wide range of stakeholders is highly desirable.

#### ACADEMIC QUALIFICATIONS:

The expert should possess an advanced university degree (Master's degree or equivalent degree) in sustainable development, economics, public policy, natural resources management, engineering, business or public administration, finance, accounting, law, social sciences, or related field.

#### LANGUAGE:

English and French are the working languages of the United Nations Secretariat. Full knowledge and fluency of English (both written and oral) is required for this consultancy. Fluency in other UN languages is an asset.

**SKILLS:**

The expert should have excellent analytical and capacity building skills and ability to produce high-quality reports in English and edit work of others. He or she should also have demonstrated ability to deal with senior government officials and other high-level representatives from government, business, civil society and international organizations on matters related to sustainable development and energy. The expert should also have outstanding oral and written communication skills as well as time management skills, including the ability to plan and prioritize work duties as well as work competently under pressure and tight deadlines.

**Location and Travel**

The consultancy is home-based with a mission to Bangkok, Thailand. Travel expenses will be paid separately by the United Nations according to its rules and regulations.





**Fw: SRIVASTAVA, Leena - CONSULTANCY WITH UNDESA/DIVISION FOR SUSTAINABLE DEVELOPMENT (DSD)**

Leena Srivastava to: Dhanraj Singh  
Cc: "Pradeep Padhy (pradeep.padhy@teriuniversity.ac.in)"

06/17/2017 06:51 AM

I will forward these amounts to the University once received.

Leena Srivastava  
Vice Chancellor, TERI University

Plot No. 10 Institutional Area, Vasant Kunj, New Delhi - 110 070  
Tel. +91 11 71800222, 26122222, 26139110, 26139011, Fax +91 11 26122874  
www.teriuniversity.ac.in

e-mail: leena@teri.res.in

----- Forwarded by Leena Srivastava/Teri University/TERI on 17-06-2017 06:51 -----

From: Cristina Gomez <gomez3@un.org>  
To: LEENA@TERIUNIVERSITY.AC.IN  
Cc: Tom Pjeter Rudi <rudi@un.org>, Ivan Vera <vera@un.org>, Louise Gagne <gagne1@un.org>, Armin Plum <pluma@un.org>, Nadine Salame <salame1@un.org>, Tezetta H Henderson <tezettah@un.org>, Lissy Joseph <joseph1@un.org>  
Date: 17-06-2017 02:09  
Subject: SRIVASTAVA, Leena - CONSULTANCY WITH UNDESA/DIVISION FOR SUSTAINABLE DEVELOPMENT (DSD)

Dear Ms. Srivastava,

With reference to above subject, please be advised that UNDESA/DSD has requested to engage you as a Consultant from 21 June 2017 to 31 August 2017 (28 days within those dates), to prepare two technical papers on linkages between Energy and SDGs for discussion during the "2018 High level Political Forum" to be held in Bangkok at the end of June 2017 (28-30), and other related activities and deliverables, as outlined in the attached Terms of Reference (TOR). Please refer to the TOR for further details regarding this assignment.

The proposed fees for above consultancy will be for a total of US\$ 16,000 which will be paid in two instalments of US\$ 8,000 each (as specified in the TOR under "Fee and Payment Schedule"), upon completion of deliverables and certified by DESA/DSD. You will also be required to travel from India to Bangkok for above mentioned meeting for which purpose, DESA/DSD will provide tickets and pay for other travel related expenses.

I would be grateful if you could advise by return your acceptance to this offer, conditions and proposed fees. Lastly, please be advised that we have on file required documents to process this consultancy; however, we need copy of your passport. Should we need further information/documentation, my colleagues Tezetta or Lissy will contact you.

Thank you in advance for your prompt reply. Best regards,

Cristina Gomez

Human Resources Officer

Capacity Development Office | Department of Economic and Social Affairs

United Nations | Room DC1-2584 | Email: [gomez3@un.org](mailto:gomez3@un.org) | Tel: +1 (917) 367-8022 | [www.un.org/desa](http://www.un.org/desa)



ToR SD Consultant - Bangkok symposium.docx

Posting Title: **CONSULTANT – SUSTAINABLE DEVELOPMENT/ENERGY**

Department/Office: Department of Economic and Social Affairs/Division for Sustainable Development

Duration: 28 work-days during 21 June – 31 August 2017

## I. BACKGROUND

The 2030 Agenda for Sustainable Development fully recognizes the critical importance of energy for sustainable development by establishing Sustainable Development Goal 7 (SDG 7), the first-ever global goal and targets on energy, including:

- By 2030, ensure universal access to affordable, reliable and modern energy services;
- By 2030, increase substantially the share of renewable energy in the global energy mix;
- By 2030, double the global rate of improvement in energy efficiency.

Energy is crucial for achieving almost all of the Sustainable Development Goals, from its role in the eradication of poverty through advancements in health, education, water supply and industrialization, to combating climate change. Achieving SDG7 will play a decisive role in setting the world on a pathway toward limiting global temperature rise to below 2 °C and meeting the Paris Agreement on climate change.

Member States have established the High-Level Political Forum (HLPF) to ensure progress on the 2030 Agenda. Specifically, SDG7 on energy will be in-depth reviewed for the first time at the HLPF in July 2018. This will provide an opportunity for the UN system to enhance international dialogue and cooperation on energy, facilitate mainstreaming of SDG7, and consolidate inputs to contribute to the thematic reviews of SDG7 and interlinked SDGs at the HLPF.

## II. OBJECTIVE

The objective of the consultancy is to prepare two technical papers: (1) on energy's interlinkages with SDGs, and, (2) on capacity building activities related to energy and its linkages with other SDGs. These reports are to be fed into the discussions at a series of *Symposiums and Meetings* in 2017 and 2018 to be conducted in Asia, Europe, Latin America and Africa in support of the first global review of SDG 7 in the 2018 HLPF.

The proposed *Symposiums and Meetings* will provide a platform to discuss major issues related to progress on SDG7 and its interlinkages with other SDGs. They will also provide an excellent opportunity for knowledge exchange among Member States and the UN system for advancing the implementation of the 2030 Agenda, including supporting the preparations of the Voluntary National Reviews, especially towards HLPF 2018.

These symposiums are also expected to further define a comprehensive global energy assessment, informed by technical briefs on energy's linkages with other SDGs and discussions on means of implementation.

Outcomes of the discussions from these events will provide inputs to a *Preparatory Meeting in support*



of the global review of SDG7, to be held on 7-8 December 2017 at the UN HQs in New York.

### III. DUTIES AND RESPONSIBILITIES

Under the guidance of the Water, Energy and Capacity Development Branch of the Division for Sustainable Development, the consultant will:

- Prepare a technical discussion paper (approx. 20-30 pages) on conceptual/practical linkages between SDG7 and other SDGs, including an analysis of main challenges, gaps and opportunities in advancing the interlinkages and integration. To illustrate the energy interlinkages, lessons learned and experiences from real examples should be incorporated. It should also present way forward and next steps, for all actors to consider.
- Prepare a technical discussion paper (approx. 20-30 pages) on approaches to capacity building to advance the interlinkages and integration in practice between SDG7 and other SDGs. The objective of this paper will be to identify key approaches and explore a generic guiding framework for capacity building to advance the knowledge about interlinkages and integration. It should also present a way forward and next step, including actions that should be taken by governments, UN and all stakeholders. The report should also include development and application of approaches, guiding frameworks and tools, and ways to continue to enhance knowledge, experience and learning, including through international/local conferences, global networks, etc.
- Present both papers and lead expert discussions on both topics at the Symposium on "Progress on SDG7 and its interlinkages with other SDGs in support of the First Review of SDG7 during the 2018 High-Level Political Forum", to be held in Bangkok, Thailand, in 28-30 June 2017, and organised by the Division for Sustainable Development of UN DESA, its UN Office for Sustainable Development (UNOSD) and the UN Economic and Social Council for Asia and the Pacific (ESCAP).

A draft version of both papers should be ready in time for the symposium to be held in Bangkok, Thailand to take place 28-30 June 2017. Final versions of both papers should be ready by end of August 2017.

### IV. DELIVERABLES

#	Deliverable	Target due date
1	<u>Draft</u> of Technical discussion paper on the interlinkages between SDG7 and other SDGs	4 <sup>th</sup> week of June 2017
2	<u>Draft</u> of Technical discussion paper on approaches to capacity building to advance interlinkages and integration in practice between SDG7 and other SDGs	4 <sup>th</sup> week of June 2017
3	<u>Final</u> Technical discussion paper on the interlinkages between SDG7 and other SDGs	End of August 2017
4	<u>Final</u> Technical discussion paper on approaches to capacity building to advance interlinkages and integration in practice between SDG7 and other SDGs	End of August 2017

## V. TIME FRAME, PAYMENT AND OTHER REQUIREMENTS

### Duration

The duration of the consultancy is 28 work-days over the period of 21 June to 31 August 2017.

### Fee and Payment Schedule

The Consultant will be paid in two instalments upon submission and acceptance of the deliverables according to the following schedules:

Payment amount	Target date (week)	Deliverable
USD 8,000	4th week of June 2017	#1. Technical discussion paper on SDG7 interlinkages (DRAFT) #2. Technical discussion paper on approaches to capacity building to advance interlinkages and integration in practice between SDG7 and other SDGs (DRAFT)
USD 8,000	End of August 2017	#3. Technical discussion paper on SDG7 interlinkages (FINAL) #4. Technical discussion paper on approaches to capacity building to advance interlinkages and integration in practice between SDG7 and other SDGs (FINAL)

### Qualifications/special skills

#### EXPERIENCE:

- Minimum of 15 years of progressively responsible relevant experience in policy oriented work in a broad range of sustainable development issues and in particular in energy, ten years of which must be at the international level, either in the public or private sector, is required.
- Experience with capacity development, particularly in the fields of sustainable development and energy, is required.
- Knowledge and experience working with UN system organizations is highly desirable;
- Experience working with a wide range of stakeholders is highly desirable.

#### ACADEMIC QUALIFICATIONS:

The expert should possess an advanced university degree (Master's degree or equivalent degree) in sustainable development, economics, public policy, natural resources management, engineering, business or public administration, finance, accounting, law, social sciences, or related field.

#### LANGUAGE:

English and French are the working languages of the United Nations Secretariat. Full knowledge and fluency of English (both written and oral) is required for this consultancy. Fluency in other UN languages is an asset.



**SKILLS:**

The consultant should have excellent analytical and capacity building skills and ability to produce high-quality reports in English and edit work of others. He or she should also have demonstrated ability to deal with senior government officials and other high-level representatives from government, business, civil society and international organizations on matters related to sustainable development and energy. The consultant should also have outstanding oral and written communication skills as well as time management skills, including the ability to plan and prioritize work duties as well as work competently under pressure and tight deadlines.

**Location and Travel**

The consultancy is home-based with a mission to Bangkok, Thailand. Travel expenses will be paid separately by the United Nations according to its rules and regulations.

### CONTRACT FOR SERVICES

The Energy and Resources Institute (TERI), a society registered under Societies Registration Act, 1860 is a not for profit organisation engaged in research in the fields of energy, environment and sustainable development with its registered office at Darhara Seth block, Indian Habitat Centre, Lodi Road, New Delhi 110003 (hereinafter referred to as "TERI")

AND

TERI School of Advanced Studies (TERI SAS), a deemed university under Section 3 of UGC Act having its registered office at Plot No. 10 Institutional Area, Vasant Kunj, New Delhi - 110 070 (hereinafter referred to as "TERI-SAS").

#### **1. Background**

TERI has undertaken two projects entitled "Developing Country Participation in Addressing Climate Change: Research on policy instruments for achievement of India's NDCs" in short "NFA" & "Strengthening Non-state Climate Action in the Global South" in short "Climate South". In this context TERI wishes to engage the services of the Consultant under these projects.

TERI-SAS in turn has agreed to enter into a Sub-contract with TERI to provide technical and others inputs in the execution of the overall projects sponsored by the Norwegian Ministry of Foreign Affairs and Volkswagen Stiftung respectively.

Under this subcontract agreement, TERI and TERI-SAS agree on the following terms and conditions. The sub contract will be implemented by Dr. Manish Kumar Shrivastava, on behalf of TERI SAS, hereinafter referred to as "Consultant"

#### **2. Services to be provided by the Consultant**

TERI-SAS will provide following services:

- a. The key area of work would be related to following projects:
  - a. NFA (Developing Country Participation in Addressing Climate Change: Research on policy instruments for achievement of India's NDCs)
  - b. Climate South (Strengthening Non-state Climate Action in the Global South)
  - c. To participate in project activities like coordination, consultation and dissemination workshops.

#### **3. Expected outcome**

The Consultant is expected to author/co-author policy briefs during his period of engagement. The Consultant is also expected to train the project team and contribute to project activities as the need arises.

#### 4. Timelines

The contract will be valid for a period of 12 months starting from 1st July 2018 till 30<sup>th</sup> June 2019. This Contract will enter into force as of the later date of signature by either Party (the 'Effective Date') and will remain in force until satisfactory performance of the services and completion of all inputs/tasks by the Consultant as outlined under Clauses 2 and 3.

The Consultant will be working 50 days (excluding travel days) in total in the contract duration. Based on the consultant's availability, there would be flexibility in the fixing of the dates for his presence each month, to achieve the defined deliverables. This will be done on a monthly basis in discussion with the respective PI and AC/AD.

The consultant will undertake travel as and when needed with the prior consent of the PI and AC/AD. All travel & logistics costs will be borne through the project on actuals. The days spent on the field should not exceed 20 and will be paid additionally @ Rs. 9,120/- per day basis.

#### 5. Payment and mode of payment

TERI-SAS shall be paid for conducting activities under clauses 2 and 3, a daily amount of Rs 9,120/-/day plus taxes as applicable e.g., GST. This amount shall be released on submission of an invoice by TERI-SAS.

TERI will deduct income tax at source from each remittance at the prevalent rate as per the Income Tax rules of the Government of India. The release of payment from TERI to TERI-SAS would be subject to the receipt of funding from the project and on receipt of invoices and deliverables from the Consultant to TERI.

Payment will be made to the TERI SAS in INR. Should payment to the TERI-SAS generate any bank transfer fees, TERI will only pay the fees charged by the originating bank, but not those that may be levied by the receiving bank. TERI will not reimburse any other costs. Invoices will be sent to THE ENERGY AND RESOURCES INSTITUTE ("TERI"), Darbari Seth Block, IHC Complex, Lodhi Road, New Delhi - 110 003, India.

#### 6. Confidentiality

For the purposes of this contract, Confidential Information means information of a confidential nature acquired by the Consultant in the course of performance of this Contract, such as government data, TERI procedures, information and trade secrets and know how which is appropriately marked, provided such information:

- (a) is not generally known or publicly available from other sources;
- (b) has not previously been made available by the owner to others without obligation
- (c) concerning its confidentiality; and
- (d) is not already in the possession of the Consultant without obligation concerning its confidentiality.

The Consultant and its experts, employees, agents and representatives will maintain the confidentiality of all Confidential Information derived or relating to the activities of TERI which

may become known to the Consultant in the course of the negotiation or performance of this Contract. Unless TERI gives prior written authorization to do so, the Consultant will not disclose any unpublished information obtained from TERI in course of the negotiation or performance of this Sub-contract.

#### **7. Termination**

In the event of unsatisfactory performance or failure by the Consultant to comply with any obligations under this Contract, TERI reserves the right to terminate this Contract without any prior notice to TERI SAS and without prejudice to any rights or remedies to which TERI may be entitled. TERI-SAS may terminate this contract by giving 15 days' notice to TERI in writing. In case of termination, the work will be calculated on a pro rata basis of such termination upon presentation by the Consultant of a written memorandum of such part of the work already performed at such date. Notwithstanding the foregoing, TERI will only be required to pay TERI-SAS for high-quality work that TERI considers, in its sole judgment that the Consultant has performed to date.

#### **9. Status of Consultant and Others.**

Neither the Consultant, nor its expert, employees, agents or representatives will:

- be entitled to commit TERI to any expenditure whatsoever beyond those specifically approved by TERI, and/or

- be entitled to claim any consideration, recompense, refund or repayment not provided for in this Contract

#### **10. Intellectual Property**

TERI-SAS agrees to assign to TERI all work carried out in performance of this Contract and the intermediate and final results thereof, as well as all copyright and others intellectual property rights arising from such work and results. Such work will be the sole property of TERI, which may dispose of it as it sees fit. TERI-SAS undertakes not to use said work or results for any purpose whatsoever, including not publishing any deliverables deriving from its collaboration with TERI, either partly or entirely, except with the prior written consent of TERI.

In conformity with the Universal Copyright Convention, full acknowledgement shall be given to TERI, as being the source of any material made available by TERI to the Consultant, for carrying out the services outlined in Clauses 2 and 3 of this Contract.

The Consultant will ensure that any and all of its experts, employees, agents and representatives will also be fully bound by the terms of this Article.

#### **11. Indemnification**

TERI-SAS agrees to defend, indemnify, and hold harmless TERI from and against any and all loss of profits, claims, actions, causes of action, or liabilities, including reasonable attorneys' fees, arising out of or resulting from any act undertaken or committed by the Consultant in connection with the performance of the Consultant's obligations under this Contract. TERI also agrees to defend, indemnify, and hold harmless TERI-SAS from any liability resulting from any claim, action or cause of action, which may be asserted by third parties arising out of the performance of the Consultant's obligations under this Contract, except those actions which are due to the misconduct or negligence of TERI.

### 12. Non-Assignability; Sub-contracts

The Consultant will not engage any subcontractor to perform its work in whole or in part under this Contract unless prior written authorization is given by the TERI and TERI-SAS to that effect.

### 13. Disputes and Arbitration

Any dispute relating to this Contract that cannot be settled amicably between the Parties within twenty (20) days of notification of a dispute by one Party to the others will be referred to Director General, TERI, and Vice Chancellor, TERI-SAS for arbitration. The arbitrators' decision will be binding on all parties and there will be no appeal.

### 13. Jurisdiction

Courts in Delhi will have jurisdiction over this agreement

This Contract will be signed in two originals, each of which will be accorded equal validity.

Signed


For TERI

  
Rajagopal  
Addl. General Manager (Project Management)  
The Energy and Resources Institute  
Gurukul Road Block - 1, Connaught Place  
New Delhi, New Delhi - 110 028

Witness : Ritesh Kumar Jha  
Sr. Secretary, TERI

Signed

For TERI-SAS

  
Capt. Pradeep K. Padhy (Retd.)  
Registrar  
TERI School of Advanced Studies  
New Delhi - 110 028

Witness:

Dr. Manish Kumar Srivastava, Vasant Kojaj  
Assistant Professor, TERI SAS

Date and place: 26/02/2019

Date and place: 26/02/2019



## AGREEMENT

The Energy and Resources Institute (TERI) is a not for profit research organization engaged in research in the fields of energy, environment & associated issues and hereinafter referred to as TERI and having its registered office at Darbari Seth Block, C/O India Habitat Centre, Lodhi Road, New Delhi 110003.

AND

TERI School of Advanced Studies (TERI-SAS) having its registered office at Plot No. 10 Institutional Area, Vasant Kunj, New Delhi - 110 070 / India.

TERI has undertaken a project entitled "Mahindra TERI Centre of Excellence, India" (hereinafter called the Project) from Mahindra Group hereinafter Sponsor and TERI has entered into a contract with the Sponsor. TERI-SAS has agreed to provide technical inputs to TERI for the project, and has agreed to enter into an agreement with TERI to provide technical and other inputs in the execution of the Project.

Under this agreement, TERI and TERI-SAS agree on the following terms and conditions.

### 1. Tasks to be performed by Prof Arun Kansal from TERI-SAS

- (1) Providing technical insights and guidance to TERI team at each stage of development.
- (2) Reviewing all deliverables as per attached proposal
- (3) Any other additional technical support needed in favour of project

### 2. Adherence to time schedule and workplan

TERI-SAS will provide its technical inputs to TERI team for the tasks outlined in attached proposal. Such inputs whenever sought by TERI is to be provided within a reasonable time.

### 3. Payment

TERI will pay to TERI-SAS professional charges of Rs 7,50,000 (Rs Seven lakh Fifty thousand only) plus applicable GST and covers all expenses that TERI-SAS shall have to incur for providing technical inputs and also fulfilling all conditions as outlined in attached proposal.

This amount will be released as per the following schedule.

- 50% Advance with work order (Date of signing contract)
- 50% after completion, submission and sponsor approval on deliverables

It may be noted that TERI will deduct income tax at source from each remittance at the prevalent rate as per the Income Tax Rules of the Government of India.

- (ii) TERI will take care of all expenses that TERI-SAS may have to incur for executive of the activities as deemed necessary by TERI.

The release of payment from TERI to TERI-SAS would be subject to the receipt of funding from the Sponsor and on receipt of invoice and deliverables from TERI-SAS to TERI as per payment schedule given above.

## 3.5.2.B.

4. Termination

This agreement may be terminated by TERI upon one month's notice to TU. Upon such termination at any time during the agreement period, TERI-SAS will be compensated for all completed and duly accepted services rendered by it up to the date of termination.

5. Period of Agreement

The agreement will be in force for a period of 15 months from the date of signing.

6. Commencement of Agreement

The tasks given under clause 1 will commence from the date of signing of this agreement. This agreement will remain in force till the completion of the tasks given under clause 1 or till termination but not beyond.

for TERI



Name: K. Rajagopal

Designation: Addl Gen Manager (Proj Monitoring)

..

Date & Place: 31 Jan 2019; New Delhi

for TERI School of Advanced Studies



Name: ..... Capt. Pradeep K. Padhy (Retd.)

Designation: Registrar .....

TERI School of Advanced Studies  
10, Institutional Area, Vasant Kunj  
New Delhi-110 070

Date & Place: 04 Feb 2019

## AGREEMENT

The Energy and Resources Institute (TERI) is a not for profit research organization engaged in research in the fields of energy, environment & associated issues and hereinafter referred to as TERI and having its registered office at Darbari Seth Block, C/O India Habitat Centre, Lodhi Road, New Delhi 110003.

AND

TERI School of Advanced Studies (TERI-SAS) having its registered office at Plot No. 10 Institutional Area, Vasant Kunj, New Delhi - 110 070 / India.

TERI has undertaken a project entitled "Energy Audit and Pre-Feasibility Study for Namangan City Suvokova (1256781), Uzbekistan" (hereinafter called the Project) from World Bank hereinafter Sponsor and TERI has entered into a contract with the Sponsor. TERI-SAS has agreed to provide technical inputs to TERI for the project, and has agreed to enter into an agreement with TERI to provide technical and other inputs in the execution of the Project.

Under this agreement, TERI and TERI-SAS agree on the following terms and conditions.

### 1. Tasks to be performed by TERI-SAS

- (1) Field visit to Namangan SUE
- (2) Preparation of hydraulic modelling
- (3) Inputs for finalization of reports

### 2. Adherence to time schedule and workplan

TERI-SAS will provide its technical inputs in the form of a draft report for the tasks outlined under clause 1 as per the following schedule:

- First draft report by (November 2018)
- Second draft report incorporating the comments on the first draft report by (February 2019)
- Third draft report incorporating the comments on the second draft report by (April 2019)

### 3. Payment

TERI will pay to TERI-SAS a lump sum of Rs 2,03,400/- (Rupees Two Lakhs three thousand four hundred only) which includes service tax and covers all expenses that TERI-SAS shall have to incur for providing technical inputs and also fulfilling all conditions as listed in clauses 1 and 2.

This amount will be released as per the following schedule.

- 20% Advance with work order (Date of signing contract)
- 20% after completion of field work (Submission of second draft report)
- 60% after submission of final analyzed data (Submission of third draft report)

It may be noted that TERI will deduct income tax at source from each remittance at the prevalent rate as per the Income Tax Rules of the Government of India.

- (ii) TERI will take care of all expenses that TERI-SAS may have to incur for executive of the activities as deemed necessary by TERI.

## 3.5.2.B.

The release of payment from TERI to TERI-SAS would be subject to the receipt of funding from the Sponsor and on receipt of invoice and deliverables from TERI-SAS to TERI as per payment schedule given above.

4. Termination

This agreement may be terminated by TERI upon one month's notice to TU. Upon such termination at any time during the agreement period, TERI-SAS will be compensated for all completed and duly accepted services rendered by it up to the date of termination.

5. Period of Agreement

The agreement will be in force for a period of 12 months from the date of signing.

6. Commencement of Agreement

The tasks given under clause 1 will commence from the date of signing of this agreement. This agreement will remain in force till the completion of the tasks given under clause 1 or till termination but not beyond.

7. Submission of reports vis-à-vis workplan

TERI-SAS will submit inputs for the technical report for activities specified in clause 1 to be developed and finalized by TERI

for TERI

for TERI School of Advanced Studies

Name: .....  
Designation: .....

Name: .....  
Designation: .....

Date & Place: .....

Date & Place:



# 3.5.2.B FW: Acceptance request to add you as a team member in project titled Energy Audit and Pre-Feasibility Study for Namangan City

Dhanraj Singh

Wed 9/26/2018 12:34 PM

To: Devvrata Guglani <Devvrata.Guglani@terisas.ac.in>;

Cc: Arun Kansal <akansal@terisas.ac.in>; vikas prasad <vikas.prasad@terisas.ac.in>;

Dear Devrath,

Please create new project for under mentioned study.

Best regards,

Dhanraj Singh

From: Arun Kansal

Sent: 26 September 2018 12:32

To: Dhanraj Singh <dhanraj.singh@terisas.ac.in>

Subject: FW: Acceptance request to add you as a team member in project titled Energy Audit and Pre-Feasibility Study for Namangan City

From: Arun Kansal

Sent: 13 July 2018 13:38

To: Dhanraj Singh <dhanraj.singh@terisas.ac.in>

Cc: Rajiv Seth <rseth@terisas.ac.in>

Subject: FW: Acceptance request to add you as a team member in project titled Energy Audit and Pre-Feasibility Study for Namangan City

Dear Dhanraj

Please note this.

From: Dr G R Narasimha Rao <grnrao@teri.res.in>

Sent: 12 July 2018 14:27

To: akansal@teri.res.in

Subject: Acceptance request to add you as a team member in project titled Energy Audit and Pre-Feasibility Study for Namangan City

Dear Prof Arun Kansal

I plan to add you as a team member in my project whose details are presented below .

I request your acceptance and look forward for your contribution towards this project.

Project title	Energy Audit and Pre-Feasibility Study for Namangan City
Project code	2018IB16
Sponsor	The World Bank Group
Assigned activities	Demand Response Report
Person months allocated	0.30



2018DW304

Assigned activities      Prefeasibility study report  
3.5.2.B.  
Person months allocated    0.30  
Assigned activities      Completion report  
Person months allocated    0.40

If you do not respond within 5 days, system will auto-accept this request on your behalf.

Regards

Dr G R Narasimha Rao

Please click on below url to accept/decline this request

[http://pms.teri.res.in/project\\_team\\_member.aspx?p\\_code=2018IB16&empcode=E035](http://pms.teri.res.in/project_team_member.aspx?p_code=2018IB16&empcode=E035)

2017 DWS 04

# ICIMOD

## Institutional Agreement

between  
International Centre for Integrated Mountain Development  
and  
TERI School of Advanced Studies

Agreement No: CONIS 00811

**Purpose** : PREPARATION OF AN ASSESSMENT REPORT OF WATER STUDY HIGHER  
EDUCATION INSTITUTIONS IN INDIA

**Effective date** : 1 March 2018

**End date** : 15 May 2018

THIS INSTITUTIONAL AGREEMENT [Agreement] is made on 1 March 2018, by and between the INTERNATIONAL CENTRE FOR INTEGRATED MOUNTAIN DEVELOPMENT ["ICIMOD"], Nepal and TERI SCHOOL OF ADVANCED STUDIES (TSAS), New Delhi, India ("the Collaborator") to acquire consultancy services of the Collaborator.

WHEREAS, the ICIMOD desires to engage the Collaborator to acquire services on the terms and conditions hereinafter set forth,

WHEREAS, the Collaborator is willing to provide these services and has accepted to undertake the tasks on the agreed terms and conditions,

NOW THEREFORE, ICIMOD and TSAS (hereafter referred to as Parties) agree to enter into this Institutional Agreement to achieve the agreed objectives under this cooperation.

NOW THEREFORE THE PARTIES hereby agree as follows:

### 1. Roles and Responsibilities

- a. The Collaborator shall provide the services specified in Terms of Reference (ToR) as in Annex-I which is an integral part of this Agreement.
- b. The Collaborator shall be responsible for the professional and technical services of highest quality for the implementation of this Agreement.
- c. The Collaborator shall notify immediately to ICIMOD in writing if any situation or circumstances that may cause delays, prevent or influence during the course of implementation of the assignment.
- d. The Collaborator shall deliver a final report which shall set forth in detail, all the work done as per the ToR within the time of the Agreement.

### 2. General Conditions

- a. The Collaborator shall not assign, transfer, pledge or make other disposition of this Agreement or any other parts thereof or rights, claims or obligations under this Agreement, without prior written approval of ICIMOD.
- b. During the duration of this Agreement, the Collaborator shall not provide goods, works or services (other than services that would not give rise to a conflict of interest) to other organizations without ICIMOD's consent.
- c. Any related documents, reports, estimates or any data compiled or received by the Collaborator under this Agreement is the property of ICIMOD, shall be treated as confidential and shall be delivered only to the duly authorized officials of ICIMOD. Under no circumstances shall the contents of such documents or data be made known to any unauthorized person/organization without the prior permission of ICIMOD.
- d. The Collaborator will be responsible for taking out, at its own expenses, medical and personal accidental insurance covering the period of this Agreement.
- e. The Collaborator shall obtain certificates, permits, approvals, licenses, and other documents required under applicable laws, regulations and decrees which are required in order to perform the services under the Agreement.

### 3. Budget and Disbursement

- a. The total amount payable to the Collaborator under this Agreement is USD 5,000 (In words USD Five Thousand only). The details of the budget are provided in the Annex II. This amount has been established based on the understanding that it includes all of the Collaborator's costs and any tax obligation that may be imposed on the Collaborator.
- b. The Collaborator shall not engage in any work, provide equipment, materials or supplies; or perform any other services which may result in any change in excess of the above mentioned amounts without the prior written consent of ICIMOD.
- c. ICIMOD will disburse agreed amount to the Collaborator on the basis of the deliverables received. The fund disbursement shall be made as follows:

S/N	Deliverables	Due date	Payment
1	Upon signing of Agreement and request letter	1 March 2018	US \$ 2,500
2	i) Final report and output(s) ii) Financial report/ Institutional Invoice	15 May 2018	US \$ 2,500

- d. To request a disbursement, the Collaborator shall submit:
  - i. For the first disbursement, the amount will be released on the basis of this Agreement. However, the Collaborator needs to submit an advance request with necessary bank details.
  - ii. For the second/final disbursement, agreed deliverables (Outputs) together with financial report/ institutional invoice are to be submitted by Collaborator.
  - iii. Certified copy of all the actual supporting documents for the expenditure claimed by the collaborator for that particular period. The actual supporting documents should be retained by the collaborator till the end of the required period (refer: Clause 3.h) for necessary audit by ICIMOD or the donor. Photocopies of the actual supporting documents have to be certified by the Head of finance of the collaborator organization for submission to ICIMOD. Without the certified financial statement in the agreed format and the certified copies of all the supporting documents for the expenditure claimed, there will be no financial disbursements. The liquidation of final disbursement should be made by submission of the required financial report and the supporting documents within 1 month after the completion of the Agreement.



- e. Payment shall be made within 30 days after receipt of verified and approved deliverables by ICIMOD for which payment is requested. ICIMOD reserves the right to withhold payment subject to deliverables completion verification.
- f. Payment shall be made to the Collaborator by the Account Payee Cheque or through bank transfer.
- g. The Collaborator shall maintain accounting books, records, documents and other evidence relating to the Agreement, adequate to show, without limitation, all costs incurred and revenues earned by the Collaborator for the project and the overall progress toward completion of the project. It shall maintain project books and records in accordance with the generally accepted accounting standards. Project books and records must be kept in the possession of the Collaborator for at least up to 15 May 2025.
- h. The accounts of this activities under the Institutional Agreement will be subject to financial audit by ICIMOD anytime during the period of the agreement. If deemed necessary, ICIMOD might also assign an external auditor to do the final audit. Similarly, there may also be requests from the project sponsors/donors for a specific audit. In such cases, the audit schedule will be provided to the Collaborator in advance and the Collaborator without any demur shall comply with this provision.

#### 4. Taxation

The Collaborator themselves shall be responsible for compliance with tax laws including withholding tax, income and other indirect taxes for the activities funded through this Agreement. Any taxes or other government liabilities arising out of any payments made from this Agreement will be the responsibility of the collaborator. ICIMOD is in no case be liable for any such taxes or liabilities.

#### 5. Administration

ICIMOD and Collaborator designate the following as respective focal persons who will be responsible for the coordination of activities under this Agreement. The ICIMOD focal person will also be responsible for receiving and approving deliverables and invoices for the payment.

<b>ICIMOD</b>  Dr Chi Huyen Truong Programme Coordinator, HUC Khumaltar, Kathmandu, Nepal Tel: + 977 1 5275222 Email: chi.truong@icimod.org	<b>TERI School of Advanced Studies</b>  Name: Dr Arun Kansal Dean, Research and Relationships New Delhi, India Tel: + 91 9213373000 Email: akansal@terisas.ac.in
---	---

#### 6. Arbitration

Any dispute arising out of or in connection with this Agreement which cannot be settled by mutual agreement shall be submitted for arbitration to three arbitrators. Each party shall appoint one arbitrator each. The two appointed arbitrators thus appointed shall agree on the third one. The arbitrators shall rule on the costs which may be divided between the Parties. The decision rendered in the arbitration shall constitute the final decision of the dispute.

#### 7. Amendment

Any modification or change to this Agreement shall require an amendment in writing between both Parties duly signed by the authorized representatives of ICIMOD and the Collaborator.

#### 8. Termination

- a. If the Collaborator has not met the applicable conditions required for disbursement in the ToR by the applicable deadline(s), or if the Collaborator fails to achieve the programmatic targets set forth in this Agreement within the periods set forth therein, ICIMOD may, at any time, and in its sole discretion, terminate or suspend this Agreement, in whole or in part, by written notice to the Collaborator.
- b. Either Party may terminate this Agreement upon 30 days prior written notice to the other if considers termination justified on the grounds that no further purpose would be served by continuing with the activity/project. In the event of such termination the Collaborator shall be compensated for the actual amount of work performed to the satisfaction of ICIMOD on a pro-rata basis. Such notice of termination will only be given by either Party after full discussion with the other Party of the reasons for the proposal to give such notice.

#### 9. Entry into Force

This Agreement between ICIMOD and TSAS shall enter into force upon signature by the Parties. Each Party will hold a copy of the signed Agreement in the English language and having equal validity.

The duly authorized representatives of the Parties affix their signatures below,

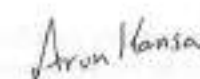
For ICIMOD



David Molden, PhD  
Director General

Date and Seal: 6 March 2018

For TERI School of Advanced Studies



Arun Kansal, PhD  
Dean, Research and Relationships

Date and Seal: 7 March 2018



Dean (Research and Relationships)  
TERI School of Advanced Studies  
10, Institutional Area, Vasant Kunj  
New Delhi-110070





## ANNEX I: Terms of Reference

### PREPARATION OF AN ASSESSMENT REPORT OF WATER STUDY HIGHER EDUCATION INSTITUTIONS IN INDIA

#### BACKGROUND

Hindu Kush Himalaya (HKH) region is the source of ten large Asian river systems and provides water, ecosystem services, and the basis for the livelihoods of more than 210 million people in the South Asian region. Five of the eight HKH countries – Bangladesh, Pakistan, India, Nepal and Bhutan – share twenty major rivers emanating from the Greater Himalaya. The basins of these rivers provide water to 1.3 billion people. The river flow regimes, the supply of water and food and energy security in the region are under direct impact from changes in temperature, precipitation and Himalayan glacier dynamics. Due to this trans-boundary interconnectedness in water resources and its high relevance in regional stability and sustainable and inclusive growth, regional cooperation is imperative in the management and development of water resources. A regional integration and cooperative transboundary water resource management in turn requires increased accountability and transparency of governments, improved technology, infrastructure, market access, delivery of services, and enhanced social institutions.

Among the latter, universities and research centers play a key role in generating, sharing and disseminating knowledge on water resources and provide evidence for effective intergovernmental integrated water resources management policies and practice. Universities and research centers in the region, however, have largely not been able to fulfill their important tasks. This is mostly due to the lack of resources and inadequate institutional and research capacity. Moreover, an information sharing mechanism and platform as well as substantive coordinated efforts in creating and conducting collaborative research and training between institutions are seriously lacking.

As an initiative of the Regional Programme VI of the International Centre for Integrated Mountain Development (ICIMOD), the Himalayan University Consortium (HUC) has its mandate in developing an effective, sustainable network of universities in the HKH, in collaboration with academic, research and knowledge generating and exchange institutions both within and outside the HKH region. The HUC has received a grant from The World Bank, under its South Asia Water Initiative Phase II, aiming to strengthen its network and members' capacity in the field of water studies and management.

One of the key activities of the HUC WB Grant is to build a knowledge base of the state of the art of water studying higher education and research institutions in the region. To this end, a series of country inventory reports and in-depth assessments are commissioned by ICIMOD, to be administered by HUC Secretariat.

TERI School of Advanced Studies (TSAS) was established as a Trust by TERI - a not-for-profit, independent research institute recognized globally for its contribution to scientific and policy research in the realms of energy, environment, and sustainable development in 1998. TSAS was known as TERI

University from October 2006 to November 2017. Beyond the academic programmes around various themes of sustainable development with research-based evidence into the curriculum, TSAS is actively reaching out to mid-career professionals and policy makers to sensitize them towards the environment and sustainable development in a systemic, solutions-oriented manner.

TSAS has been a full member of HUC since October 2016. It has been taking lead in capacity strengthening activities in the field of climate change and environmental science among HUC members. Its Coca-Cola Department of Regional Water Studies plays a key role in various activities of the HUC WB SAWI Grant and is in effect the only academy unit that focuses on water study among the entire Consortium. For this reason, TSAS is selected to be awarded an international consultancy agreement for an assessment of water studying higher education and research institutions in India.

#### OBJECTIVE

The main objective of the ToR is to produce a preliminary inventory and analysis of the publicly available information of water studying higher education and research institutions in India, with a focus on the Himalayan States and issues pertaining to mountain waters.

#### EXPECTED OUTCOME

An assessment report, with annexes, detailing inventory and analysis of the publicly available information on current state water studying higher education and research institutions in India, with a focus on the Himalayan States.

#### REQUIREMENTS

TSAS will

- Design, tested tools, conduct sampling, and execute an inventory and assessment research
- Draft, revise, and finalize an assessment report and complete supporting documents (annexes).

#### DELIVERABLES

1. A research design for an assessment report, including inventory survey tools, sampling method, interview guideline, 15 March 2018.
2. A first draft of the report, 26 April 2018
3. Second and refined version, incorporating comments from HUC Secretariat and ICIMOD Resource Person, 15 May 2018.

#### REMUNERATION

- TSAS will receive a lump sum amount of USD5,000 (*five thousand US dollars*), to be made into two installments:
  - 50 per cent upon signing the Agreement.
  - 50 per cent upon the deliverable #3, 15 May 2018.
- There is no institutional overhead to be charged to the lump sum amount.

2017  
project

#### REPORTING

- A designated focal person of TSAS is expected to remain in frequent contact with the HUC Secretariat.

Dr Chi Huyen Truong  
Program Coordinator, HUC  
[Chi.Truong@icimod.org](mailto:Chi.Truong@icimod.org)  
Phone: +977 1 5003222 Ext. 172

- A brief financial report and institutional invoice is required for the lump sum allocation of USD5,000.

#### DURATION & TERMINATION

- The Agreement starts from 1 March 2018 and ends on 15 May 2018.

#### FINANCING

- The World Bank South Asia Water Initiative





208D4302

3.5.2.B  
From: Arun Kansal  
Sent: Wednesday, January 16, 2019 8:46:33 AM  
To: Pradeep Padhy  
Cc: Kamal Sharma  
Subject: FW: Scan Copy

Dear Capt Padhy

The attached contract is for a short term consultancy assignment to be completed by Feb 15. The assignment has been approved by our Vice Chancellor.

I request for your necessary action on receipt of the copy contract by post.

Regards,  
Prof. Arun Kansal, PhD  
Dean, Research and Relationships  
Head, Coca-Cola Department of Regional Water Studies  
TERI School of Advanced Studies, New Delhi  
Please note my new email id: [akansal@terisas.ac.in](mailto:akansal@terisas.ac.in)  
Also, please note the new URL for our website: [www.terisas.ac.in](http://www.terisas.ac.in)

---

From: [csourabh@karvy.com](mailto:csourabh@karvy.com) <[csourabh@karvy.com](mailto:csourabh@karvy.com)>  
Sent: 15 January 2019 19:20  
To: Arun Kansal <[akansal@terisas.ac.in](mailto:akansal@terisas.ac.in)>  
Cc: [aariz.queshi@karvy.com](mailto:aariz.queshi@karvy.com)  
Subject: FW: Scan Copy  
Importance: High

Dear Prof. Kansal,

Please find attached the signed contract. We will courier the same tomorrow morning for your signature.

Reagrds,  
Sourabh

This message (including any attachments) is intended only for the use of the individual or entity to which it is addressed and may contain information that is non-public, proprietary, privileged, confidential, and exempt from disclosure under applicable law or may constitute as attorney work product. If you are not the intended recipient, you are hereby notified that any use, dissemination, distribution, or copying of this communication is strictly prohibited. If you have received this communication in error, notify us immediately by telephone and (i) destroy this message if a facsimile or (ii) delete this message immediately if this is an electronic communication.





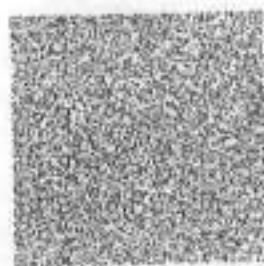
सत्यमेव जयते

## INDIA NON JUDICIAL

Government of National Capital Territory of Delhi

## e-Stamp

Certificate No.	: IN-DL29599330016680R
Certificate Issued Date	: 15-Jan-2019 03:27 PM
Account Reference	: IMPACC (IV)/ dl893603/ DELHI/ DL-DLH
Unique Doc. Reference	: SUBIN-DL89360364409499970203R
Purchased by	: KARVY DATA MANAGEMENT SERVICES LIMITED
Description of Document	: Article 5 General Agreement
Property Description	: Not Applicable
Consideration Price (Rs.)	: 0 (Zero)
First Party	: KARVY DATA MANAGEMENT SERVICES LIMITED
Second Party	: Not Applicable
Stamp Duty Paid By	: KARVY DATA MANAGEMENT SERVICES LIMITED
Stamp Duty Amount(Rs.)	: 100 (One Hundred only)



Please write or type below this line

## Institutional Contract

Between

Karvy Data Management Services Ltd.

And

TERI School of Advanced Studies

Contract No.



Institutional Contract Between KDF and TERI School of Advanced Studies

**Purpose** : SCORING OF INNOVATIONS AND BEST PRACTICES  
UNDER SWACHH SURVEKSHAN 2019

**Effective date** : 15 January 2019

**End date** : 10 February 2019

THIS INSTITUTIONAL CONTRACT [Contract] is made on 15 January 2019, by and between Karvy Data Management Services Limited (KDMS) and TERI SCHOOL OF ADVANCED STUDIES (TSAS), New Delhi, India ("the Collaborator") to acquire consultancy services of the Collaborator.

WHEREAS, the KDMS desires to engage the Collaborator to acquire services on the terms and conditions hereinafter set forth,

WHEREAS, the Collaborator is willing to provide these services and has accepted to undertake the tasks on the agreed terms and conditions,

NOW THEREFORE, KDMS and TSAS (hereafter referred to as Parties) agree to enter into this Institutional Contract to achieve the agreed objectives under this cooperation.

NOW THEREFORE THE PARTIES hereby agree as follows:

#### 1. Roles and Responsibilities

- a. The Collaborator shall provide the services specified in Terms of Reference (ToR) as in Annex-I which is an integral part of this Contract.
- b. The Collaborator shall be responsible for the professional and technical services of highest quality for the implementation of this contract.
- c. The Collaborator shall notify immediately to KDMS in writing if any situation or circumstances that may cause delays, prevent or influence during the course of implementation of the assignment.
- d. The Collaborator shall deliver a final report which shall set forth in detail, all the work done as per the ToR within the time of the Contract.

#### 2. General Conditions

- a. The Collaborator shall not assign, transfer, pledge or make other disposition of this Contract or any other parts thereof or rights, claims or obligations under this contract, without prior written approval of KDMS.
- b. During the duration of this Contract, the Collaborator shall not provide goods, works or services (other than services that would not give rise to a conflict of interest) to any other organizations without KDMS's consent. The Collaborator shall inform prior in writing to KDMS regarding the provision of any services or goods or works to any third party and also give the report of no conflict of interest. Thereafter, KDMS's decision shall be binding whether it is a conflict of interest or not.



- c. Any related documents, reports, estimates or any data compiled or received by the Collaborator under this Contract is the property of KDMS, shall be treated as confidential. Under no circumstances shall the contents of such documents or data be made known to any unauthorized person/organization without the prior permission of KDMS. The Collaborator agrees to protect the Confidential Information of KDMS as a prudent man would keep his Confidential Information safe and protected. The Collaborator acknowledges that the continued confidentiality of the Confidential Information is of the utmost importance to KDMS and that the Confidential Information shall be maintained and continued in complete secrecy and that the integrity of the Confidential Information shall be scrupulously maintained and preserved both during and after the term of this Agreement. The Collaborator shall not disclose any of the Confidential Information in any manner whatsoever, except as provided below, and shall hold and maintain the Confidential Information in strictest confidence.

For the purposes of this Agreement Confidential Information shall mean and include all information or material that has or could have commercial value or other utility, in the business/prospective business of/for KDMS. Confidential Information also includes all information of which unauthorized disclosure could be detrimental to the interests of KDMS.

Notwithstanding anything contained above with respect to maintenance of Confidential Information that KDMS may disclose, the Collaborator shall disclose the Confidential Information if and to the extent that such disclosure is required by court order, provided that Collaborator provides KDMS a reasonable opportunity to review the disclosure before it is made and to interpose its own objection to the disclosure.

Further, this clause shall survive the termination pursuant to clause 8 of this Agreement and that KDMS also reserves a right per Clause 6 of this Agreement.

Karvy hired the services of Prof Arun Kansal, TERI School of Advanced Studies (as expert member) to review documents of innovation and best practices. The services of Prof Arun Kansal has been in his personal capacity. Prof. Kansal will submit the Innovation Scores for indicators 7.1 and 7.2 under Swachh Survekshan 2019 to Karvy on or before 10 February 2019 and the report on or before 15 February 2019. Prof Kansal has to justify score given to any ULB if any clarification is sought by the ministry or the particular ULB in future.

### 3. Budget and Disbursement

- The total amount payable to the Collaborator under this Contract is INR 9, 17,000 + GST (INR Nine Lakhs and Seventeen Thousand Only plus applicable GST). This amount has been established based on the understanding that it includes all of the Collaborator's costs and any tax obligation that may be imposed on the Collaborator.
- The Collaborator shall not engage in any work, provide equipment, materials or supplies; or perform any other services which may result in any change in excess of the above mentioned amounts without the prior written consent of KDMS.
- KDMS will disburse fifty percent of the agreed amount to the Collaborator within seven days of the award of this contract and against receipt of the original invoice and remaining fifty percent after receiving final report and against receipt of the original invoice.
- Payment shall be made to the Collaborator by the Account Payee Cheque or through bank transfer.



#### 4. Administration

KDMS and Collaborator designate the following as respective focal persons who will be responsible for the coordination of activities under this Contract. The KDMS focal person will also be responsible for receiving and approving deliverables and invoices for the payment.

Karvy Insights Limited	TERI School of Advanced Studies
Name: Dr. Sourabh Chakraborty Associate Vice President-Insights New Delhi, India Tel: +91 9871067711 Email: csourabh@karvy.com	Name: Dr. Arun Kansal Dean Research and Relationships, New Delhi, India Tel: +91 9213373000 Email: akansal@terisas.ac.in

#### 5. Arbitration

Any dispute arising out of or in connection with this Contract which cannot be settled by mutual agreement shall be submitted for arbitration to three arbitrators. Each party shall appoint one arbitrator each. The two appointed arbitrators thus appointed shall agree on the third one. The arbitrators shall rule on the costs which may be divided between the Parties. The decision rendered in the arbitration shall constitute the final decision of the dispute. The jurisdiction for arbitration and other than arbitration shall be Hyderabad.

#### 6. Anti-Collusion Clause

The Collaborator agrees and warrants that the Collaborator or its personnel shall not, at any time, directly/indirectly, provide or receive any favour, or any reward, or provide any information which is confidential and proprietary to KDMS directly or indirectly, or other advantage from any third party/government official/ or any other person/entity to induce or reward behavior which is dishonest, illegal, in breach of trust, duty, good faith or impartiality in the performance of the obligations and shall always adhere to the Anti-bribery regulations in India as may be applicable during the term of your engagement with KDMS. In case of any breach of this clause, KDMS shall have the right to immediately terminate this engagement, without prejudice to their rights available under law for the time being in force.

#### 7. Amendment

Any modification or change to this Contract shall require an amendment in writing between both Parties duly signed by the authorized representatives of KDMS and the Collaborator.

#### 8. Termination

- If the Collaborator has not met the applicable conditions required for disbursement in the ToR by the applicable deadline(s), or if the Collaborator fails to achieve the programmatic targets set forth in this Contract within the periods set forth therein, KDMS may, at any time,



and in its sole discretion, terminate or suspend this Contract, in whole or in part, by written notice to the Collaborator.

- b. Either Party may terminate this Contract upon 15 days prior written notice to the other if considers termination justified on the grounds that no further purpose would be served by continuing with the activity/project. In the event of such termination the Collaborator shall be compensated for the actual amount of work performed to the satisfaction of KDMS on a pro-rata basis. Such notice of termination will only be given by either Party after full discussion with the other Party of the reasons for the proposal to give such notice.

#### 9. Entry Into Force

This Contract between KDMS and TSAS shall enter into force upon signature by the Parties. Each Party will hold a copy of the signed Contract in the English language and having equal validity.

The duly authorized representatives of the Parties affix their signatures below.

For Karvy Data Management Services Ltd



Ajay Gupta  
General Manager – E-Governance & Finance  
Date and Seal:

For TERI School of Advanced Studies

Registrar  
Date and Seal:



**ANNEX I: Terms of Reference**

---

- Review of Innovations and Best Practices documents submitted by ULBs (Indicators 7.1 and 7.2) under Swachh Survekshan 2019. The documents shall be provided by KDMS to Dr Arun Kansal through an online portal within two days of signing of the contract.
- Score Innovations and Best Practices of ULBs on the following criteria: implementation, novelty, scalability, financial sustainability and impact. Scoring will be based on the documents shared by KDMS and will not entail any verification of the data or collection of information from other sources.
- Based on scores on Innovations, shortlist ULBs regionally (state, zone and national) and category wise (> 10 lakhs, 3-10 lakhs, 1-3 lakhs, 50k- 1 lakh, 25-50 k and less than 50 k) for awards
- Submit a final report on the work done, methodology adopted and final outcome.



## FW: Invitation Letter

3.5.2.B.

2018 DBS09

Dhanraj Singh

Mon 11/26/2018 2:40 PM

To: Devvrata Guglani <Devvrata.Guglani@terisas.ac.in>;

1 attachments (769 KB)

0841\_181126141340\_001.pdf;

Please create project code and open project file.

Best regards,

Dhanraj Singh

---

From: Leena Srivastava

Sent: 26 November 2018 14:15

To: Ritika Mahajan <ritika.mahajan@terisas.ac.in>

Cc: Pradeep Padhy <pradeep.padhy@terisas.ac.in>; Dhanraj Singh <dhanraj.singh@terisas.ac.in>

Subject: RE: Invitation Letter

---

From: Ritika Mahajan <ritika.mahajan@terisas.ac.in>

Sent: Thursday, November 22, 2018 3:51 PM

To: Leena Srivastava <leena@terisas.ac.in>

Subject: FW: Invitation Letter

Dear Mam

Please find attached an invitation letter that I have received from Chulalongkorn University and UNEP to prepare course material on 'Sustainable Business Models'. An amount of US\$7500 will be paid for this work.

It is also a wonderful platform to highlight the Department of Business and Sustainability as the content has to be focused on building sustainable business models for circular economy including resource recovery, product life extension, product as service, collaborative consumption and shared value creation.

I request your approval to take up this assignment.

Regards

Ritika

---

From: Kittikun Saksung <kittikun.saksung@gmail.com>

Sent: Wednesday, November 21, 2018 5:03 PM

To: Ritika Mahajan <ritika.mahajan@terisas.ac.in>

Cc: weerapong.uw@gmail.com; Pasicha Chaikaew <pasicha.c@chula.ac.th>

Subject: Invitation Letters

Dear Assistant Professor Ritika Mahajan, Ph.D.,

Please find letter as you requested.

3.5.2.6  
Kind regards,  
Kittikun

--

**Kittikun Saksung**  
Project Assistant

Center of Excellence on Hazardous Substance Management  
Chulalongkorn University Research Building, Floor 8,  
Soi Chulalongkorn 12(2), Phayathai Road,  
Pathumwan, Bangkok 10330  
Tel: +66 84 733 9952

## 3.5.2.B.

**Leena Srivastava**

**From:** Ritika Mahajan  
**Sent:** Thursday, November 22, 2018 3:51 PM  
**To:** Leena Srivastava  
**Subject:** FW: Invitation Letter  
**Attachments:** Invitation to be a key person on module 4.pdf

Dear Mam

Please find attached an invitation letter that I have received from Chulalongkorn University and UNEP to prepare course material on 'Sustainable Business Models'. An amount of US\$7500 will be paid for this work.

It is also a wonderful platform to highlight the Department of Business and Sustainability as the content has to be focused on building sustainable business models for circular economy including resource recovery, product life extension, product as service, collaborative consumption and shared value creation.

I request your approval to take up this assignment.

Regards

Ritika

**From:** Kittikun Saksung <kittikun.saksung@gmail.com>  
**Sent:** Wednesday, November 21, 2018 5:03 PM  
**To:** Ritika Mahajan <ritika.mahajan@terisas.ac.in>  
**Cc:** weerapong.uw@gmail.com; Pasicha Chaikaew <pasicha.c@chula.ac.th>  
**Subject:** Invitation Letters

Dear Assistant Professor Ritika Mahajan, Ph.D.,

Please find letter as you requested.

Kind regards,  
Kittikun

Kittikun Saksung  
 Project Assistant

Center of Excellence on Hazardous Substance Management  
 Chulalongkorn University Research Building, Floor 8,  
 Soi Chulalongkorn 12(2), Phayathai Road,  
 Pathumwan, Bangkok 10330  
 Tel: +66 84 733 9962

Okay!  
 Leena Srivastava  
 By copy! Dhanraj/  
 Radeep.



No. 0512.2.42/E/0015

21<sup>st</sup> November 2018**Assistant Professor Ritika Mahajan, Ph.D.**

Department of Business and Sustainability TERI University  
Plot No. 10 Institutional Area,  
Vasant Kunj, New Delhi 110070, India

**Subject: Invitation letter to be a key person of Module 4 'Business Models' in 'Asian Circular Economy Leadership Academy'**

As the Center of Excellence on Hazardous Substance Management (HSM), Chulalongkorn University and the United Nations Environment Programme (UNEP) are committed to providing the knowledge of young professionals from different sectors who can promote sustainable consumption and production (SCP) with respect to the project/programme entitled "Strengthening online and offline SCP training capacity in tertiary institutions in Asia and the Pacific". The advisory committees agreed to establish the tertiary curriculum titled, "Circular Economy" under the aforementioned project.

You have been recognized to be an expert in this area. We believe that with your outstanding skill, you can operate content of the Module 4 'Business Models' to a satisfactory level that can be useful to Asian community. We are honoured to invite you to be a key person that can lead to success of this project. You will be paid an honorarium of \$7,500 USD when you complete the task.

A scope of a key person work shall include:

- 1) provide relevant contents to your assigned module, and/or other modules if necessary
- 2) prioritize and screen useful contents provided by the other contributors
- 3) produce a draft content of your responsible module according to a guideline (Attachment 1)
- 4) assist a final check of the curriculum content before launching the circular economy course to public
- 5) manage appropriate work load and budget allocation to members in your module

If you agree to accept our invitation, please complete the Funds Transfer Request Form (Attachment 2). Thank you for your valuable time for kindly considering our invitation. We look forward to hearing from you soon.

Sincerely Yours,



Pasicha Chaikaew, Ph.D. Programme Leader  
Chulalongkorn University



### Memorandum of Understanding

The Energy and Resources Institute (TERI) is a not for profit research organization engaged in research in the fields of energy, environment & associated issues & hereinafter mentioned as TERI and having its registered office at Darbari Seth Block, C/O India Habitat Centre, Lodhi Road, New Delhi 110003.

AND

TERI School of advanced Studies is recognized as deemed to be university under Section 3 of the UGC Act having its registered office at Plot Number 10, Institutional Area, Vasant Kunj, New Delhi, India 110070 mentioned as TERI-SAS.

Whereas TERI has undertaken a project entitled "**Development of Flood Warning System for Guwahati & Jorhat Town**" as a part of MoU between TERI and National Disaster Management Authority along with framework agreement II from The Royal Norwegian Embassy. The project will also have National Centre for Medium Range Weather Forecasting (NCMRWF) and Uni research Norway as a partner in the project.

Whereas TERI-SAS has agreed to support TERI and provide technical details for the project and it has been decided to enter into an agreement between TERI and TERI-SAS on the following terms and conditions:

Under this overall project, TERI and TERI-SAS agree on the following terms and conditions for the project.

#### 1. Tasks to be performed by TERI-SAS

TERI-SAS will support TERI team in the tasks as listed below:

##### Task 1.1 Project inception meeting

TERI-SAS will participate in the project inception/kick off meeting to discuss the scope of the work, conceptualisation of methodologies and planning for execution of activities and deliverables.

##### Task 1.2 Hydrometereological data collection

TERI-SAS will participate in field work for collection and validation of hydrological data and extend its support in data analysis.

##### Task 1.3 Hydrometereological and spatial data analysis

TERI-SAS will support in creating spatial data provided by TERI to setup the flood early warning system. The data will be shared for the execution of the hydrological modelling and

flood mapping. TERI-SAS will provide its technical expertise in Hydrological Modelling and GIS Lab facility for the creation of spatial data sets required for the modelling work.

#### Task 1.4b Model testing and Calibration

TERI-SAS will extend its support in hydrological model calibration and advise in the designing of Graphical User Interface

#### Task 1.7 Draft and Final Report of the study with key findings

TERI-SAS will provide inputs based on the outcomes of above assigned tasks for the submission of final report.

#### 2. Adherence to time schedule and work plan

TERI-SAS will provide its technical inputs in the tasks outlined above, and as per the proposal submitted by TERI to the NDMA and the Royal Norwegian Embassy as part of the main agreement signed with them.

#### 3. Payment

The total amount payable to TERI-SAS as part of this contract will be for partnership during the period from January 2019 to November 2019. Total amount payable to TERI SAS would be INR 6,15,560/- (Excl. tax) @ the rate of 13990 per day for two person months in accordance with the project budget. Apart from this local travels cost and logistics etc will be born by TERI. No other payment will be made by TERI to TERI-SAS. The payment will be disbursed to TERI-SAS in INR after TERI's authorization and approval.

#### 4. Release of Fund

- a. 20% on Signing of agreement
- b. 40% after six month of agreement
- c. 40% on completion of agreement

#### 5. Termination

This agreement may be terminated by either part upon two month's notice to the other part.

#### 6. Period of Agreement

The agreement will be in force for a period of twelve months from the date of signing.

### 7. Commencement of Agreement

The tasks given under clause 1 will commence from the date of signing of this agreement. This agreement will remain in force till the completion of the tasks given in the proposal document or till termination but not beyond.

### 8. Submission of reports vis-à-vis workplan

TERI-SAS will support TERI for documentation/reports for the tasks outlined above, and as per the proposal submitted by TERI to the NDMA and Royal Norwegian Embassy as part of the main agreement signed with them.

### 9. Contacts

The contact person from TERI will be :

Mr Prasoon Singh (Principal Investigator)

Associate Fellow

TERI

Phone: 91-11-24682100

Email: [prasoon.singh@teri.res.in](mailto:prasoon.singh@teri.res.in)

The contact person from TERI-SAS will be:

Dr Vinay S P Sinha (Co- Principal Investigator)

Associate Professor

Phone: +91-11- 71890222

Email: [sinhav@terisas.ac.in](mailto:sinhav@terisas.ac.in)

### 10. Arbitration

In case of any disputes between the two parties, the matter will be referred to either of Director General, TERI or VC TERISAS for arbitration, whose decision will be binding on both.

### 11. Jurisdiction

Courts in Delhi will have jurisdiction over this agreement.

for TERI




Name: K Rajagopal

Designation: Dy General Manager (Project Monitoring)

Seal:

Witness:


  
 (Ritesh Kumar Jha)
Date & Place: 03/Jan/2019  
New Delhi

for TERI-SAS


Name: Capt. Pradeep K Padhy (Retd.)  
RegistrarDesignation: TERI School of Advanced Studies  
10, Institutional Area, Vasant Kunj  
New Delhi-110 070

Seal:

Witness:

  
 (VINAY S.P. SINHA)
Date & Place: 03/Jan/2019  
New Delhi



# United Nations Nations Unies

## CONTRACT FOR THE SERVICES OF A CONSULTANT OR INDIVIDUAL CONTRACTOR

**CONTRACT TYPE: HR active: Not Progen Relevant (See Section 2 of ST/AI/2013/4)**

<b>CONTRACT NO.:</b> 2500207532	<b>Status:</b> Approved	<b>Revision:</b> 30/Sep/2019,01.01	<b>Department:</b> ESCAP (ENTITY)
<b>Fund:</b> 10UNA UNGeneralFund	<b>Index No.:</b> 10082344	<b>Nationality:</b> Indian	
<b>Fund Centre:</b> 11553			

This Contract is entered into between the **United Nations** and **Shaleen SINGHAL**, hereinafter referred to as the **Contractor**

Address: c/o ESCAP  
Bangkok, Thailand  
Email Address: SHALEEN.SINGHAL@TERISAS.AC.IN Tel. No.:

**1 TERMS OF REFERENCE OR WORK ASSIGNMENT** (See Section 3 of ST/AI/2013/4; use additional pages if necessary)  
Details as per TOR 123157

Travel Details: as per TOR 123157  
(if authorized)

**2 DURATION OF CONTRACT:** Within the period indicated below.

This Contract shall commence on 30/Sep/2019, and shall expire on the satisfactory completion of the services described above, but not later than 30/Dec/2019, unless sooner terminated under the terms of this contract. This Contract is subject to the conditions on the following pages.

**3 CONSIDERATION** - As full consideration for the services performed by the Contractor under the terms of this Contract, the United Nations shall pay the Contractor, upon certification that the services have been satisfactorily performed in accordance with the requirements of this Contract, as follows:

• A fee of ☐ Daily ☐ Weekly ☐ Monthly ☒ Lump sum

Currency: USD Total Fee: 15,500.00

• Where two currencies are involved, the rate of exchange shall be the official rate applied by the United Nations on the day the United Nations instructs its bankers to effect the payment(s);

• The fee is payable on satisfactory completion of contract. For payment in instalments, certification of satisfactory performance at each phase is required.

Service ID	Description	Additional Description	Qty	Units	Rate	AMOUNT
3000464	Consultant Services (Delivery)	Consultant on impact analysis on SCP	1	Activ.unit	6,200.00	6,200.00 USD
3000464	Consultant Services (Delivery)	Consultant on impact analysis on SCP	1	Activ.unit	1,500.00	1,500.00 USD
3000464	Consultant Services (Delivery)	Consultant on impact analysis on SCP	1	Activ.unit	7,800.00	7,800.00 USD

**4 WORK LOCATION AND HEALTH CERTIFICATION:** The Contractor shall perform the work assignment at the following location or locations: . In accordance with Sections 4.9 and 4.10 of ST/AI/2013/4.

The Contractor has submitted a certificate of good health

# United Nations Nations Unies

## CONTRACT FOR THE SERVICES OF A CONSULTANT OR INDIVIDUAL CONTRACTOR


CONTRACT TYPE: HR active: Not Progen Relevant (See Section 2 of ST/AI/2013/4)

By signing below and initialling to the right, I, the Contractor, acknowledge and agree that I have read and accept the terms of this Contract, including the General Conditions of Contract set forth on the following pages, which form an integral part of this Contract, and that I have been provided with a copy of, have read and understood, and agree to abide by the standards of conduct set forth in the Secretary-General's Bulletin, ST/SGB/2003/13, of 9 October 2003, concerning "Special measures for protection from sexual exploitation and sexual abuse."

CONTRACTOR'S  
INITIALS: S.S.

Name: Shaleen SINGHAL

CONTRACTOR'S

SIGNATURE: 

DATE: 30.9.2019

AUTHORIZING OFFICER:

DATE: 30.9.2019

On behalf of the United Nations:

(Name and Title) Milica MARKOVIC, HUMAN RESOURCES OFFICER

SIGNATURE: 



# United Nations Nations Unies

## GENERAL CONDITIONS OF CONTRACTS FOR THE SERVICES OF CONSULTANTS OR INDIVIDUAL CONTRACTORS (hereinafter called "Contractors")

### 1. LEGAL STATUS

The Contractor shall have the legal status of an independent contractor vis-à-vis the United Nations, and shall not be regarded, for any purposes, as being either a "staff member" of the United Nations, under the United Nations Staff Regulations and Rules, or an "official" of the United Nations, for purposes of the Convention on the Privileges and Immunities of the United Nations, adopted by the General Assembly of the United Nations on 13 February 1946. Accordingly, nothing within or relating to the Contract shall establish the relationship of employer and employee, or of principal and agent, between the United Nations and the Contractor. The officials, representatives, employees, or subcontractors of the United Nations and of the Contractor, if any, shall not be considered in any respect as being the employees or agents of the other, and the United Nations and the Contractor shall be solely responsible for all claims arising out of or relating to its engagement of such persons or entities.

### 2. STANDARDS OF CONDUCT

*In General:* The Contractor shall neither seek nor accept instructions from any authority external to the United Nations in connection with the performance of its obligations under the Contract. Should any authority external to the United Nations seek to impose any instructions on the Contractor regarding the Contractor's performance under the Contract, the Contractor shall promptly notify the United Nations and shall provide all reasonable assistance required by the United Nations. The Contractor shall not take any action in respect of its performance of the Contract or otherwise related to its obligations under the Contract that may adversely affect the interests of the United Nations, and the Contractor shall perform its obligations under the Contract with the fullest regard to the interests of the United Nations. The Contractor warrants that he or she has not and shall not offer any direct or indirect benefit arising from or related to the performance of the Contract or the award thereof to any representative, official, employee, or other agent of the United Nations. The Contractor shall comply with all laws, ordinances, rules, and regulations bearing upon the performance of its obligations under the Contract.

*Prohibition of Sexual Exploitation and Abuse:* In the performance of the Contract, the Contractor shall comply with the standards of conduct set forth in the Secretary-General's Bulletin, ST/SGB/2003/13, of 9 October 2003, concerning "Special measures for protection from sexual exploitation and sexual abuse." In particular, the Contractor shall not engage in any conduct that would constitute sexual exploitation or sexual abuse, as defined in that Bulletin.

The Contractor acknowledges and agrees that any breach of any of the provisions hereof shall constitute a breach of an essential term of the Contract, and, in addition to any other legal rights or remedies available to any person, shall give rise to grounds for termination of the Contract. In addition, nothing herein shall limit the right of the United Nations to refer any alleged breach of the foregoing standards of conduct to the relevant national authorities for appropriate legal action.

### 3. TITLE RIGHTS, COPYRIGHTS, PATENTS AND OTHER PROPRIETARY RIGHTS

Title to any equipment and supplies that may be furnished by the United Nations to the Contractor for the performance of any obligations under the Contract shall rest with the United Nations, and any such equipment shall be returned to the United Nations at the conclusion of the Contract or when no longer needed by the Contractor. Such equipment, when returned to the United Nations, shall be in the same condition as when delivered to the Contractor, subject to normal wear and tear, and the Contractor shall be liable to compensate the United Nations for any damage or degradation of the equipment that is beyond normal wear and tear.

The United Nations shall be entitled to all intellectual property and other proprietary rights including, but not limited to, patents, copyrights, and trademarks, with regard to products, processes, inventions, ideas, know-how, or documents and other materials which the Contractor has developed for the United Nations under the Contract and which bear a direct relation to or are produced or prepared or collected in consequence of, or during the course of, the performance of the Contract, and the Contractor acknowledges and agrees that such products, documents and other materials constitute works made for hire for the United Nations. However, to the extent that any such intellectual property or other proprietary rights consist of any intellectual property or other proprietary rights of the Contractor: (a) that pre-existed the performance by the Contractor of its obligations under the Contract, or (b) that the Contractor may develop or acquire, or may have developed or acquired, independently of the performance of its obligations under the Contract, the United Nations does not and shall not claim any ownership interest thereto, and the Contractor grants to the United Nations a perpetual license to use such intellectual property or other proprietary right solely for the purposes of and in accordance with the requirements of the Contract. At the request of the United Nations, the Contractor shall take all necessary steps, execute all necessary documents and generally assist in securing such proprietary rights and transferring or licensing them to the United Nations in compliance with the requirements of the applicable law and of the Contract. Subject to the foregoing provisions, all maps, drawings, photographs, mosaics, plans, reports, estimates, recommendations, documents, and all other data compiled by or received by the Contractor under the Contract shall be the property of the United Nations, shall be made available for use or inspection by the United Nations at reasonable times and in reasonable places, shall be treated as confidential, and shall be delivered only to United Nations authorized officials on completion of work under the Contract.

### 4. CONFIDENTIAL NATURE OF DOCUMENTS AND INFORMATION

Information and data that is considered proprietary by either the United Nations or the Contractor or that is delivered or disclosed by one of them ("Discloser") to the other ("Recipient") during the course of performance of the Contract, and that is designated as confidential ("Information"), shall be held in confidence and shall be handled as follows. The Recipient of such information shall use the same care and discretion to avoid disclosure, publication, or dissemination of the Discloser's Information as it uses with its own similar information that it does not wish to disclose, publish, or disseminate, and the Recipient may otherwise use the Discloser's Information solely for the purpose for which it was disclosed. The Recipient may disclose confidential information to any other party with the Discloser's prior written consent, as well as to the Recipient's employees, officials, representatives and agents who have a need to know such confidential information solely for purposes of performing obligations under the Contract. Subject to and without any waiver of the privileges and immunities of the United Nations, the Contractor may disclose information to the extent required by law, provided that the Contractor will give the United Nations sufficient prior notice of a request for the disclosure of information in order to allow the United Nations to have a reasonable opportunity to take protective measures or such other action as may be appropriate before any such disclosure is made. The United Nations may disclose information to the extent as required pursuant to the Charter of the United Nations, resolutions or regulations of the General Assembly, or rules promulgated by the Secretary-General. The Recipient shall not be precluded from disclosing information that is obtained by the Recipient from a third party without restriction, is disclosed by the Discloser to a third party without any obligation of confidentiality, is previously known by the Recipient, or at any time is developed by the Recipient completely independently of any disclosures hereunder. These obligations and



restrictions of confidentiality shall be effective during the term of the Contract, including any extension thereof, and, unless otherwise provided in the Contract, shall remain effective following any termination of the Contract.

#### **5. TRAVEL, STATEMENT OF GOOD HEALTH AND SERVICE INCURRED DEATH, INJURY OR ILLNESS**

If the Contractor is required by the United Nations to travel beyond commuting distance from the Contractor's usual place of residence, such travel shall be at the expense of the United Nations. In such cases, the travel expenses borne by the United Nations shall not exceed the cost of travel by the least costly economy service.

Prior to commencement of the Contractor's services in any offices or premises of the United Nations or before engaging in any travel required by the United Nations or connected with the performance of the Contract, the Contractor shall submit a statement of good health and certify to being in possession of medical/health insurance during the period of the Contractor's services. The Contractor shall provide such a statement of good health and certification of the medical/health insurance as soon as practicable following signature of the individual contract. The Contractor shall also certify that they possess medical/health insurance that includes medical treatment, and if required to travel beyond commuting distance to any duty station(s) with hardship ratings other than "H" and "A", the Contractor shall certify that their medical/health insurance covers medical evacuations and treatment. The Contractor warrants the accuracy of any such statement of good health, including, but not limited to, confirmation that the Contractor has been fully informed regarding the requirements for inoculations for the country or countries to which travel may be authorized.

The Contractor shall be responsible for assuming all costs that may be incurred in relation to the statement of good health and medical/health insurance.

In the event of the death, injury or illness of the Contractor which is attributable to the performance of services on behalf of the United Nations under the terms of the Contract while the Contractor is travelling at United Nations expense or is performing any services under the Contract in any offices or premises of the United Nations, the Contractor or the Contractor's dependants, as appropriate, shall be entitled to compensation equivalent to that provided under Appendix D to the United Nations Staff Rules (ST/SGB/Staff Rules/Appendix D/Rev.1 and Amend.1).

#### **6. PROHIBITION ON ASSIGNMENT; MODIFICATIONS**

The Contractor may not assign, delegate, transfer, pledge or make any other disposition of the Contract, of any part thereof, or of any of the rights, claims or obligations under the Contract except with the prior written authorization of the United Nations, and any attempt to do so shall be null and void. The terms or conditions of any supplemental undertakings, licenses, or other forms of agreement concerning any goods or services to be provided under the Contract shall not be valid and enforceable against the United Nations nor in any way shall constitute an agreement by the United Nations thereto, unless any such undertakings, licenses or other forms are the subject of a valid written undertaking by the United Nations.

No modification or change in the Contract shall be valid and enforceable against the United Nations unless provided by means of a valid written amendment to the Contract signed by the Contractor and an authorized official or appropriate contracting authority of the United Nations.

#### **7. SUBCONTRACTORS**

In the event that the Contractor requires the services of sub-contractors to perform any obligations under the Contract, the Contractor shall obtain the prior written approval of the United Nations for any such sub-contractors. The United Nations may, in its sole discretion, reject any proposed sub-contractor or require such sub-contractor's removal without having to give any justification therefor, and such rejection shall not entitle the Contractor to claim any delays in the performance, or to assert any excuses for the non-performance of any of its obligations under the Contract. The Contractor shall be solely responsible for all services and obligations performed by its sub-contractors. The terms of any sub-contract shall be subject to, and shall be construed in a manner that is fully in accordance with, all of the terms and conditions of the Contract.

#### **8. USE OF NAME, EMBLEM OR OFFICIAL SEAL OF THE UNITED NATIONS**

The Contractor shall not advertise or otherwise make public for purposes of commercial advantage or goodwill that it has a contractual relationship with the United Nations, nor shall the Contractor, in any manner whatsoever use the name, emblem or official seal of the United Nations, or any abbreviation of the name of the United Nations in connection with its business or otherwise without the written permission the United Nations.

#### **9. INDEMNIFICATION**

The Contractor shall indemnify, defend, and hold and save harmless, the United Nations, and its officials, agents and employees, from and against all suits, proceedings, claims, demands, losses and liability of any kind or nature, including, but not limited to, all litigation costs and expenses, attorney's fees, settlement payments and damages, based on, arising from, or relating to: (a) allegations or claims that the use by the United Nations of any patented device, any copyrighted material, or any other goods or services provided to the United Nations for its use under the terms of the Contract, in whole or in part, separately or in combination, constitutes an infringement of any patent, copyright, trademark, or other intellectual property right of any third party; or (b) any acts or omissions of the Contractor, or of any sub-contractor or anyone directly or indirectly employed by them in the performance of the Contract, which give rise to legal liability to anyone not a party to the Contract, including, without limitation, claims and liability in the nature of a claim for workers' compensation.

#### **10. INSURANCE**

The Contractor shall pay the United Nations promptly for all loss, destruction, or damage to the property of the United Nations caused by the Contractor, or of any sub-contractor, or anyone directly or indirectly employed by them in the performance of the Contract. The Contractor shall be solely responsible for taking out and for maintaining adequate insurance required to meet any of its obligations under the Contract, as well as for arranging, at the Contractor's sole expense, such life, health and other forms of insurance as the Contractor may consider to be appropriate to cover the period during which the Contractor provides services under the Contract. The Contractor acknowledges and agrees that none of the insurance arrangements the Contractor may make shall, in any way, be construed to limit the Contractor's liability arising under or relating to the Contract.

#### **11. ENCUMBRANCES AND LIENS**

The Contractor shall not cause or permit any lien, attachment or other encumbrance by any person to be placed on file or to remain on file in any public office or on file with the United Nations against any monies due to the Contractor or to become due for any work done or against any goods supplied or materials furnished under the Contract, or by reason of any other claim or demand against the Contractor.



## 12. FORCE MAJEURE; OTHER CHANGES IN CONDITIONS

In the event of and as soon as possible after the occurrence of any cause constituting *force majeure*, the Contractor shall give notice and full particulars in writing to the United Nations, of such occurrence or cause if the Contractor is thereby rendered unable, wholly or in part, to perform its obligations and meet its responsibilities under the Contract. The Contractor shall also notify the United Nations of any other changes in condition or the occurrence of any event which interferes or threatens to interfere with its performance of the Contract. Not more than fifteen (15) days following the provision of such notice of *force majeure* or other changes in condition or occurrence, the Contractor shall also submit a statement to the United Nations of estimated expenditures that will likely be incurred for the duration of the change in condition or the event. On receipt of the notice or notices required hereunder, the United Nations shall take such action as it considers, in its sole discretion, to be appropriate or necessary in the circumstances, including the granting to the Contractor of a reasonable extension of time in which to perform any obligations under the Contract.

If the Contractor is rendered permanently unable, wholly, or in part, by reason of *force majeure* to perform its obligations and meet its responsibilities under the Contract, the United Nations shall have the right to suspend or terminate the Contract on the same terms and conditions as are provided for below, under "Termination", except that the period of notice shall be five (5) days instead of any other period of notice. In any case, the United Nations shall be entitled to consider the Contractor permanently unable to perform its obligations under the Contract in the case of the Contractor's suffering any period of suspension in excess of thirty (30) days.

*Force majeure* as used herein means any unforeseeable and irresistible act of nature, any act of war (whether declared or not), invasion, revolution, insurrection, or any other acts of a similar nature or force, provided that such acts arise from causes beyond the control and without the fault or negligence of the Contractor. The Contractor acknowledges and agrees that, with respect to any obligations under the Contract that the Contractor must perform in or for any areas in which the United Nations is engaged in, preparing to engage in, or disengaging from any peacekeeping, humanitarian or similar operations, any delays or failure to perform such obligations arising from or relating to harsh conditions within such areas or to any incidents of civil unrest occurring in such areas shall not, in and of itself, constitute *force majeure* under the Contract.

## 13. TERMINATION

Either party may terminate the Contract, in whole or in part, upon giving written notice to the other party. The period of notice shall be five days in the case of contracts for a total period of less than two months and fourteen days in the case of contracts for a period longer than two months. The initiation of conciliation or arbitral proceedings, as provided below, shall not be deemed to be a "cause" for or otherwise to be in itself a termination of the Contract. The United Nations may, without prejudice to any other right or remedy available to it, terminate the Contract forthwith in the event that: (a) the Contractor is adjudged bankrupt, or is liquidated, or becomes insolvent, applies for moratorium or stay on any payment or repayment obligations, or applies to be declared insolvent; (b) the Contractor is granted a moratorium or a stay or is declared insolvent; (c) the Contractor makes an assignment for the benefit of one or more of its creditors; (d) a Receiver is appointed on account of the insolvency of the Contractor; (e) the Contractor offers a settlement in lieu of bankruptcy or receivership; or (f) the United Nations reasonably determines that the Contractor has become subject to a materially adverse change in its financial condition that threatens to endanger or otherwise substantially affect the ability of the Contractor to perform any of its obligations under the Contract.

In the event of any termination of the Contract, upon receipt of notice of termination by the United Nations, the Contractor shall, except as may be directed by the United Nations in the notice of termination or otherwise in writing: (a) take immediate steps to bring the performance of any obligations under the Contract to a close in a prompt and orderly manner, and in doing so, reduce expenses to a minimum; (b) refrain from undertaking any further or additional commitments under the Contract as of and following the date of receipt of such notice; (c) deliver all completed or partially completed plans, drawings, information, and other property that, if the Contract had been completed, would be required to be furnished to the United Nations thereunder; (d) complete performance of the work not terminated; and, (e) take any other action that may be necessary, or that the United Nations may direct in writing, for the protection and preservation of any property, whether tangible or intangible, related to the Contract that is in the possession of the Contractor and in which the United Nations has or may be reasonably expected to acquire an interest.

In the event of any termination of the Contract, the United Nations shall only be liable to pay the Contractor compensation on a pro rata basis for no more than the actual amount of work performed to the satisfaction of the United Nations in accordance with the requirements of the Contract. Additional costs incurred by the United Nations resulting from the termination of the contract by the Contractor may be withheld from any amount otherwise due to the Contractor from the United Nations.

## 14. NON EXCLUSIVITY

The United Nations shall have no obligation respecting, and no limitations on, its right to obtain goods of the same kind, quality and quantity, or to obtain any services of the kind described in the Contract, from any other source at any time.

## 15. TAXATION

Article II, Section 7, of the Convention on the Privileges and Immunities of the United Nations provides, *inter alia*, that the United Nations, including its subsidiary organs, is exempt from all direct taxes, except charges for public utility services, and is exempt from customs restrictions, duties, and charges of a similar nature in respect of articles imported or exported for its official use. In the event any governmental authority refuses to recognize the exemptions of the United Nations from such taxes, restrictions, duties, or charges, the Contractor shall immediately consult with the United Nations to determine a mutually acceptable procedure. The United Nations shall have no liability for taxes, duty or other similar charges payable by the Contractor in respect of any amounts paid to the Contractor under this Contract, and the Contractor acknowledges that the United Nations will not issue any statements of earnings to the Contractor in respect of any such payments.

## 16. SETTLEMENT OF DISPUTES

**Amicable Settlement:** The United Nations and the Contractor shall use their best efforts to amicably settle any dispute, controversy, or claim arising out of the Contract or the breach, termination, or invalidity thereof. Where the parties wish to seek such an amicable settlement through conciliation, the conciliation shall take place in accordance with the Conciliation Rules then obtaining of the United Nations Commission on International Trade Law ("UNCITRAL"), or according to such other procedure as may be agreed between the parties in writing.

**Arbitration:** Any dispute, controversy, or claim between the parties arising out of the Contract, or the breach, termination, or invalidity thereof, unless settled amicably, as provided above, shall be referred by either of the parties to arbitration in accordance with the UNCITRAL Arbitration Rules then obtaining. The decisions of the arbitral tribunal shall be based on general principles of international commercial law. For all evidentiary questions, the arbitral tribunal shall be guided by the Supplementary Rules Governing the Presentation and Reception of Evidence in International Commercial Arbitration of the International Bar Association, 28 May 1983 edition. The arbitral tribunal shall have no authority to award punitive damages. In

addition, the arbitral tribunal shall have no authority to award interest in excess of the London Inter-Bank Offered Rate ("LIBOR") then prevailing, and any such interest shall be simple interest only. The parties shall be bound by any arbitration award rendered as a result of such arbitration as the final adjudication of any such dispute, controversy, or claim.

**17. PRIVILEGES AND IMMUNITIES**

Nothing in or relating to the Contract shall be deemed a waiver, express or implied, of any of the privileges and immunities of the United Nations, including its subsidiary organs.

## TERMS OF REFERENCE FOR

### Consultant on Interlinkages between SDGs and Climate Change with a focus on country-level experiences

#### Background and objectives:

The adoption of the 2030 Agenda and the Paris Agreement in 2015 has established a firm foundation for the coherent implementation of climate action and sustainable development objectives at all levels. Climate action features explicitly in Agenda 2030 as SDG 13; however, it is deeply interconnected with many of the other goals and targets.

The multiple inter-linkages between the 2030 Agenda and the Paris Agreement indicate that integrated and synergistic implementation of both would lead to many benefits. Such an approach would considerably enhance effectiveness and the quality of outcomes, besides contributing towards more efficient use of resources, greater coherence across sectors and actors, and the formation of novel partnerships.

In this context, DESA, jointly with UNFCCC secretariat, and in collaboration with various partners, will organize a Global Conference in April 2019 to promote dialogue on the SDGs, considered in the HLPF, and linkages with climate action, considered in the UNFCCC. The aim is to identify potential synergies and inter-linkages between the 2030 Agenda and the Paris Agreement (including through analyses of VNRs and NDCs), analyze gaps and challenges, including trade-offs, and make a set of concrete recommendations for strengthening synergies; directing the means of implementation towards more joined-up action; and stimulating multi-stakeholder partnerships.

A detailed concept note for the Conference is attached in Annex I.

The present consultancy will contribute to the development of one of the background materials for the Conference.

#### Work assignment:

The Consultant will:

- Produce a background paper, based on desk review, presenting in-depth analysis of selected case studies of countries with ambitious NDCs and/or SDG implementation plans, based on existing information such as Voluntary National Reviews, with special regard to analysing best practices and lessons learnt, and suggestions for promoting further synergetic actions on interlinkages between NDCs and SDG Action Plans on the ground.
- Analyse and present, as part of the background paper, about 8-10 country case studies, taking into considerations geographical balance. These case studies should be based on desk review, complemented by informal on-line consultations



with select experts, and should examine available documents related to country's measures and actions on SDGs and Climate Change, including NDCs, VNRs and other relevant documents. Such cases should be presented in a succinct and consistent fashion to facilitate cross-country comparisons and learning.

- Outline suggestions on how best to leverage advocacy, policies, programmes, implementation mechanisms, multi-stakeholder action and partnerships for both the SDGs and for climate action, in a manner that co-benefits are maximized and trade-offs minimized at all levels. In addition to overall suggestions, interlinkages between climate actions and a few SDGs could be examined deeper to show concrete measures, such as poverty, health, food, water, energy, cities and life on land, health, education, gender equality, and partnership for the goals.
- Present the background paper at the Global Conference in Copenhagen, April 1-3, 2019.
- Finalise the paper based on the feedbacks received on the draft paper, including during the Global Conference in Copenhagen.

#### **Duration of contract**

The duration of the consultancy is about for 40 person-days over the period from 14 January to 30 April 2019.

#### **Duty Station or location of assignment**

Home-based.

#### **Travel**

The consultant will be required to travel on official mission to participate in the following:

- Global Conference on Synergies between the Paris Agreement and the 2030 Agenda for Sustainable Development, 1-3 April, Copenhagen, Denmark

For each travel, the consultant will be provided with a round-trip economy class ticket, daily subsistence allowance and terminal expenses. The related costs will be obligated separately and over and above the cost for the consultancy described in this document.

#### **Expected Outputs and Delivery Dates**

In fulfilling the responsibilities specified above, the consultant will deliver the following main outputs:



#	Deliverables	Target due date
1	Outline of the background paper, 2-3 pages (in MS-WORD, TimesNewRoman, 12pt, single-spaced. To be submitted electronically.)	5 February, 2019
2	First draft of the background paper (In MS-WORD, TimesNewRoman, 12pt, single-spaced. 100,000-125,000 characters with spaces (approx. 30-40 pages in total) To be submitted electronically.)	15 March, 2019
3	Final draft of the background paper (In MS-WORD, TimesNewRoman, 12pt, single-spaced. 100,000-125,000 characters with spaces (approx. 30-40 pages in total) To be submitted electronically.)	30 April, 2019

### Fee and Payment Schedule

The Consultant will be paid two equal instalments of US\$ 10,000 each upon submission and acceptance of satisfactory deliverables according to the following schedules:

Payment amount	Target date (week)	Deliverables
USD 10,000	1 March, 2019	First draft
USD 10,000	30 April, 2019	Final draft

### Performance indicators

The following performance indicators will be used to evaluate the work undertaken by the consultant and certify the payment of the fees, including any installment payments.

- Timeliness of submissions
- Value of services rendered in relation to their costs
- Demonstrated expert knowledge of the subject area
- Receptive / responsive to feed-back from staff members
- Quality of analysis, recommendations or conclusions in reports/studies
- Readability of material

### Qualifications/special skills

#### EXPERIENCE:

- Minimum of 15 years of relevant experience in sustainable development, with demonstrated proven track record in analytical research and in the development of global multi-stakeholder partnerships;
- Experience in the planning and organization of international conferences and events, especially with high-level participants;
- Proven experience in writing project work plans, project-related documentation, or technical papers.
- Knowledge and experience of UN system policies, rules and regulations, guidelines is essential;
- Experience working with a wide range of stakeholders is highly desirable.

#### ACADEMIC QUALIFICATIONS:

The expert should possess an advanced university degree (Master's degree or equivalent degree) in sustainable development, international relations, energy policy, governance, public policy, public administration, international law, or related field. A first-level university degree in combination with two additional years of qualifying experience may be accepted in lieu of the advanced university degree.

#### LANGUAGE:

English and French are the working languages of the United Nations Secretariat. Full knowledge and fluency of English (both written and oral) is required for this consultancy. Fluency in other UN languages is an asset.

#### SKILLS:

The expert should have excellent analytical skills and ability to produce high-quality documentation in English. He or she should also have demonstrated ability to deal with high-level representatives from government, business, civil society and international organizations on matters related to sustainable development and energy. He or she should have demonstrated ability to conceptualize multi-stakeholder partnerships. The expert should also have outstanding oral and written communication skills as well as time management skills, including the ability to plan and prioritize work duties as well as work competently under pressure and tight deadlines.

#### **Supervisor**

The Consultant will work under the supervision of:

Name: Shantanu Mukherjee & Minoru Takada, PAB, DSDG, DESA  
Tel: +1 (212) 963-1727/917-367-4433  
e-Mail: mukherjee1@un.org/takada@un.org

**Annex 1****Global Conference on Synergies between the Paris Agreement and the 2030 Agenda for Sustainable Development: Linking Implementation across SDGs and NDCs****Venue: UN City, Copenhagen/ Time: 1-3 April 2019****Draft Concept Note****Context**

The adoption of the 2030 Agenda and the Paris Agreement in 2015 has established a firm foundation for the coherent implementation of climate action and sustainable development objectives at all levels. Climate action features explicitly in Agenda 2030 as SDG 13; however, it is deeply interconnected with many of the other goals and targets.

For example, the energy transitions envisaged in SDG 7 can be expected to contribute significantly to lowering GHG emissions relative to business-as-usual pathways, thereby contributing to the objectives of the Paris agreement. Similarly, more sustainable industrialization under SDG 9, sustainable food production systems and resilient agricultural practices under SDG 2, and changing patterns of consumption and production in line with SDG 12 can all contribute towards low-emission pathways, the creation of new kinds of jobs and long-term progress towards eradicating poverty and other deprivations.

At the same time, progress made towards limiting global temperature increase would significantly ease the path towards many other SDGs, such as those related to poverty, hunger, access to water, terrestrial and marine ecosystems, etc. Many of the goals and targets can also be achieved in ways that would enable adaptive responses to climate changes, for example those related to resilience in SDGs 9 and 11, respectively relating to infrastructure and urban settlements.

The relative strength of these interlinkages between climate impacts and the SDGs varies across countries and regions, as do the actions needed to ensure that co-benefits are maximized at all levels. A better understanding (including their quantification, wherever possible) of these co-benefits in national, regional and global contexts can spur more deliberate action and collaboration towards realizing them. Such actions and collaborations could result in, for example, improving the design and implementation of policies, directing finance and resources towards critical nexuses, supporting specific private sector initiatives and promoting well identified science, technology and innovation (STI) initiatives.

Such actions are urgently needed. The Special Report (2018) from the Inter-Governmental Panel on Climate Change (IPCC) on the impacts of global warming at 1.5 °C above pre-industrial levels identifies the critical consequences of such a temperature rise, as well as the coming decade as being the only window within which actions to stay within this limit can succeed. Hence the



second round of Nationally Determined Contributions (NDCs) covering 2025-2030/35, to be prepared for submission in 2019 will be crucial in this regard.

This is also the window within which the SDGs themselves stand to be achieved, and the year 2019 holds special significance in that regard. The global review process for the SDGs will complete its first quadrennial cycle in 2019, with SDG 13 itself set for in-depth review at the High Level Political Forum (HLPF) in July. Focusing on concrete measures for synergistic implementation at all levels would help inform the HLPF and stimulate corresponding action across multiple stakeholders.

### **Strengthening win-win outcomes for climate action and the SDGs**

At the national level, the scope of such convergence has been examined through a number of studies that compare 'Nationally Determined Contributions' (NDCs) - countries' own commitments of action towards the Paris Climate Agreement - to the SDGs. For example Brandi *et al* (DIE, 2017) and Dzebo *et al* (SEI, 2017) have noted the potential for climate related actions from the NDCs to contribute to all of the SDGs. They also observed that the most frequent links were to the SDGs related to food, water, energy, cities and life on land; but that links to others such as health, education and gender equality were relatively less common. Strong links to SDG 17, especially through those NDCs whose implementation is conditional to receiving financial support, were also apparent.

The full potential of such synergistic outcomes could, however, be difficult to realize unless deliberate action is taken. For example, Northrop *et al* (WRI 2016) studied 162 'Intended National Determined Contributions' (INDCs) and found that the potential plans, policies, targets or other measures contained in them were aligned to 154 of the 169 SDG targets. However, they also found that most countries did not refer to the SDGs, or to their national planning priorities and objectives in communicating their INDCs, leading to an apprehension that many of the potential mutual benefits could remain un-realized during the implementation process.

Some of the voluntary national reviews (VNRs) presented at the high-level political forum on sustainable development (HLPF) in 2016 and 2017 noted the links between climate action and the SDGs. Arguably this represents a higher level of political awareness of the linkages between the SDGs and climate action; however, details were not presented on how to benefit from these links during implementation.

In this context, there is a strong case to be made for examining how best to leverage advocacy, policies, programmes, implementation mechanisms, multi-stakeholder action and partnerships for both the SDGs and for climate action, so that co-benefits are maximized and trade-offs minimized at all levels. The Conference aims to make a contribution in this regard.

### **Rationale for organizing a Global Conference**

The multiple inter-linkages between the 2030 Agenda and the Paris Agreement indicate that integrated and synergistic implementation of both would lead to many benefits. Such an approach would considerably enhance effectiveness and the quality of outcomes, besides



## 3.5.2.B.

contributing towards more efficient use of resources, greater coherence across sectors and actors, and the formation of novel partnerships.

The details of such an approach would vary, depending on the context. In some contexts, there may be limited awareness of how Agenda 2030 and the Paris Accord are linked - in such cases, there may be need for greater advocacy and research. In others, where such awareness is well established, there may instead be a greater need for practical guidance to support implementation in ways that would make the most of these linkages. Such guidance could include

The previous section has emphasized that at the national level, more work may be needed to connect the Nationally Determined Contributions, the primary implementing instruments towards the Paris Accord, with the national development plans, the primary implementing instruments towards the SDGs.

Actions taken at the global and regional levels may also need to be re-visited. In particular, several of the 'means of implementation' identified in SDG 17 – such as finance; technology; capacity-building; policy/institutional coherence; multi-stakeholder partnerships; and data, monitoring and accountability – can provide, if properly directed, cross-cutting support across both climate action and other SDGs.

A diversity of implementation experiences and research from different parts of the world is fueling a greater understanding of these issues, as well as bringing to the fore good practices, gaps, challenges and opportunities towards the joined-up implementation of both agendas.

It is in this context that DESA and UNFCCC secretariat, in collaboration with other various partners, proposes to organize a Global Conference to strengthen synergies between the 2030 Agenda and the Paris Agreement. To this end, the Conference, planned for 4-6 March in 2019, will bring together experts from both developing and developed countries, as well as international resource persons from academia and think tanks, the private sector, NGOs and representatives of relevant UN organizations.

### **Objectives of the Global Conference**

The participants in the Global Conference will identify potential synergies and inter-linkages between the 2030 Agenda and the Paris Agreement (including through analyses of VNRs and NDCs), analyze gaps and challenges, including trade-offs, and make a set of concrete recommendations for strengthening synergies; directing the means of implementation towards more joined-up action; and stimulating multi-stakeholder partnerships. The Conference will promote dialogue on the SDGs, considered in the HLPF, and linkages with climate action, considered in the UNFCCC.

The focus of the discussions will be on implementation at the global, regional and country levels. The Conference will also provide a unique opportunity for peer-to-peer exchange of information and experiences. It is anticipated that implementing partners will be motivated to translate these into concrete results on the ground, and follow-up events could also be held to continue peer-to-peer learning and exchanges.

## Participants

A total of 200 participants are envisaged, including from countries that have conducted VNRs; have substantially advanced implementation towards their NDCs or deployed win-win solutions for climate action and the SDGs at scale through multi-stakeholder partnerships. DESA, in consultation with its partners, will identify knowledgeable and experienced experts and resource persons and will fund the participation of about 40 participants from developing countries. Representatives of developed countries and those of international organizations will be responsible for their own participation.

## Expected Outcomes

The outcomes of the conference will consist of:

- (i) a publication containing selected expert papers on key topics discussed at the Conference (drafts will be presented as background);
- (ii) a policy-relevant summary reflecting the highlights of discussions; and
- (iii) a set of concrete recommendations for strengthening the linkages between climate action and the SDGs, including for VNR reporting.

It is expected that these outcomes will help inform on-going and future discussions on relevant issues at the HLPF, UNFCCC conference of parties and other relevant platforms.

## SERVICE AGREEMENT

THIS CONTRACT ("Contract") is entered into this 30<sup>th</sup> March 2019, by and between *Chhimid Consulting* hereafter referred to as "the Procuring Agency" having its principal place of business at Zilukha, Thimphu, Bhutan, and TERI- School of Advanced Studies (TERI SAS), India hereafter referred to as "the Consultant" for "Preparation of Chapter on Vulnerability and Adaptation Assessment for Kingdom of Bhutan's Third National Communication."

WHEREAS the Procuring Agency wishes to have the Consultant perform the services to be provided to the National Environment Commission Secretariat of Bhutan hereafter referred to the client and,

WHEREAS the Consultant is willing to perform the services,

NOW THEREFORE THE PARTIES hereby agree as follows:

### 1. SERVICES

The Consultant shall be responsible for ensuring that the following set of activities are carried out and integrate where necessary and incorporate the findings/recommendations from other ongoing efforts into the TNC:

- i. Dr. Anjal Prakash from TERI SAS shall act as the Team Leader (TL) for the project. He shall perform the services and prepare the deliverables as defined in the scope of work under.
- ii. Train the national technical working group on V&A assessment and socio-economic scenario projection methodologies
- iii. Support in integration of baseline/climate change scenarios into the TNC,
- iv. Facilitate integration findings of climate-related disasters data analysis into TNC,
- v. Oversee preparation of socio-economic scenarios,
- vi. Carry out or integrate sectoral V&A assessments for
  - a. Glaciers & Glacial lakes
  - b. human health
  - c. mountain ecology
- vii. Oversee conduct of or integration of sectoral V&A assessments for
  - a. agriculture & food security
  - b. water resources
  - c. Energy
  - d. forest and biodiversity
  - e. Climate induced disasters
- viii. Support preparation of long-term priority adaptation strategies and measures including other cross cutting issues such as technology and capacity,
- ix. Overall quality control and timely delivery of the report.

### 2. TERM

The Consultant shall perform the services during the period commencing the date of entry of this agreement and continuing through 30 June 2019, or the parties in writing may subsequently agree any other period as.

Contract between TERI SAS and Chhimid Consulting

Page 1 of 3



### 3. PAYMENT

#### A. CEILING

For services rendered pursuant to Section one (1) of this Agreement, the Procuring Agency shall pay the Consultant as below.

- Consultancy fee of US\$ 200/Day for 20 days (US\$ 4000 total) of input by Dr. Anjal Prakash who is the designated team leader of the project. This is for 10 days of field visit and 10 days of home input.
- Per Diem of US\$ 150/Day for 10 days of field visit to Bhutan by Dr. Anjal Prakash. However, all accommodation and meals shall be borne by the expert. Air fare from base location of Dr. Prakash, ground transport in Bhutan and airport transfer costs in India will be borne by ChhimiD Consulting. These costs would be paid directly on arrival in Bhutan.

This amount has been established based on the understanding that it includes all of the Consultant's costs, including that of the expert and TERI SAS, as well as any tax obligation that may be imposed on the Consultant.

#### B. PAYMENT TERMS, SCHEDULE AND CONDITIONS

The total cost of the work is US\$ 5500.0 (US\$ Five Thousand and Five Hundred Only)

1. Work plan prepared, training programme and field visit completed. (Per Diem for Team Leader will be paid in Bhutan only)
2. Desktop study, validation and analysis and completion of V&A Assessment and Socio-economic modelling to TWG. (50% of US\$ 4000 by wire transfer to TERI SAS)
3. Final technical assessment report on "A short term and long-term adaptation strategy framework to complement the V&A Chapter of the TNC" accepted by NECS. (50% of US\$ 4000 by wire transfer to TERI SAS)

### 4. PROJECT ADMINISTRATION

#### A. PROJECT COORDINATOR:

The Procuring Agency shall be represented by Mr. Chhimi Dorji, ChhimiD Consulting as the Project coordinator. The Coordinator will be responsible for the coordination of activities under this contract, for acceptance and approval of the reports and of other deliverables by the Consultant and for receiving and approving invoices for the payment.

Dr. Anjal Prakash from TERI SAS shall act as the Team Leader (TL) for the project. He shall perform the services and prepare the deliverables as defined in the scope of work under.

#### B. REPORTS:

The reports listed in Section one (1) of the agreement "Services" shall be submitted in the course of assignment and will constitute the basis for the payments to be made under Section three (3) of the Contract Agreement.

*Contract between TERI SAS and ChhimiD Consulting*

*Page 2 of 3*



## 5. PERFORMANCE STANDARDS

The Consultant undertakes to perform the Services with the highest standards of professional and ethical competence and integrity. The Consultant shall promptly replace any employees assigned under this contract that the client considers unsatisfactory.

### A. CONFIDENTIALITY

The Consultant shall not disclose any proprietary or confidential information relating to the Services, this Contract or the Procuring Agency's business or operations without the prior written consent of the Procuring Agency.

### B. OWNERSHIP OF MATERIAL

Any studies, reports or other material, graphic, software or otherwise, prepared by the Consultant for the Procuring Agency under this contract shall belong to and remain the property of the Procuring Agency and the client – NECS, Bhutan.

## 6. ASSIGNMENT

The Consultant shall not assign this Contract or sub-contract any portion of it without the Procuring Agency's prior written consent. The Consultant agrees that, during the term of this Contract and after its termination, the Consultant and any entity affiliated with the Consultant shall be disqualified from providing goods, works or services (other than the Services and any continuation thereof) for any project resulting from or closely related to the Services.

## 7. LAW GOVERNING CONTRACT AND LANGUAGE

The Contract shall be governed by the laws of Bhutan, and the language of the Contract shall be English.

## 8. DISPUTE RESOLUTION

Any dispute arising out of the Contract, which cannot be amicably settled between the parties, shall be referred to adjudication/arbitration in accordance with the Law of the Kingdom of Bhutan.

FOR THE PROCURING AGENCY

FOR THE CONSULTANT

Signed by

Name

Designation

List of Annexure

Chhimid Dangi  
Proprietor & CEO



Signed by

Name: Capt. Pradeep Kr. Padhy (Retd.)

Designation: Registrar

Capt. Pradeep K. Padhy (Retd.)  
Registrar  
TERI School of Advanced Studies  
10, Institutional Area, Vasant Kunj  
New Delhi-110070



PRIVATE & CONFIDENTIAL

**CONSULTANCY AGREEMENT**  
**TROSA MID-TERM REVIEW - NEPAL**  
**Made on April 18, 2019**

**Oxfam Contract Manager**

**Name:** Lim Solinn, Country Director Oxfam in Cambodia  
**Address:** 94 Russian Blvd. Sangkat Toek La-ork 1, Khan Tuol Kork  
 Phnom Penh  
**Email address:** Solinn.Lim@oxfam.org  
**Telephone number:** 023 88 54 12

**The Service Provider**

**Name:** Dr. Anjal Prakash  
**Passport Number** Z2802983  
**Nationality** Indian  
**Address:** Hyderabad Campus; Sy. No.37/1/2, Gopanpally,  
 Gachibowli, Hyderabad, Telangana, India 500 032

**Operating Base if different to above:** Australia  
**Email address:** [anjal.prakash@terisas.ac.in](mailto:anjal.prakash@terisas.ac.in)  
**Telephone number:** .....

**You are:**

An individual	<input checked="" type="checkbox"/>
A limited company	<input type="checkbox"/>
A partnership	<input type="checkbox"/>
An association	<input type="checkbox"/>
Other (please specify)	<input type="checkbox"/>

The Service Provider is referred to as "You" throughout this Agreement which includes your representatives and authorised sub-contractors. Where the contract places an obligation on You, You hereby agree to procure that your representatives and authorised sub-contractors (if any) also comply and You hereby agree and acknowledge that You will be liable for any breach of contract, gross negligence or intent or any other unlawful act by your representatives and authorised sub-contractors (if any).

This Agreement is between You and **Oxfam in Cambodia**. All references to Oxfam include its group companies and, where specified in Schedule 1, other Oxfam entities who are members of Oxfam International.

1. **SERVICES**

- 1.1 You hereby agree to perform the services set out in the attached Term of Reference as attached from April 1, 2019 to June 30, 2019 and the other terms and conditions of this Agreement.
- 1.2 You will perform the Services against the dates specified in the Term of Reference which attached to this Agreement, unless this Agreement is terminated early in accordance with paragraph 11 of this Agreement.
- 1.3 In performing the Services, You, and, if applicable, any individuals or (other) third parties providing the Services for You, will:
  - (a) co-operate with Oxfam in all matters relating to (the performance of) the Services and comply with Oxfam's reasonable instructions;
  - (b) be appropriately qualified to perform the Services;
  - (c) perform the Services and use any materials with due and proper care, skills and knowledge, and in accordance with the Description of Services attached as Schedule 1 to this Agreement, as well as in accordance with Oxfam's written requirements, if any;
  - (d) provide all equipment, tools and vehicles and such other items or materials as are required to perform the Services; and
  - (e) use the best quality goods, materials, standards and techniques and ensure that any goods and materials supplied or used to perform the Services will be free from defects in workmanship, installation and design;
  - (f) report to Oxfam concerning the fulfilment of the performance of the Services in due course;
  - (g) do everything a good contractor shall be held to do and use your best efforts to serve the interests of Oxfam.



### 3.5.2.B.

- 1.4 You are not the agent of Oxfam nor do You have authority (and You will not hold yourself out as having authority) to make contracts or enter into any engagements on behalf of Oxfam.
- 1.5 You are responsible for ensuring that You have the necessary work permits and visas to undertake the Services.
- 1.6 You are responsible for making all travel arrangements, including securing accommodation, necessary to carry out the Services.

## 2. FEES

- 2.1 The agreed fee as full remuneration for the Services under this Agreement shall be a gross consultancy fee of USD6,003.00 (Six Thousand Three US Dollars Only). Oxfam in Cambodia is legally obliged to withhold the income tax of this consultancy fee by 14% at each payment:

- 2.2 The payment of the consultancy fee is made at the followings:

Payment Schedule	Gross Fee	14% WHT	Net Fee
60% payment after LoA is signed off	\$3,601.80	\$504.25	\$3,097.55
40% payment after the completion of the assignment with full satisfaction.	\$2,401.20	\$336.17	\$2,065.03

- 2.3 Payment of the agreed fee shall be staged on satisfactory delivery of the Services in accordance with the timescale below **OR** made on satisfactory completion of the assignment to the quality and timescale set out in this Schedule. Oxfam will pay such invoices within 30 days or the end of the month of receipt of the invoice.
- 2.4 An invoice should be submitted to the Contract Manager one week prior to the payment.
- 2.5 Oxfam will pay for the Services to be performed by You on the basis set out in the Term of Reference attached to this Agreement. In the event of early termination of this Agreement (except where Oxfam terminates under clause 11.2(a) or 11.3), payment shall be made in proportion to the amount of work satisfactorily completed.
- 2.6 All fees referred to in this Agreement are inclusive of VAT and/or any and all other applicable taxes (and the payment of any taxes chargeable in relation to (the performance of) the Services is conditional on your provision to Oxfam of a valid tax invoice). If Oxfam is required to withhold or deduct any amount on account of tax from a payment due to You, Oxfam will not be required to gross up that payment.



- 2.7 Oxfam is entitled to deduct and/or set off from any sums payable to You any sums that You may owe Oxfam at any time throughout the duration of this Agreement.

**3. CONFIDENTIAL INFORMATION**

- 3.1 You shall not use or disclose to any person during or at any time after termination of this Agreement any information relating to Oxfam's business and operations or any other matters which may come to your knowledge while performing the Services, and which may reasonably be regarded as confidential (**Confidential Information**). You further undertake to use all Confidential Information disclosed under this Agreement exclusively for the purposes of performing the Services.

- 3.2 The restriction in clause 3.1 does not apply to:

- (a) any disclosure for the proper performance of the Services under this Agreement, in which event You may only disclose Confidential Information if You have first obtained consent in writing from Oxfam; or
- (b) any information which was already or becomes available in the public domain without breach of this Agreement; or
- (c) any disclosure required by law.

**4. ETHICAL DECLARATION**

- 4.1 You hereby agree to comply with the Ethical & Environmental Policy attached as Schedule 2 to this Agreement. Willingness to pursue compliance with Oxfam's Ethical & Environmental Policy does not imply Oxfam's endorsement of the ethical nature of your business and no such claims should be made.

**5. INTELLECTUAL PROPERTY**

- 5.1 You warrant that You own or will own all materials, including any and all intellectual property rights in such materials, produced by You in the performance of the Services under this Agreement, and that such materials produced are original, shall not be defamatory, and shall not infringe the intellectual property rights, ownership rights or any other rights of any third party or be in any way unlawful. You shall indemnify Oxfam against all costs and damages that arise as a result of any infringement or alleged infringement of such rights.
- 5.2 If You make use of the services of any third party, You will procure an assignment of intellectual property rights and waiver of moral rights or similar rights from such third party in respect of all materials produced in the performance of the Services under this Agreement at no cost to Oxfam, unless You agree otherwise with Oxfam.



- 5.3 You hereby assign to Oxfam all existing and future intellectual property rights in materials produced in the performance of the Services under this Agreement. You will do, at Oxfam's reasonable cost, all acts that may be necessary to give effect to this assignment.
- 5.4 You irrevocably waive in favour of Oxfam all moral rights or similar rights in any jurisdiction which You have or will have in any materials produced in the performance of the Services under this Agreement.
- 5.5 Neither You nor Oxfam shall acquire any right, title or interest in the other's pre-existing intellectual property rights, and as such, any and all intellectual property rights owned by either party prior to the beginning of the performance of the Services shall remain the property of such party.
- 5.6 Oxfam staff who manages the project is exclusively authorized and appointed to be co-author of the project and has the duty to launch publication of the project with prior agreement of Country Director. Both consultant and the authorized staff are required to implement this publication with mutual accountability, aiming at the high assurance of quality, no associated risk and promotion of good reputation of Oxfam.

**6. USE OF E-MAIL AND INTERNET**

- 6.1 If You have been given an Oxfam e-mail address and/or access to Oxfam's computers, You agree:
  - (a) not to use that address for purposes other than those related to performing the Services and/or any other of your obligations under this Agreement; and
  - (b) to comply with Oxfam's Acceptable Use Policy, which Oxfam will supply to You upon request.

**7. DISCRIMINATION AND DIGNITY AT WORK**

- 7.1 You shall treat all employees, agents and contractors of Oxfam with respect irrespective of their age, sex, marital status, sexuality, religion, religious belief, colour, race, ethnic or national origin or any disability which they may have and You must not bully, harass or otherwise unlawfully discriminate against any person whilst performing the Services.

**8. MEDIA AND USE OF OXFAM'S NAME**

- 8.1 You may not use Oxfam's name and/or logo for any purpose beyond the performance of the Services and/or any other of your obligations under this Agreement unless You have first obtained consent in writing from Oxfam. This includes any news release, public announcement or proactive media work.



**9. INSURANCE AND LIABILITY**

- 9.1 You shall indemnify Oxfam for any all damages or loss, liability or costs (including reasonable legal costs), incurred by Oxfam in connection with any breach of this Agreement or any negligence by You. This includes loss or damage to any Oxfam documents, materials and/or property provided to You for the purpose of performing the Services.
- 9.2 You will maintain in force during the period of this Agreement adequate insurance cover for the type of work that You are undertaking for Oxfam, with reputable insurers acceptable to Oxfam including:
- (a) public liability insurance where appropriate.;
  - (b) professional indemnity insurance where appropriate; and
  - (c) employer's liability insurance where appropriate and where applicable,
- or as specified by Oxfam from time to time. Certificates and or policies of such insurance shall be made available to Oxfam upon request
- 9.3 In all cases, You are responsible for your own medical arrangements and travel and medical insurance, if applicable.
- 9.4 Where appropriate, You will provide evidence of all travel and medical insurance to the HR department at Oxfam at the time of signature of this agreement.

**10. HEALTH AND SAFETY**

- 10.1 You will take care of your own health and safety and others with whom You come into contact at Oxfam. In particular:
- (a) You will comply with all statutory obligations relating to health and safety at work for You, Oxfam staff and others with whom You come into contact while engaged in the performance of the Services and/or any other of your obligations under this Agreement; and
  - (b) You will comply with Oxfam's Health & Safety Policy Statement (if applicable), which Oxfam will supply to you.
- 10.2 You must at any time cooperate with Oxfam to enable Oxfam to fulfil its legal obligations regarding health and safety and You shall promptly report to Oxfam any unsafe working conditions or practices.

**11. TERMINATION**

- 11.1 Oxfam shall be entitled to terminate this Agreement at any time by giving You one (1) month's written notice.
- 11.2 Either party may terminate this Agreement at any time with immediate effect, without judicial intervention being required, and without any liability for termination or otherwise towards the other party, in the event that:



- (a) the other party is in material breach of any of its obligations under this Agreement and the breach is not capable of remedy or where the breach is capable of remedy, it fails to remedy the breach within 05 days of being requested in writing to do so; or
- (b) either party goes into liquidation, is declared bankrupt or has a bankruptcy order made against them, goes into moratorium of payment or files a petition for moratorium of payment, makes a voluntary arrangement with its creditors or has a receiver or administrator appointed over some or all of its assets, or any similar procedure in any jurisdiction provided it is admitted under the applicable laws;
- (c) the other party is winded-up; or
- (d) (in the case You are a natural person) You pass away or become disabled for a period of more than 3 months, or otherwise no longer have the capacities to perform the Services under this Agreement; or
- (e) Any competent court or authority decides that the legal relationship between the parties qualifies as an employment relationship or deemed employment relationship.

11.3 Oxfam shall be entitled to terminate this Agreement with immediate effect, without judicial intervention being required and without any liability for termination or otherwise towards You, if in its reasonable opinion You commit any fraud or malicious act or do anything which, in the opinion of Oxfam, is likely to bring Oxfam into disrepute.

11.4 All documents and materials and (other) property of Oxfam that You shall have in your possession for the performance of the Services under this Agreement remain the property of Oxfam. On termination of this Agreement, You undertake to immediately return to Oxfam any Oxfam documents (including any and all copies) and materials and (other) property in your possession or control. You also (unless otherwise specified by Oxfam) undertake to irretrievably delete any information relating to the performance of the Services and/or this Agreement stored on any magnetic or optical disk or memory which is in your possession or under your control outside the premises of Oxfam.

## **12. STATUS**

12.1 You shall be an independent contractor and nothing in this Agreement shall render You an employee, worker, agent or partner of Oxfam.

12.2 This Agreement constitutes a contract for the performance of services and the parties agree that this Agreement and the Services to be performed by You for Oxfam do not qualify as an employment relationship or deemed employment relationship, and parties have no intention to create any employment relationship. Accordingly, You are fully liable for and shall fully



indemnify and hold harmless Oxfam against any liability, assessment or claim for:

- (a) any and all applicable taxes (unless otherwise required by Law), duties or levies, including income tax (as well as income tax or amounts equivalent to or related to income tax that must be withheld, charged or settled in the event of payment), wage tax, national insurance and social security contributions and any related interest, penalties or surcharges, both for the employers parts as for the employee part (if and to the extent that any competent court or authority decides that the legal relationship between the parties qualifies as an employment relationship or deemed employment relationship), as well as any claim for reimbursement of such taxes, in relation to the performance of the Services under this Agreement, and for any and all other liability, deduction, contribution, assessment or claim arising from or made in connection with either the performance of the Services or any payment or benefit received in respect of the Services (where recovery is not prohibited by law); and
- (b) any liability arising from any employment-related claim or any claim based on worker status (including reasonable costs and expenses) brought by your representatives or sub-contractors against Oxfam arising out of or in connection with the performance of the Services.

- 12.3 You shall both at the start and during the full term of this Agreement obtain and retain all relevant Tax employment statements that prove that Oxfam is not obliged to pay or withhold employment tax and/or national insurance and social security contributions. The parties shall reasonably do everything necessary to continue to ensure that the performance of the Services under this Agreement shall not be subject to employment tax and/or national insurance and social security contributions and Oxfam shall remain fully indemnified by You in that respect. If necessary, you will cooperate on replacing this Agreement by an agreement approved by the Tax authorities.

If and to the extent that any competent court or authority decides that the legal relationship between the parties qualifies as an employment relationship or deemed employment relationship, and as a consequence You or Oxfam shall pay or withhold employment tax and/or national insurance and social security contributions on that basis, Oxfam may withhold corresponding amounts from all payments due by it to You under this Agreement, without increase of the fee specified in paragraph 2 and/or Schedule 1 of this Agreement.

### 13. **DISPUTE RESOLUTION**

- 13.1 If any dispute - which shall be deemed to exist if one of the parties considers this is the case - arises out of or in connection with this Agreement, the parties will arrange a meeting between authorized representatives of both parties and

seek to resolve that dispute in good faith. If after two (2) weeks from the date of that meeting the parties have not reached a solution or the dispute is otherwise ongoing, the parties shall attempt to settle the dispute by mediation before litigation is threatened or commenced. The mediation shall take place under the direction of a mediator registered with the *International Mediation Institute (IMI)*. The IMI-registered mediator will be jointly agreed by the parties. If the parties fail to jointly agree on the mediator, or if the jointly agreed mediator is not able or prepared to act as mediator for this matter, the parties shall - or the most diligent party shall - request the *ADR International Register* to provide a written proposal for a mediator. The parties shall equally share the cost of the mediator. Mediation shall take place in accordance with the IMI Mediation Rules.

#### **14. ASSIGNMENT AND SUBCONTRACTING**

- 14.1 You shall not assign, transfer, charge, subcontract or deal in any other manner with, whether voluntarily or by operation of law, all or any of your rights or obligations under this Agreement to a third party without the prior written consent of Oxfam.
- 14.2 Oxfam may at any time assign, transfer, charge, subcontract or deal in any other manner with all or any of its rights or obligations under this Agreement to a third party. Oxfam shall promptly notify You of any such assignment, transfer, charging or subcontracting.

#### **15. GENERAL PROVISIONS**

- 15.1 No variation to this Agreement shall be valid unless it is in writing and signed by an authorized representative of both parties.
- 15.2 The Schedules annexed to this Agreement form part of this Agreement.
- 15.3 You hereby acknowledge and confirm that prior to and/or at the date of the entry into this Agreement, You have received, read and, where appropriate, complete and submit the following documents:
  - (a) Description of Services (Schedule 1);
  - (b) Ethical & Environmental Policy (Schedule 2);
  - (c) Health and Safety policy statement (Schedule 3); and
  - (d) Oxfam Code of Conduct (Schedule 4) (non-staff).
- 15.4 This Agreement and all Schedules hereto constitute the entire understanding and agreement between the parties in relation to the matters contained herein and therein and supersede any previous agreement or understanding, whether written or oral, between the parties in relation to such matters. All other terms and conditions expressed or implied by statute or otherwise are excluded to the fullest extent permitted by law.
- 15.5 Any notice required or permitted to be given by either party to the other under this Agreement shall be in writing by any means which leaves certifiable



evidence of its reception date by the other party, and addressed to the other party at the address set out in this Agreement or such other address as may be notified by that party to the other in writing.

- 15.6 No failure or delay by either party in exercising any of its rights under this Agreement shall be deemed to be a waiver of that right, and no waiver by either party of any breach of this Agreement by the other shall be considered as a waiver of any subsequent breach.
- 15.7 If any provision of this Agreement is held by any competent court or authority to be invalid or unenforceable in whole or in part, so far as permissible, the validity of the other provisions and the remainder of the provision in question shall not be affected, and the invalid or unenforceable provision shall be deemed to have been replaced by a valid provision which creates rights and obligations for the parties that are as much as is legally permitted identical to those contained in the invalid provision.
- 15.8 No third party shall have any rights under this Agreement, other than as expressly permitted under the applicable laws.
- 15.9 This Agreement shall be exclusively governed by and construed in accordance with the laws of **Cambodia**.
- 15.10 Any dispute - which shall be deemed to exist if one of the parties considers this is the case and only in case the dispute resolution procedure as referred to in paragraph 13 of this Agreement has not led to the dispute being resolved - arising out of or in connection with this Agreement shall be settled exclusively by the competent court of **Cambodia**, to whose exclusive jurisdiction the parties hereby irrevocably submit.

Please sign, date and return the enclosed copies of this Agreement.

Yours sincerely,

The contents of this letter are agreed  
For and on behalf of OXFAM



Country Director  
Oxfam in Cambodia

Service Provider Authorised Signatory

19-04-2019

Date:

Dr. Anjal Prakash

## CONTRACT FOR SERVICES

The Energy and Resources Institute (TERI), a society registered under Societies Registration Act, 1860 is a not for profit organisation engaged in research in the fields of energy, environment and sustainable development with its registered office at Darhara Seth block, Indian Habitat Centre, Lodi Road, New Delhi 110003 (hereinafter referred to as "TERI")

AND

TERI School of Advanced Studies (TERI SAS), a deemed university under Section 3 of UGC Act having its registered office at Plot No. 10 Institutional Area, Vasant Kunj, New Delhi - 110 070 (hereinafter referred to as "TERI-SAS").

### **1. Background**

TERI has undertaken two projects entitled "Developing Country Participation in Addressing Climate Change: Research on policy instruments for achievement of India's NDCs" in short "NFA" & "Strengthening Non-state Climate Action in the Global South" in short "Climate South". In this context TERI wishes to engage the services of the Consultant under these projects.

TERI-SAS in turn has agreed to enter into a Sub-contract with TERI to provide technical and others inputs in the execution of the overall projects sponsored by the Norwegian Ministry of Foreign Affairs and Volkswagen Stiftung respectively.

Under this subcontract agreement, TERI and TERI-SAS agree on the following terms and conditions. The sub contract will be implemented by Dr. Manish Kumar Shrivastava, on behalf of TERI SAS, hereinafter referred to as "Consultant"

### **2. Services to be provided by the Consultant**

TERI-SAS will provide following services:

- a. The key area of work would be related to following projects:
  - a. NFA (Developing Country Participation in Addressing Climate Change: Research on policy instruments for achievement of India's NDCs)
  - b. Climate South (Strengthening Non-state Climate Action in the Global South)
  - c. To participate in project activities like coordination, consultation and dissemination workshops.

### **3. Expected outcome**

The Consultant is expected to author/co-author policy briefs during his period of engagement. The Consultant is also expected to train the project team and contribute to project activities as the need arises.



#### 4. Timelines

The contract will be valid for a period of 12 months starting from 1st July 2018 till 30<sup>th</sup> June 2019. This Contract will enter into force as of the later date of signature by either Party (the 'Effective Date') and will remain in force until satisfactory performance of the services and completion of all inputs/tasks by the Consultant as outlined under Clauses 2 and 3.

The Consultant will be working 50 days (excluding travel days) in total in the contract duration. Based on the consultant's availability, there would be flexibility in the fixing of the dates for his presence each month, to achieve the defined deliverables. This will be done on a monthly basis in discussion with the respective PI and AC/AD.

The consultant will undertake travel as and when needed with the prior consent of the PI and AC/AD. All travel & logistics costs will be borne through the project on actuals. The days spent on the field should not exceed 20 and will be paid additionally @ Rs. 9,120/- per day basis.

#### 5. Payment and mode of payment

TERI-SAS shall be paid for conducting activities under clauses 2 and 3, a daily amount of Rs 9,120/-/day plus taxes as applicable e.g., GST. This amount shall be released on submission of an invoice by TERI-SAS.

TERI will deduct income tax at source from each remittance at the prevalent rate as per the Income Tax rules of the Government of India. The release of payment from TERI to TERI-SAS would be subject to the receipt of funding from the project and on receipt of invoices and deliverables from the Consultant to TERI.

Payment will be made to the TERI SAS in INR. Should payment to the TERI-SAS generate any bank transfer fees, TERI will only pay the fees charged by the originating bank, but not those that may be levied by the receiving bank. TERI will not reimburse any other costs. Invoices will be sent to THE ENERGY AND RESOURCES INSTITUTE ("TERI"), Darbari Seth Block, IHC Complex, Lodhi Road, New Delhi - 110 003, India.

#### 6. Confidentiality

For the purposes of this contract, Confidential Information means information of a confidential nature acquired by the Consultant in the course of performance of this Contract, such as government data, TERI procedures, information and trade secrets and know how which is appropriately marked, provided such information:

- (a) is not generally known or publicly available from other sources;
- (b) has not previously been made available by the owner to others without obligation
- (c) concerning its confidentiality; and
- (d) is not already in the possession of the Consultant without obligation concerning its confidentiality.

The Consultant and its experts, employees, agents and representatives will maintain the confidentiality of all Confidential Information derived from or relating to the activities of TERI which

may become known to the Consultant in the course of the negotiation or performance of this Contract. Unless TERI gives prior written authorization to do so, the Consultant will not disclose any unpublished information obtained from TERI in course of the negotiation or performance of this Sub-contract.

#### **7. Termination**

In the event of unsatisfactory performance or failure by the Consultant to comply with any obligations under this Contract, TERI reserves the right to terminate this Contract without any prior notice to TERI SAS and without prejudice to any rights or remedies to which TERI may be entitled. TERI-SAS may terminate this contract by giving 15 days' notice to TERI in writing. In case of termination, the work will be calculated on a pro rata basis of such termination upon presentation by the Consultant of a written memorandum of such part of the work already performed at such date. Notwithstanding the foregoing, TERI will only be required to pay TERI-SAS for high-quality work that TERI considers, in its sole judgment that the Consultant has performed to date.

#### **9. Status of Consultant and Others.**

Neither the Consultant, nor its expert, employees, agents or representatives will:

- be entitled to commit TERI to any expenditure whatsoever beyond those specifically approved by TERI, and/or

- be entitled to claim any consideration, recompense, refund or repayment not provided for in this Contract

#### **10. Intellectual Property**

TERI-SAS agrees to assign to TERI all work carried out in performance of this Contract and the intermediate and final results thereof, as well as all copyright and others intellectual property rights arising from such work and results. Such work will be the sole property of TERI, which may dispose of it as it sees fit. TERI-SAS undertakes not to use said work or results for any purpose whatsoever, including not publishing any deliverables deriving from its collaboration with TERI, either partly or entirely, except with the prior written consent of TERI.

In conformity with the Universal Copyright Convention, full acknowledgement shall be given to TERI, as being the source of any material made available by TERI to the Consultant, for carrying out the services outlined in Clauses 2 and 3 of this Contract.

The Consultant will ensure that any and all of its experts, employees, agents and representatives will also be fully bound by the terms of this Article.

#### **11. Indemnification**

TERI-SAS agrees to defend, indemnify, and hold harmless TERI from and against any and all loss of profits, claims, actions, causes of action, or liabilities, including reasonable attorneys' fees, arising out of or resulting from any act undertaken or committed by the Consultant in connection with the performance of the Consultant's obligations under this Contract. TERI also agrees to defend, indemnify, and hold harmless TERI-SAS from any liability resulting from any claim, action or cause of action, which may be asserted by third parties arising out of the performance of the Consultant's obligations under this Contract, except those actions which are due to the misconduct or negligence of TERI.

### 12. Non-Assignability; Sub-contracts

The Consultant will not engage any subcontractor to perform its work in whole or in part under this Contract unless prior written authorization is given by the TERI and TERI-SAS to that effect.

### 13. Disputes and Arbitration

Any dispute relating to this Contract that cannot be settled amicably between the Parties within twenty (20) days of notification of a dispute by one Party to the others will be referred to Director General, TERI, and Vice Chancellor, TERI-SAS for arbitration. The arbitrators' decision will be binding on all parties and there will be no appeal.

### 13. Jurisdiction

Courts in Delhi will have jurisdiction over this agreement

This Contract will be signed in two originals, each of which will be accorded equal validity.

Signed


For TERI

  
Rajagopal  
Add. General Manager (Project Management)  
The Energy and Resources Institute  
Gurukul Road Block - 1, Connaught Place  
New Delhi, New Delhi - 110 028

Witness : Ritesh Kumar Jha  
Sr. Secretary, TERI

Signed

For TERI-SAS

  
Capt. Pradeep K. Padhy (Retd.)  
Registrar  
TERI School of Advanced Studies  
New Delhi - 110 028

Witness:

Dr. Manish Kumar Srivastava, Vasant Koj  
Assistant Professor, TERI SAS

Date and place: 26/02/2019

Date and place: 26/02/2019



## AGREEMENT

The Energy and Resources Institute (TERI) is a not for profit research organization engaged in research in the fields of energy, environment & associated issues and hereinafter referred to as TERI and having its registered office at Darbari Seth Block, C/O India Habitat Centre, Lodhi Road, New Delhi 110003.

AND

TERI School of Advanced Studies (TERI-SAS) having its registered office at Plot No. 10 Institutional Area, Vasant Kunj, New Delhi - 110 070 / India.

TERI has undertaken a project entitled "Mahindra TERI Centre of Excellence, India" (hereinafter called the Project) from Mahindra Group hereinafter Sponsor and TERI has entered into a contract with the Sponsor. TERI-SAS has agreed to provide technical inputs to TERI for the project, and has agreed to enter into an agreement with TERI to provide technical and other inputs in the execution of the Project.

Under this agreement, TERI and TERI-SAS agree on the following terms and conditions.

### 1. Tasks to be performed by Prof Arun Kansal from TERI-SAS

- (1) Providing technical insights and guidance to TERI team at each stage of development.
- (2) Reviewing all deliverables as per attached proposal
- (3) Any other additional technical support needed in favour of project

### 2. Adherence to time schedule and workplan

TERI-SAS will provide its technical inputs to TERI team for the tasks outlined in attached proposal. Such inputs whenever sought by TERI is to be provided within a reasonable time.

### 3. Payment

TERI will pay to TERI-SAS professional charges of Rs 7,50,000 (Rs Seven lakh Fifty thousand only) plus applicable GST and covers all expenses that TERI-SAS shall have to incur for providing technical inputs and also fulfilling all conditions as outlined in attached proposal.

This amount will be released as per the following schedule.

- 50% Advance with work order (Date of signing contract)
- 50% after completion, submission and sponsor approval on deliverables

It may be noted that TERI will deduct income tax at source from each remittance at the prevalent rate as per the Income Tax Rules of the Government of India.

- (ii) TERI will take care of all expenses that TERI-SAS may have to incur for executive of the activities as deemed necessary by TERI.

The release of payment from TERI to TERI-SAS would be subject to the receipt of funding from the Sponsor and on receipt of invoice and deliverables from TERI-SAS to TERI as per payment schedule given above.

## 3.5.2.B.

4. Termination

This agreement may be terminated by TERI upon one month's notice to TU. Upon such termination at any time during the agreement period, TERI-SAS will be compensated for all completed and duly accepted services rendered by it up to the date of termination.

5. Period of Agreement

The agreement will be in force for a period of 15 months from the date of signing.

6. Commencement of Agreement

The tasks given under clause 1 will commence from the date of signing of this agreement. This agreement will remain in force till the completion of the tasks given under clause 1 or till termination but not beyond.

for TERI



Name: K. Rajagopal

Designation: Addl Gen Manager (Proj Monitoring)

..

Date & Place: 31 Jan 2019; New Delhi

for TERI School of Advanced Studies



Name: ..... Capt. Pradeep K. Padhy (Retd.)

Designation: Registrar .....

TERI School of Advanced Studies  
10, Institutional Area, Vasant Kunj  
New Delhi-110 070

Date & Place: 04 Feb 2019

## AGREEMENT

The Energy and Resources Institute (TERI) is a not for profit research organization engaged in research in the fields of energy, environment & associated issues and hereinafter referred to as TERI and having its registered office at Darbari Seth Block, C/O India Habitat Centre, Lodhi Road, New Delhi 110003.

AND

TERI School of Advanced Studies (TERI-SAS) having its registered office at Plot No. 10 Institutional Area, Vasant Kunj, New Delhi - 110 070 / India.

TERI has undertaken a project entitled "Energy Audit and Pre-Feasibility Study for Namangan City Suvokova (1256781), Uzbekistan" (hereinafter called the Project) from World Bank hereinafter Sponsor and TERI has entered into a contract with the Sponsor. TERI-SAS has agreed to provide technical inputs to TERI for the project, and has agreed to enter into an agreement with TERI to provide technical and other inputs in the execution of the Project.

Under this agreement, TERI and TERI-SAS agree on the following terms and conditions.

### 1. Tasks to be performed by TERI-SAS

- (1) Field visit to Namangan SUE
- (2) Preparation of hydraulic modelling
- (3) Inputs for finalization of reports

### 2. Adherence to time schedule and workplan

TERI-SAS will provide its technical inputs in the form of a draft report for the tasks outlined under clause 1 as per the following schedule:

- First draft report by (November 2018)
- Second draft report incorporating the comments on the first draft report by (February 2019)
- Third draft report incorporating the comments on the second draft report by (April 2019)

### 3. Payment

TERI will pay to TERI-SAS a lump sum of Rs 2,03,400/- (Rupees Two Lakhs three thousand four hundred only) which includes service tax and covers all expenses that TERI-SAS shall have to incur for providing technical inputs and also fulfilling all conditions as listed in clauses 1 and 2.

This amount will be released as per the following schedule.

- 20% Advance with work order (Date of signing contract)
- 20% after completion of field work (Submission of second draft report)
- 60% after submission of final analyzed data (Submission of third draft report)

It may be noted that TERI will deduct income tax at source from each remittance at the prevalent rate as per the Income Tax Rules of the Government of India.

- (ii) TERI will take care of all expenses that TERI-SAS may have to incur for executive of the activities as deemed necessary by TERI.

## 3.5.2.B.

The release of payment from TERI to TERI-SAS would be subject to the receipt of funding from the Sponsor and on receipt of invoice and deliverables from TERI-SAS to TERI as per payment schedule given above.

4. Termination

This agreement may be terminated by TERI upon one month's notice to TU. Upon such termination at any time during the agreement period, TERI-SAS will be compensated for all completed and duly accepted services rendered by it up to the date of termination.

5. Period of Agreement

The agreement will be in force for a period of 12 months from the date of signing.

6. Commencement of Agreement

The tasks given under clause 1 will commence from the date of signing of this agreement. This agreement will remain in force till the completion of the tasks given under clause 1 or till termination but not beyond.

7. Submission of reports vis-à-vis workplan

TERI-SAS will submit inputs for the technical report for activities specified in clause 1 to be developed and finalized by TERI

for TERI

for TERI School of Advanced Studies

Name: .....  
Designation: .....

Name: .....  
Designation: .....

..

Date & Place: .....

Date & Place:



# 3.5.2.B FW: Acceptance request to add you as a team member in project titled Energy Audit and Pre-Feasibility Study for Namangan City

Dhanraj Singh

Wed 9/26/2018 12:34 PM

To: Devvrata Guglani <Devvrata.Guglani@terisas.ac.in>;

Cc: Arun Kansal <akansal@terisas.ac.in>; vikas prasad <vikas.prasad@terisas.ac.in>;

Dear Devrath,

Please create new project for under mentioned study.

Best regards,

Dhanraj Singh

From: Arun Kansal

Sent: 26 September 2018 12:32

To: Dhanraj Singh <dhanraj.singh@terisas.ac.in>

Subject: FW: Acceptance request to add you as a team member in project titled Energy Audit and Pre-Feasibility Study for Namangan City

From: Arun Kansal

Sent: 13 July 2018 13:38

To: Dhanraj Singh <dhanraj.singh@terisas.ac.in>

Cc: Rajiv Seth <rseth@terisas.ac.in>

Subject: FW: Acceptance request to add you as a team member in project titled Energy Audit and Pre-Feasibility Study for Namangan City

Dear Dhanraj

Please note this.

From: Dr G R Narasimha Rao <grnrao@teri.res.in>

Sent: 12 July 2018 14:27

To: akansal@teri.res.in

Subject: Acceptance request to add you as a team member in project titled Energy Audit and Pre-Feasibility Study for Namangan City

Dear Prof Arun Kansal

I plan to add you as a team member in my project whose details are presented below .

I request your acceptance and look forward for your contribution towards this project.

Project title	Energy Audit and Pre-Feasibility Study for Namangan City
Project code	2018IB16
Sponsor	The World Bank Group
Assigned activities	Demand Response Report
Person months allocated	0.30

2018DW304

Assigned activities      Prefeasibility study report  
3.5.2.B.  
Person months allocated    0.30  
Assigned activities      Completion report  
Person months allocated    0.40

If you do not respond within 5 days, system will auto-accept this request on your behalf.

Regards

Dr G R Narasimha Rao

Please click on below url to accept/decline this request

[http://pms.teri.res.in/project\\_team\\_member.aspx?p\\_code=2018IB16&empcode=E035](http://pms.teri.res.in/project_team_member.aspx?p_code=2018IB16&empcode=E035)

**Agreement Between**  
**Garware Polyester Ltd.**  
**New Delhi**  
**And**  
**TERI School of Advanced Studies**  
**New Delhi**

2019 DEC 07

This Project Agreement (this Agreement) is made on this 30<sup>th</sup> day of July 2019 at New Delhi

**BY AND BETWEEN**

Garware Polyester Ltd having its Delhi office at 1203 Suryakiran Building, Kasturba Gandhi Marg, New Delhi - 110001 and Corporate office at 50A Swami Nityanand Marg, Western Express Highway, Vile Parle (East), Mumbai - 400057 and works at Aurangabad, Pune Road P O Waluj, Aurangabad - 431133 (hereinafter referred to as "Garware Polyester") (which expressions, where the context admits, shall include its heirs, executors, representatives, administrators and successors in Business) of the **FIRST PART**

**AND**

TERI School of Advanced Studies, having its office at 10, Institutional Area, Vasant Kunj, New Delhi - 110070 (India) (hereinafter referred to as "TERI SAS"), (which expressions, where the context admits, shall include its heirs, executors, representatives, administrators and successors in Business) of the **SECOND PART**

Both "Garware Polyester" and "TERI SAS" hereinafter individually referred to as "Party" & collectively as "Parties".

\* Garware Polyester will provide its comments on the draft report to TERI SAS within two weeks from the date of receipt of the draft report from TERI SAS. In case of any delay from Garware Polyester in providing their comments on the draft report beyond the period of two weeks, the draft report submitted by TERI SAS will be treated as the final report and TERI SAS will submit the final report along with the invoice for the final payment.

TERI SAS will raise invoices for each payment and Garware Polyester will settle the invoices within one month from the date of receipt of invoices from TERI SAS.

The payments shall be to TERI SAS's Bank Account as per the details given below:

#### BANK DETAILS

Name of the beneficiary	: TERI School of Advanced Studies
Account No.	: 5214 290 8571
Name of the bank	: State Bank of India
Address	: SCOPE Complex, Core – 6, Lodhi Roda, New Delhi – 110003
Swift Code	: Not required
IFSC Code	: SBIN 00 20511
Name of Account holder	: <b>TERI School of Advanced Studies</b>

#### 4/ **GENERAL CONDITIONS**

##### (a) **EFFECTIVE DATE**

Both the Parties agree that the agreement shall come into effect on the date of signing of this Agreement and continue to be effective for the period of 8 (eight) Months. This period may be extended by mutual consent of both Parties.

##### (b) **REPORTING SYSTEM**



TERI SAS shall submit study reports as per the timeline given in the Project Proposal Annexure - 1, forming part to this Agreement.

(c) FORCE MAJEURE

The Parties shall not be liable for any failure to perform, any of its obligations under this Agreement if the performance is prevented, hindered or delayed by a Force Majeure and in such case its obligations shall be suspended for so long as the Force Majeure Event continues.

Each Party shall promptly inform the other of the existence of a Force Majeure Event and shall consult together to find a mutually acceptable solution.

"Force Majeure Event" means any event due to any cause beyond the reasonable control of the Party, including, without limitation, unavailability of any communication system, sabotage, fire, flood, explosion, acts of God, civil commotion, strikes or industrial action of any kind, riots, insurrection, war or acts of Government.

(d) AMENDMENT

The agreement shall be amended by written mutual consent of the parties to the agreement.

(e) TERMINATION

Any party may terminate this agreement after giving the due notice of 15 days to the Other PARTY. In case of termination by either party, the expenses incurred by TERI SAS shall be reimbursed by Garware Polyester till the date of termination.

(f) INTELLECTUAL PROPERTY RIGHTS:

The model developed for the project deliverables will solely be the property of TERI SAS. TERI SAS will share the model results with Garware Polyester and the ownership of the study will vest with Garware Polyester. However, the sole property right of the model will be with TERI SAS.

(g) APPLICABLE LAW AND JURISDICTION CLAUSE

This Agreement will be governed and interpreted in accordance with Indian law.

(h) NOTICES

- i. All correspondence to TERI SAS regarding this Memorandum should be addressed to:

Prof. Atul Kumar  
Professor and Head  
Department of Energy and Environment  
TERI School of Advanced Studies  
10, Institutional Area, Vasant Kunj  
New Delhi - 1100 70 (India)  
Phone: +91-11-71800222, Fax: +91-11-26122874  
E-mail: [atul.kumar@terisas.ac.in](mailto:atul.kumar@terisas.ac.in)

- ii. All correspondence to Garware Polyester regarding this Agreement should be addressed to:

Mr. O. P. Sharma  
Consultant (Legal)  
Garware Polyester Ltd.  
527-528, 5<sup>th</sup> Floor, Somdutt Chamber – II  
Bhikaji Cama Place,  
New Delhi – 110066 (India)  
Phone +91-11-26107585  
E-mail: [opsharma@garwarepoly.com](mailto:opsharma@garwarepoly.com)

(g) LAW AND ARBITRATION

The provisions of this Agreement shall be governed by and, construed in accordance with the Indian law. Any dispute, controversy or claims arising out of or relating to this Agreement or the breach, termination or invalidity thereof, shall be settled by arbitration in accordance with the provisions of the Indian Arbitration and Conciliation Act, 1996.

The place of arbitration shall be New Delhi and any award whether interim or final, shall be made, and shall be deemed for all purposes between the Parties to be made, in New Delhi.

The arbitral procedure shall be conducted in the English language and any award or awards shall be rendered in English. The procedural law of the arbitration shall be the Indian law.

The rights and obligations of the Parties under, or pursuant to, this Clause, including the Arbitration Agreement in this Clause, shall be under the exclusive jurisdiction of the Courts located at New Delhi.

(h) ENTIRE AGREEMENT

This Agreement, including the attached Annexure - 1 constitutes the entire Agreement between the Parties with respect to the Services, and may only be amended in writing signed by both Parties.

IN WITNESS WHEREOF, THE PARTIES HERETO HAVE  
EXECUTED THIS AGREEMENT IN TWO COPIES ON THE DAY AND  
YEAR FIRST ABOVE WRITTEN

**Executed on behalf of  
TERI School of Advanced Studies**



By Captain Pradeep Kumar Padhy (Retd.)  
Registrar, TERI School of Advanced Studies

**Executed on behalf of  
Garware Polyester Ltd.**



By Mr. O. P. Sharma  
Consultant (Legal)  
Garware Polyester Ltd.



## **Annexure - 1**

### **Options for Cooling Load Reduction in Automobile Cabin**

#### **Proposal**

**Submitted to**

**Garware Polyester Limited**

**by**

**TERI School of Advanced Studies  
New Delhi**

**July 30, 2019**

**Page 8 of 14**

### Background

In India the transport sector is a major consumer of energy and a source of air pollutant and greenhouse gas emissions. Growth in the sector's energy consumption has been primarily fuelled by an unprecedented growth in road transport traffic. Road transport, almost entirely dependent on petroleum products, was estimated to consume more than 90% of the total energy demand of the domestic transport sector. The increasing energy demand of the sector also has strong implications for India's energy security, as the sector is heavily dependent on petroleum products, the bulk of which is transformed from imported crude oil.

Due to a sharp rise in urban population and growing incomes, there is an increase in demand for personal four wheeler vehicles. The vehicular emissions have been increased exponentially in the past few years; the transport sector has become the third largest contributor to greenhouse gas emission in India. Vehicles have now become the main source of air pollution in urban India and have become one of the serious environmental concerns. The health-related problems such as respiratory diseases, risk of developing cancers and other serious ailments, etc. due to poor air quality are known and well documented<sup>1</sup>.

Most of the Indian cities fall in a tropical climate with an extremely high temperature in summer. This high temperature increases the thermal load in an automobile, which in turn increases work done on the air-conditioning system of the automobile to remove the heat to maintain comfortable inside temperatures. In high outdoor temperature, the engine is pushed too extreme to provide power which results in decreased fuel economy. Thus there is an urgent need to improving the overall thermal efficiency of a vehicle.

---

<sup>1</sup> Status of the vehicular pollution control program in India, Central Pollution Control Board

### Objective

The objective of the proposed study is to evaluate the impact of various envelope efficiency measures that can be taken to reduce air-conditioning load in automobile thus reducing the fuel consumption.

### Scope of the Work

Efficiency measures such as thermal insulation, solar reflective paint, and low solar heat gain coefficient glass, and sun control film on automobile windows will be evaluated under the scope of the current study. The study would be performed for various climatic zones of India.

Enhancing automobile engine efficiency, Aerodynamic efficiency. Scope of work is limited to computer modelling and simulation, therefore there will be no experimentation or field study in this stage.

### Approach and Methodology

The methodology would involve: literature review and listing of information on products specifications that are planned to be studied. Simulations would be performed to demonstrate savings potentials with various efficiency measures on cooling load reduction for five representative cities in India, corresponding to different climates.

The main steps for conducting the analysis are detailed below:

#### **Collection and compilation vehicle stock data and data product specification for various analyses proposed**

We will use data published in government statistics on registered vehicle data and various assumption used on utilization, occupancy, and fuel efficiency etc which are also used in our national level transport model. We would not further bifurcate into different models of car and taxi as there is no data available on

this. Further for cooling load reduction, we will consider, car, jeep and taxi category (assuming 100% using AC). Data on registered bus is available but further bifurcation of AC and non AC is not available, therefore we will take appropriate assumptions.

Available products specifications would be collected for the study. These products information contain thermal conductivity, density, specific heat of opaque materials and for the transparent part thermal conductivity, solar heat gain coefficient, and visible light transmittance would be collected.

#### **Simulation study in various climatic zones of India**

Simulations would be performed for the various configurations to analyze the reduction of air-conditioning load in the automobile. As per National Building Code, India is divided in five following climates -

- Hot and dry
- Composite
- Warm and humid
- Temperate
- Cold

From each climatic zone, a representative city would be selected to perform simulations.

#### **Modeling study to estimate fuel savings and emission reduction**

A modeling and simulation study would be performed to estimate the fuel saving due to cooling load reduction of air-conditioning system. Emission reduction would also be estimated along with fuel reduction.

#### **Stakeholder Discussions**



Discussions and brainstorming sessions would be carried out with sectoral experts and stakeholders to refine the assumption and analysis to identify the relevant program specific issues.

#### **Deliverables**

The final product of the study will be a report describing the cooling load reduction due to various efficiency measures and expected fuel savings in India. Report will include estimated quantitate of fuel saving as associated CO<sub>2</sub> emission reduction. Using annual average price of fuel monetary worth and foreign exchange saved will also presented.

#### **Duration**

The project will be carried out over a period of 6 calendar months from the date of release of the initial payment<sup>2</sup>.

#### **Budget**

A budget/Consultancy charge for the proposed project is around Rs 12 lakhs. This includes professional charges, stakeholder consultation, publication and dissemination of study findings.

#### **Payment Schedule**

- 50% at the time of work order
- 30% on submission of the draft final report
- 20% on submission of the final report

---

<sup>2</sup> In case of data unavailability or delay in data provision project duration may be extended as per mutual agreement.

### 1/ BACKGROUND

- a. Whereas TERI SAS aims at developing a rich talent pool of post-graduates and researchers focusing on making environment sustainable for future generations. Started in 1998, TERI SAS has become a centre of research excellence in the field of sustainable development.
- b. Garware Polyester has approached TERI SAS for carrying out the detailed study on "Options for Cooling Load Reduction in Automobile Cabin" with an objective to evaluate the impact of various envelope efficiency measures that can be taken to reduce air-conditioning load in automobile thus reducing the fuel consumption. TERI SAS having expertise in the said area, has agreed to undertake the study as per scope of the work detailed in this Agreement and in terms of the Project Proposal Annexure - 1, forming part of this Agreement.
- c. Relying on the Proposal submitted by TERI SAS and subsequent discussion, Garware Polyester has agreed to accept to utilize the services of the TERI SAS and agreed to appoint TERI SAS for carrying out the services as more particularly described in the Proposal - Annexure - 1.
- d. The Parties hereto wish to record the said terms and conditions in writing.

### 2/ FEE FOR PROVIDING THE SERVICE

Rs 12 lakh (Rupees twelve lakhs only) including applicable taxes.

### 3/ PAYMENT SCHEDULE

The payment shall be released as per following schedule:

% of budget to be disbursed	Project milestone
50%	Upon signing of contract
30%	On submission of draft report
20%	On submission of final report*

### About TERI School of Advanced Studies

The TERI School of Advanced Studies (TERI SAS) was set up as a trust by TERI (The Energy and Resources Institute) – a not-for-profit, independent research institute recognized globally for its contribution to scientific and policy research in the realms of energy, environment, and sustainable development in 1998. In 1999, the TERI School of Advanced Studies was granted the 'Deemed to be University' status by the University Grants Commission (UGC) and notified vide the Ministry of Human Resources Development, Department of Education, Government of India. In October 2006, the TERI School of Advanced Studies was re-named TERI University, with the approval of the Ministry of Human Resource Development, Government of India and was known as such till November 2017.

TERI SAS is the first of its kind in India to dedicate itself to the study of environment, energy and natural sciences for sustainable development. The research and education programs at TERI SAS are at the leading edge of this shift that is taking place across the world. Our programs cut across disciplinary boundaries and integrate a holistic view with more traditional fields and are a step toward overcoming the failure of traditional approaches to meet the challenges of sustainability.

Understanding, developing, and managing sustainable systems requires consideration of phenomena across multiple temporal and spatial scales, and across disciplines. There is increasing realization of the need for new scientific principles that can address such challenges. Sustainability Science and Engineering is an emerging field to addresses such challenges. Our activities in this field cut across all other research areas by providing the underlying systems analysis and modeling of complex interactions. TERI SAS is also working on development of new methods for encouraging greater synergy between multiple industries and their supporting ecosystems.

**Contact Person at TERI School of Advance Studies**

For any further details and for any future communication, please contact:

**Prof. Atul Kumar**

**Professor and Head**

**Department of Energy and Environment**

**TERI School of Advanced Studies (Deemed to be University)**

**10, Institutional Area, Vasant Kunj, New Delhi - 1100 70 (India)**

**Phone: +91-11-71800222, Fax: +91-11-26122874**

**E-mail: [atul.kumar@terisas.ac.in](mailto:atul.kumar@terisas.ac.in)**





Government of India  
Form GST REG-06  
[See Rule 10(1)]

**Registration Certificate**

Registration Number :27AAACG0571D1Z2

1.	Legal Name	GARWARE POLYESTER LIMITED			
2.	Trade Name, if any	GARWARE POLYESTER LTD			
3.	Constitution of Business	Public Limited Company			
4.	Address of Principal Place of Business	Naigaon, Aurangabad Pune Road, Waluj, Maharashtra, 431133			
5.	Date of Liability	01/07/2017			
6.	Period of Validity	From	01/07/2017	To	NA
7.	Type of Registration	Regular			
8.	Particulars of Approving Authority				
Signature:					
Name					
Designation					
Jurisdictional Office					
9.	Date of issue of Certificate	08/11/2017			
Note: The registration certificate is required to be prominently displayed at all places of business in the State.					

This is a system generated digitally signed Registration Certificate issued based on the deemed approval of the application for registration



स्थायी लेखा संख्या / PERMANENT ACCOUNT NUMBER  
AAACG0571D

कंपनी / NAME

GARWARE POLYESTER LIMITED

पंजीकरण/स्थापना की तिथि / DATE OF INCORPORATION/INFORMATION

06-06-1957

सिस्टम निदेशक (पदाति)  
DIRECTOR OF INCOME TAX (SYSTEMS)

## AGREEMENT

This Agreement ("AGREEMENT") is made and entered into as on **July 1 2020** (the "**Effective Date**"), by and between:

**The Energy and Resources Institute**, a Society registered under the Societies Registration Act 1860 having its registered address at Darbari Seth Block, IHC Complex, Lodhi Road, New Delhi -110 003, hereinafter referred to as "**TERI**" (which expression shall unless it be repugnant to the context or meaning thereof mean and include its successors in office and assigns) of the **FIRST PART**;

AND

**TERI School of Advanced Studies (TERI SAS)**, a Deemed to be University under UGC Act 1956, located at Plot No. 10 Institutional Area, Vasant Kunj, New Delhi - 110 070 / India, hereinafter referred to as "**TERI SAS**" (which expression shall unless it be repugnant to the context or meaning thereof mean and include its successors in office and assigns) of the **OTHER PART**;  
(Hereinafter referred singly as "**Party**" and collectively as "**Parties**")

## RECITALS

Whereas,

- A. TERI is undertaking a project titled 'Solar dryer based self-employment model for rural tribal communities, women and differently-abled persons' (hereinafter the "**Project**") for which funding is being provided by The US PACESETTER Secretariat IUSSTF (Indo-U.S. Science and Technology Forum) (hereinafter the "**Sponsor**") and for which TERI has entered into an agreement with the Sponsor.
- B. TERI SAS has agreed to provide technical inputs to TERI for the Project, based on the terms and conditions set forth in this Agreement.

NOW, THEREFORE, the Parties hereto agree as follows:

### 1. Tasks to be performed by TERI SAS

- (1) Design,engineering, fabrication and testing of solar thermal storage coupled with drying chamber
- (2) Design,engineering, fabrication and testing of biomass cookstove based heat exchanger system suitable to be integrated with the dryer either developed above or supplied by SEED (our partner)



(3) Technical support to the TERI –SEED team during execution/ field implementation / replication of the technology as part of US PACESetter project.

(4) TERI SAS may use subcontractors for engineering and fabrication support.

## **2. Adherence to time schedule and workplan**

TERI SAS will provide its technical inputs in the form of working prototypes and a report/presentation for the tasks outlined above.

## **3. Payment**

TERI will pay to TERI SAS a lump sum of Rs 7,00,000 (Rupees Seven lakh only) which includes service tax and covers all expenses that TERI SAS shall have to incur for providing technical inputs and also fulfilling all conditions as outlined above.

This amount will be released as per the following schedule.

- 20% Advance with work order (Date of signing contract)
- 50% Interim payment after completion of task 1 & 2.
- 30% after completion, submission and sponsor approval of final report

(i) It may be noted that TERI will deduct income tax at source from each remittance at the prevalent rate as per the Income Tax Rules of the Government of India.

(ii) Expenses that TERI SAS may have to incur for execution of the activities as deemed necessary during development activities are part of the above referred budget.

The release of payment from TERI to TERI SAS would be subject to the receipt of funding from the Sponsor and on receipt of invoice and deliverables from TERI SAS to TERI as per payment schedule given above.

## **4. Termination**

This agreement may be terminated by TERI upon one month's notice to TERI SAS. Upon such termination at any time during the agreement period, TERI SAS will be compensated for all completed and duly accepted services rendered by it up to the date of termination.





## 5. Period of Agreement

The agreement will be in force for a period of 24 months from the date of signing.

## 6. Commencement of Agreement

The tasks given under clause 1 will commence from the date of signing of this agreement. This agreement will remain in force till the completion of the tasks given under clause 1 or till termination but not beyond.

## 7. Governing Law and Jurisdiction

This Agreement shall be governed by the laws of India and the courts of New Delhi shall have jurisdiction on all matters related to this Agreement.

## 8. IPR

Any Intellectual Property that may arise from execution/implementation activities pursued under this Agreement shall be jointly owned by the Parties.

9. Dr. Som Mondal will coordinate for the above project on behalf of TERI SAS and Mr. Shirish Garud on behalf of TERI.

for TERI



Name: Dr. Ashvini Kumar  
Senior Fellow and Senior Director  
The Energy and Resources Institute  
Darbari Seth Block, India Habitat Centre  
Designation: New Delhi-110 003

Date & Place:

for TERI School of Advanced Studies



Name: Capt. Pradeep K Padhy (Retd.)  
Capt. Pradeep K Padhy (Retd.)  
Registrar  
Designation: TERI School of Advanced Studies  
10, Institutional Area, Vasant Kunj  
New Delhi-110 070

Date & Place:

03 Oct 2021

### 3.5.2.B.

**Registered Office :** Gat No: 2341, Sai Satyam Park,  
Pune Nagar Road, Wagholi, Dist. Pune - 412207.  
Ph : 7447719101 / 02 Extn. 5 ; M : 9881733703.  
MSME No. : UAN - MH26F0075955

**Branches at :**  
PUNE CITY | PIMPRI CHINCHWAD | NAVI MUMBAI



**DHUPAR BROTHERS**  
TRADING PVT. LTD.

GSTIN : 27AACCD8583E1ZH | CIN : U51909PN2008PTC131810  
www.dhupargroup.com • E-mail : info@dhuparbrothers.com

Date: 10/03/2021

PO no.: DBTPL/321/REPL

To,  
TERI Scholl of Advanced Studies  
10, Institutional Area  
Vasant Kunj, New Delhi- 110070

SUB: Order for Vetting of Illuminance Design Simulation for a Football Field

Ref: Your Proposal for the same.

Kind Attn: Dr. Aviruch Bhatia (Assistant Professor)  
Sir,

With reference to your proposal to vet the illuminance Design Simulation of a Football Field we hereby confirm our order as follows:

#### **Scope of work**

The project is to vet the illumination output of the simulations performed for football pitches (1 main ground and 3 pitches) in proposed centre of excellence in International Corporate Park (ICP) at Kharghar, Navi Mumbai.

#### **Charges for the same:**

S. No.	Person	Designation	Person-Days (PD)	Cost per PD	Total (Rs.)
1	Dr Aviruch Bhatia	Assistant Professor	5	11,030	55,150
2	Dr Shaleen Singhal	Professor	0.5	20,520	10,260
Project Overhead (@20%)					13,082
Taxes (@18%)					14,129
Grand Total					92,621

**Timeline:** The time of completion is 15 days

**Payment Terms:** 30 days credit from the date of receipt of final report.

Kindly send the acceptance of our PO asap.

Thanking you,

Yours Truly,

For Dhupar Brothers Trading Pvt. Ltd.

Manjit Singh Dhupar (Director)



## Tax Invoice

**TERI School of Advanced Studies**  
 Plot No. 10, Institutional Area  
 Vasant Kunj, New Delhi - 110070  
 GSTIN/UTIN: 07AAATT4778L1ZU  
 State Name : Delhi, Code : 07  
 E-Mail : dharaaj.singh@terisas.ac.in  
 Buyer

**Indian Oil Corporation Limited**

Corporate Office,  
 5th Floor, Core-6, Scope Complex  
 Lodhi Road, New Delhi  
 GSTIN/UTIN : 07AAAC1681G1ZR  
 PAN/TIN No : AAAC1681G  
 State Name : Delhi, Code : 07  
 Place of Supply : Delhi

Invoice No.

**JULY/GST-0000005**

Dated

**10-Jul-2018**

Mode/Terms of Payment

Supplier's Ref.

Other Reference(s)

Terms of Delivery

Sl No.	Particulars	HSN/SAC	GST Rate	Amount
1	<b>Receipt From Training, Seminar &amp; Workshop</b> <i>Management Development Programme            Reporting Sustainability in Business            On 20.08.2018 to 21.08.2018            @ Rs 20,000 Per Participant            For 09 Participants</i>	998599	18 %	<b>1,80,000.00</b>
2	<b>State Goods and Service Tax</b> SGST @ 9%			<b>1,80,000.00</b> <b>16,200.00</b> <b>1,96,200.00</b>

continued ...

SUBJECT TO DELHI JURISDICTION

This is a Computer Generated Invoice

## Tax Invoice (Page 2)

<b>TERI School of Advanced Studies</b> Plot No. 10, Institutional Area, Vasant Kunj, New Delhi - 110070 GSTIN/UIN: 07AAATT477BL1Z1J State Name : Delhi Code : 07 E-Mail: dnanraj.smgh@terisas.ac.in Buyer <b>Indian Oil Corporation Limited</b> Corporate Office, 5th Floor, Core-6, Scope Complex Lodhi Road, New Delhi GSTIN/UIN : 07AAAC11681G1ZR PAN/T No : AAAC11681G State Name : Delhi, Code : 07 Place of Supply : Delhi		Invoice No. <b>JULY/GST-0000005</b>  Supplier's Ref.  Terms of Delivery		Dated <b>10-Jul-2018</b> Mode/Terms of Payment  Other Reference(s)	
--	--	--	--	--	--

Sl No.	Particulars	HSN/SAC	GST Rate	Amount
3	<b>Central Goods and Service Tax</b> <b>CGST @ 2%</b>  <b>Bill Details:</b> <b>New Ref AAEST-5</b> 2,12,400.00			<b>16,200.00</b>
<b>Total</b>				<b>2,12,400.00</b>

Amount Chargeable (in words) **2,12,400.00**  
**INR Two Lakh Twelve Thousand Four Hundred Only**  
**E & D E**

HSN/SAC	Taxable Value	Central Tax Rate	Central Tax Amount	State Tax Rate	State Tax Amount	Total Tax Amount
998596	1,80,000.00	9%	16,200.00	9%	16,200.00	32,400.00
<b>Total</b>	<b>1,80,000.00</b>		<b>16,200.00</b>		<b>16,200.00</b>	<b>32,400.00</b>

Tax Amount (in words) : **INR Thirty Two Thousand Four Hundred Only**

**Remarks:**  
 Being amount recoverable from Indian Oil Corporation Limited towards Participation Fees for MDP Reporting Sustainability in Business on 20.0.2018 to 21.08.2018 for 9 participants @ Rs 20,000 per participant  
 Company's PAN **AAATT477BL**

Company's Bank Details  
 Bank Name : **State Bank of India**  
 A/c No. : **52142908371**  
 Branch & IFSC Code : **Connaught Place, Lodhi Road, New Delhi & SBIN0020551**  
**for TERI School of Advanced Studies**

Customer's Seal and Signature

Authorized Signatory

 SUBJECT TO DELHI JURISDICTION  
 This is a Computer Generated Invoice




## Tax Invoice

<b>TERI School of Advanced Studies</b> Plot No. 10 Institutional Area Vasant Kunj, New Delhi - 110070 GSTIN/UIN: 07AAAT4770L1ZU State Name: Delhi, Code: 07 E-Mail: dhanraj.singh@terisas.ac.in Buyer:	Invoice No <b>JULY/GST-0000009</b> Supplier's Ref.	Dated <b>31-Jul-2018</b> Other Reference(s)
<b>PPAP Automotive Limited</b> 54 Okhla Industrial Estate, Phase III, New Delhi - 110020 GSTIN/UIN: 07AAACP5144P3Z1 State Name: Delhi, Code: 07		

Sl No.	Particulars	Amount
1	<b>Receipt From Training, Seminar &amp; Workshop</b> <i>Participation Fees</i> <i>Management Development Programme -</i> <i>Reporting Sustainability in Business</i> <i>On 20.08.2018 to 21.08.2018</i> <i>@ Rs 18,000 (Rs 10,000 + Rs 4,000)</i> <i>For 10 Participants</i>	<b>1,60,000.00</b>
2	<b>State Goods and Service Tax</b> SGST @ 9%	<b>14,400.00</b>
3	<b>Central Goods and Service Tax</b> CGST @ 9%	<b>14,400.00</b>
Total		<b>₹ 1,88,800.00</b>

Amount (Chargeable in words)

E &amp; O E

**INR One Lakh Eighty Eight Thousand Eight Hundred Only**

HSN/SAC	Taxable Value	Rate	Central Tax Amount	Rate	State Tax Amount	Total Tax Amount
998596	1,60,000.00	9%	14,400.00	9%	14,400.00	28,800.00
Total			14,400.00		14,400.00	28,800.00

Tax Amount, in words: **INR Twenty Eight Thousand Eight Hundred Only**

**Remarks:**  
 Net amount of participation fees for Management  
 Development Programme - Reporting Sustainability in  
 Business on 20.08.2018 to 21.08.2018 for 10  
 participants @ Rs 18,000 per participant  
 Company's PAN: **AAAT4778L**

## Company's Bank Details

Bank Name: **State Bank of India**  
 A/c No: **52142908571**  
 Branch & IFS Code: **Darbari Seth Block, Lodhi Road, New Delhi 110028 SBIN0028111**  
 for TERI School of Advanced Studies

Customer's Seal and Signature

Prepared by \_\_\_\_\_ Verified by \_\_\_\_\_ Authorised Signatory \_\_\_\_\_

SUBJECT TO DELHI JURISDICTION

This is a Computer Generated Invoice

**Dhanraj Singh**
 Deputy Finance & Project Management Officer  
 TERI School of Advanced Studies  
 10, Institutional Area, Vasant Kunj  
 New Delhi-110070

3.5.2.B



(established under Section 3 of the UGC Act, 1956)  
Accredited by AAC

TERI School of  
Advanced Studies  
10, Institutional Area  
Vasant Kunj  
New Delhi - 110 070

Tel. 7180 0222  
E-mail registrar@terisas.ac.in  
Fax 2612 2874  
India +91 - Delhi (n) 11  
Web www.terisas.ac.in

## Tax Invoice

<b>TERI School of Advanced Studies</b> Plot No. 10, Institutional Area, Vasant Kunj New Delhi - 110070 GSTIN/UIN: 07AAATT4778L 17U State Name : Delhi, Code : 07 Contact : 011-71800222 E-Mail : dhanraj.singh@terisas.ac.in Buyer <b>PTC India Financial Services Limited</b> 7th Floor, MTNL Building, 8 Bhikaji Cama Place, New Delhi - 110088 GSTIN/UIN : 07AAECP0501C1Z7 PAN No : AAECPO501C State Name : Delhi, Code : 07 Place of Supply : Delhi	Invoice No.	Dated
	JAN/GST -9	16-Jan-2019
	Supplier's Ref.	Mode/Terms of Payment
	MDP-DEE-2018-2019	Other Reference(s)
	Buyer's Order No.	Dated
	Terms of Delivery	

Sl No	Particulars	HSN/SAC	GST Rate	Amount
1	Receipt From Training, Seminar & Workshop Rs 8,000 for 5 Nos of Pax	998596	18 %	40,000.00
2	State Goods and Service Tax SGST @ 9%			3,600.00
3	Central Goods and Service Tax CGST @ 9%			3,600.00
<b>Bill Details:</b> New Ref JAN/GST 47,200.00 Dr				
Total				47,200.00

Amount Chargeable (in words)

E &amp; O S

INR Forty Seven Thousand Two Hundred Only

HSN/SAC	Taxable Value	Central Tax Rate	Central Tax Amount	State Tax Rate	State Tax Amount	Total Tax Amount
998596	40,000.00	9%	3,600.00	9%	3,600.00	7,200.00
Total	40,000.00		3,600.00		3,600.00	7,200.00

Tax Amount (in words) INR Seven Thousand Two Hundred Only

## Remarks

Being management development programme fee named  
as Financing Grid Connected Renewable Energy  
Projects to be held on February 08, 2019 in TERI SAS including GST

Company's PAN AAATT4778L

## Company's Bank Details

Bank Name : State Bank of India  
A/c No : 62142908571  
Branch & IFS Code : Dhanraj Seth Block, Lodhi Road, New Delhi & SBIN00157

Customer's Seal and Signature

for TERI School of Advanced Studies

3.5.2.137

*R. K. Gupta*  
Authorised Signatory



3.5.2.B



Established under Section 3 of the UGC Act, 1956  
Accredited by AAC

TERI School of  
Advanced Studies  
10, Institutional Area  
Vasant Kunj  
New Delhi - 110 070

Tel: 7180 0212  
E-mail: registrar@terisas.ac.in  
Fax: 2612 1874  
India +91 • Delhi (0) 11  
Web: www.terisas.ac.in

## Tax Invoice

<b>TERI School of Advanced Studies</b> Plot No. 10 Institutional Area, Vasant Kunj, New Delhi - 110070 GSTIN/UIN: 07AAATT4778L1ZU State Name: Delhi, Code: 07 Contact: G11 71002222 E-Mail: dhanraj.singh@terisas.ac.in dhanraj	Invoice No	Dated
	<b>JAN/GST -12</b>	<b>22-Jan-2019</b>
	Supplier's Ref.	Mode/Terms of Payment
	Buyer's Order No.	Other Reference(s)
<b>Avaada Energy Private Limited</b> 3rd Floor, P.T.I Building, 4 Parliament Street, Connaught Place, Central Delhi, Delhi - 110001 GSTIN/UIN: 07AACCE052SD1ZA PAN No: AACCE052SD State Name: Delhi, Code: 07 Place of Supply: Delhi	Terms of Delivery	

Sl No.	Particulars	HSN/SAC	GST Rate	Amount
1	<b>Receipt From Training, Seminar &amp; Workshop</b> Management Development Programme To Be Held on 08th February 2019	998596	18 %	8,000.00
2	<b>State Goods and Service Tax</b> SGST @ 9%			8,000.00 720.00
3	<b>Central Goods and Service Tax</b> CGST @ 9%			8,720.00 720.00
Bill Details:				
New Ref: JAN/GST-12				9,440.00 Or
Total				9,440.00

Amount Chargeable (in words)

E. &amp; O.E

**INR Nine Thousand Four Hundred Forty Only**

HSN/SAC	Taxable Value	Central Tax Rate	Central Tax Amount	State Tax Rate	State Tax Amount	Total Tax Amount
998596	8,000.00	9%	720.00	9%	720.00	1,440.00
Total	8,000.00		720.00		720.00	1,440.00

Tax Amount (in words): **INR One Thousand Four Hundred Forty Only**

Remarks:

Being amount recoverable from Avaada Energy Private  
Limited towards Management Development Programme  
to be held on 08th February 2019

Company's PAN: AAATT4778L

Company's Bank Details

Bank Name: State Bank of India

A/c No: 62142908671

Branch &amp; IFS Code: Dhanraj Sankh Block, Lodhi Road, New Delhi &amp; SBIN0020861

Customer's Seal and Signature

for TERI School of Advanced Studies

3.5.2.138

Ragugiani  
Authorized Signatory



## Tax Invoice

<b>TERI School of Advanced Studies</b> Plot No. 10, Institutional Area, Vasant Kunj, New Delhi - 110070 GSTIN/UIN: 07AAATT4778L1ZU State Name: Delhi, Code: 07 Contact: 011-71800222 E-Mail: dhanraj.singh@terisas.ac.in Buyer <b>NTPC LIMITED</b> Plot No. 5-14 Sector 16-A, Noida - 201301 GSTIN/UIN: 09AAACN0255D9ZO PAN/IT No: AAACN0255D State Name: Uttar Pradesh, Code: 09 Place of Supply: Uttar Pradesh	Invoice No	Dated
	JAN/GST -16	25-Jan-2019
	Supplier's Ref.	Mode/Terms of Payment
	Buyer's Order No	Other Reference(s)
Terms of Delivery		Dated

Sl No	Particulars	HSN/SAC	GST Rate	Amount
1	<b>Receipt From Training, Seminar &amp; Workshop</b> MDP Participation Fees - Abhishek Bhattacharjee Sr Mgr (Re-C&M)	998596	18 %	8,000.00
2	<b>Receipt From Training, Seminar &amp; Workshop</b> MDP Participation Fees - Anshu Kumar Chaddha Manager	998596	18 %	8,000.00
3	<b>State Goods and Service Tax</b> SGST @ 9%			16,000.00
4	<b>Central Goods and Service Tax</b> CGST @ 9%			1,440.00
	<b>Bill Details:</b> New Ref: JAN/GST-16 16,880.00 Or			17,440.00
	<b>Total</b>			18,880.00

Amount Chargeable (in words)

INR Eighteen Thousand Eight Hundred Eighty Only

HSN/SAC	Taxable Value	Central Tax Rate	Central Tax Amount	State Tax Rate	State Tax Amount	Total Tax Amount
998596	16,000.00	9%	1,440.00	9%	1,440.00	2,880.00
<b>Total</b>	16,000.00		1,440.00		1,440.00	2,880.00

Tax Amount (in words): INR Two Thousand Eight Hundred Eighty Only

## Remarks

Being amount of participation fees from National Thermal Power Corporation Limited for Abhishek Bhattacharjee Sr Mgr (Re-C&M) and Anshu Kumar Chaddha Manager for Management Development Programme to be held on 08th February 2019  
Company's PAN: AAATT4778L

## Company's Bank Details

Bank Name: State Bank of India  
A/c No: 52142808671  
Branch & IFS Code: Dabai SBI Block, Laxmi Road, New Delhi 110002/IN

Customer's Seal and Signature

for TERI School of Advanced Studies

3.5.2.139

*Duglani*  
Authorised Signatory





### Tax Invoice

**TERI School of Advanced Studies**

Plot No. 10 Institutional Area

Vasant Kunj New Delhi - 110070

GSTIN/UIN: 07AAAT74778L1ZU

State Name: Delhi, Code: 07

Contact: 011-71800222

E-Mail: udayraj.singh@terisas.ac.in

Udayraj

**PTC India Limited**

Mr. D.P. Singh, 2nd Floor, NBCC Tower, 15 Bhikaji

Cama Place, New Delhi - 110066

GSTIN/UIN

07AABCP7947F1Z8

PAN/TIN No

State Name: Delhi, Code: 07

Place of Supply: Delhi

Invoice No.

**JAN/GST-19**

Dated

**31-Jan-2019**

Mode/Terms of Payment

Supplier's Ref.

Other Reference(s)

Buyer's Order No.

Dated

Terms of Delivery

Sl No.	Particulars	HSN/SAC	GST Rate	Amount
1	<b>Receipt From Training, Seminar &amp; Workshop</b> MDF Participation Fees To Be Held on 08-02-2019	990506	18 %	8,000.00
2	<b>State Goods and Service Tax</b> SGST @ 9%			8,000.00 720.00
3	<b>Central Goods and Service Tax</b> CGST @ 9%			8,720.00 720.00

**Bill Details:**

New Ref: JGST

9,440.00 Cr

Total

**9,440.00**

**₹ & 00 P**

Amount Chargeable (in words)

**INR Nine Thousand Four Hundred Forty Only**

HSN/SAC

HSN/SAC	Taxable Value	Contra. Tax Rate	Contra. Tax Amount	State Tax Rate	State Tax Amount	Total Tax Amount
990506	8,000.00	9%	720.00	9%	720.00	1,440.00
Total	9,000.00		720.00		720.00	1,440.00

Tax Amount (in words)

**INR One Thousand Four Hundred Forty Only**

Remarks

Being amount of participation fees for management development programme to be held on 08-02-2019 from PTC India Limited

Company's PAN

**AAAT74778L**

Company's Bank Details

Bank Name

State Bank of India

Acc. No.

62142908571

Branch & IFS Code

Cartage Sah Block, Indraprastha New Delhi - 110028

for TERI School of Advanced Studies

Customer's Seal and Signature

**3.5.2.140**

This is a Computer Generated Invoice

**K. Gupta**  
 Authorised Signatory



3.5.2.B.



(Deemed to be University)

(Established under Section 3 of the UGC Act, 1956)  
Recognized by NAACTERI School of  
Advanced Studies  
10, Institutional Area  
Vasant Kunj  
New Delhi - 110 070Tel: 7180 0212  
E-mail: registrar@terisas.ac.in  
Fax: 2612 1874  
India +91 • Delhi (0) 11  
Web: www.terisas.ac.in

## Tax Invoice

## TERI School of Advanced Studies

Plot No. 10, Institutional Area,  
Vasant Kunj, New Delhi - 110070  
GSTIN/UIN: 07AAAT14778L1ZU  
State Name: Delhi, Code: 07  
Contact: 011-710011222  
E Mail: dhanraj.singh@terisas.ac.in

Buyer

BSES Rajdhani Power Limited  
3rd Floor, BSES Bhawan, Behind DRC Terminal,  
Nehru Place, New Delhi - 110019  
GSTIN/UIN: 07AAGC53187H2Z3  
PAN No.:  
State Name: Delhi, Code: 07  
Place of Supply: Delhi

Invoice No.

JAN/GST-20

Dated

31-Jan-2019

Mode/Terms of Payment

Supplier's Ref.

Other Reference(s)

Buyer's Order No.

Dated

Terms of Delivery

Sl No	Particulars	HSN/SAC	GST Rate	Amount
1	Receipt From Training, Seminar & Workshop MDP Participation Fees For 2 Participants To Be Held on 08.02.2019	998596	18 %	16,000.00
2	State Goods and Service Tax SGST @ 9%			16,000.00 1,440.00
3	Central Goods and Service Tax CGST @ 9%			17,440.00 1,440.00
Bill Details:				
New Ref JAN/GST-20				18,880.00 Or
Total				18,880.00 E. & O.E

Amount Chargeable (in words):

INR Eighteen Thousand Eight Hundred Eighty Only

HSN/SAC	Taxable Value	Central Tax Rate	Central Tax Amount	State Tax Rate	State Tax Amount	Total Tax Amount
998596	16,000.00	9%	1,440.00	9%	1,440.00	2,880.00
Total	16,000.00		1,440.00		1,440.00	2,880.00

Tax Amount (in words): INR Two Thousand Eight Hundred Eighty Only

Remarks:

Being amount of participation fees for two participants  
from BSES Rajdhani Power Limited for MDP on  
Certificate Course in Renewable Energy to be held on 08/02/2019

Company's PAN: AAAT14778L

Company's Bank Details

Bank Name: State Bank of India  
A/c No.: 52142908571Branch & IFS Code: Dargan Sella Block Luthi Road, New Delhi 6 SBIN0006111  
for TERI School of Advanced Studies

Customer's Seal and Signature

3.5.2.141

K. Guliani  
Authorized Signatory

This is a Computer Generated Invoice

### 3.5.2.B.

<b>TERI School of Advanced Studies</b> Plot No. 10, Institutional Area, Vasant Kunj, New Delhi - 110070 GSTIN/UIN: 07AAATT4778L1ZU State Name : Delhi, Code : 07 E-Mail : dhanraj.singh@terisas.ac.in		Invoice No.	Dated
		<b>FEB/GST -6</b>	<b>7-Feb-19</b>
			Mode/Terms of Payment
		Reference No. & Date.	Other References
Consignee (Ship to) <b>Solar Energy Corporation of India Limited</b> D-3, First Floor, A-Wing, Religare Building, District Centre, Saket, New Delhi - 110017 GSTIN/UIN : 07AAQCS8429L1ZI PAN/IT No : AAQCS8429L State Name : Delhi, Code : 07		Terms of Delivery	
Buyer (Bill to) <b>Solar Energy Corporation of India Limited</b> D-3, First Floor, A-Wing, Religare Building, District Centre, Saket, New Delhi - 110017 GSTIN/UIN : 07AAQCS8429L1ZI PAN/IT No : AAQCS8429L State Name : Delhi, Code : 07			
SI No.	Particulars	HSN/SAC	Amount
1	<b>Receipt From Training, Seminar &amp; Workshop</b> <i>Participation Fees</i> <i>For Management Development</i> <i>Programme to Be Held on</i> <i>08th February 2019</i>  <b>State Goods and Service Tax</b> <i>SGST @ 9%</i>	998596	<b>16,000.00</b>        <b>1,440.00</b>
<div style="text-align: right;">continued ...</div>			

This is a Computer Generated Invoice

## Tax Invoice(Page 2)

3.5.2.B.

<b>TERI School of Advanced Studies</b> Plot No. 10, Institutional Area, Vasant Kunj, New Delhi - 110070 GSTIN/UIN: 07AAATT4778L1ZU State Name : Delhi, Code : 07 E-Mail : dhanraj.singh@terisas.ac.in		Invoice No. <b>FEB/GST -6</b>		Dated <b>7-Feb-19</b>		Mode/Terms of Payment	
Consignee (Ship to) <b>Solar Energy Corporation of India Limited</b> D-3, First Floor, A-Wing, Religare Building, District Centre, Saket, New Delhi - 110017 GSTIN/UIN : 07AAQCS8429L1ZI PAN/IT No : AAQCS8429L State Name : Delhi, Code : 07		Reference No. & Date.		Other References		Terms of Delivery	
Buyer (Bill to) <b>Solar Energy Corporation of India Limited</b> D-3, First Floor, A-Wing, Religare Building, District Centre, Saket, New Delhi - 110017 GSTIN/UIN : 07AAQCS8429L1ZI PAN/IT No : AAQCS8429L State Name : Delhi, Code : 07							

SI No.	Particulars	HSN/SAC	Amount
	<b>Central Goods and Service Tax</b> CGST @ 9%		<b>1,440.00</b>
	<b>Bill Details:</b> New Ref FEB/GST-6      18,880.00 <i>Dr</i>		
	<b>Total</b>		<b>₹ 18,880.00</b>

Amount Chargeable (in words) E. & O.E

**INR Eighteen Thousand Eight Hundred Eighty Only**

	Taxable Value	Central Tax		State Tax		Total Tax Amount
		Rate	Amount	Rate	Amount	
	16,000.00	9%	1,440.00	9%	1,440.00	2,880.00
<b>Total:</b>	<b>16,000.00</b>		<b>1,440.00</b>		<b>1,440.00</b>	<b>2,880.00</b>

Tax Amount (in words) : **INR Two Thousand Eight Hundred Eighty Only**

Company's PAN : **AAATT4778L**

for **TERI School of Advanced Studies**  
  
 Authorised Signatory

This is a Computer Generated Invoice



## Tax Invoice

TERI School of Advanced Studies  
Plot No. 10, Institutional Area,  
Vasant Kunj, New Delhi - 110070  
GSTIN/UIN: 07AAAT14778L12U  
State Name : Delhi, Code : 07  
E-Mail : dhanraj.singh@terisas.ac.in  
Deyar

Krishna Vadera  
Nasa, MSFC

Invoice No.  
**NOV/GST -5**  
Supplier's Ref  
**Stay Charges**

Dated  
**7-Nov-2019**  
Other Reference(s)

Sl No.	Particulars	HSN/SAC	GST Rate	Amount
1	<b>Receipt From Training, Seminar &amp; Workshop</b> Stay Charges for the Period From 05-10th Nov 2019 For 5 Nights @ Rs. 2000 Per Nights for the Event International Conference on Lulus and Forestry During the Period From 07-09/11/2019	998596	18 %	10,000.00
2	<b>Central Goods and Service Tax</b> CGST @ 9% on 10000			900.00
3	<b>State Goods and Service Tax</b> SGST @ 9% on 10000			900.00
Total				<b>₹ 11,800.00</b>

Amount Chargeable (in words)

INR Eleven Thousand Eight Hundred Only

E. &amp; O. E.

HSN/SAC	Taxable Value	Central Tax Rate	Central Tax Amount	State Tax Rate	State Tax Amount	Total Tax Amount
998596	10,000.00	9%	900.00	9%	900.00	1,800.00
Total	10,000.00		900.00		900.00	1,800.00

Tax Amount (in words) INR One Thousand Eight Hundred Only

Remarks  
Being amount recoverable from Krishna Prasad Vadera  
towards stay charges for the period from 05th November  
2019 to 10th November ( 5 Nights ) @ Rs. 2000 per night  
for the event International Conference on Lulus and  
Forestry held 07-09/11/2019

Company's TAN : AAAT14778L

## Company's Bank Details

Bank Name State Bank of India  
A/c No 52142908571  
Branch & IFS Code Oastri Sbk Blck, Lodhi Road, New Delhi & SBIN002011  
for TERI School of Advanced Studies

Customers Seal and Signature

Prepared by  
SUBJECT TO DELHI JURISDICTION  
This is a Computer Generated Invoice

Verified by

Authorized Signatory



### Tax Invoice

**TERI School of Advanced Studies**

Plot No. 10 Institutional Area,  
Vasant Kunj, New Delhi - 110070  
GSTIN/UIN: U7/AAATT4778L12U  
Store Name: Delhi, Code: 07  
E-Mail: dhanraj.singh@terisas.ac.in  
Buyer

**START Washington D.C. USA**  
USA

**Invoice No**
**NOV/GST -6**
**Supplier's Ref.**
**Dated**
**7-Nov-2019**
**Other Reference(s)**

Sl No.	Particulars	TIN/GST	GST Rate	Amount
1	<b>Receipt From Training, Seminar &amp; Workshop</b> Shardachandra Singh Towards Stay Charges For the Period From 06th November to 10th November 2019 : 4 Nights @ Rs. 2000 Per Night; For the Purpose of ICLF Event Held During the Period From 07-09/11/2019	998596	18 %	<b>8,000.00</b>
2	<b>Receipt From Training, Seminar &amp; Workshop</b> For Anil Kurian Towards Stay Charges for The Period From 05-10th Nov 2019 ( 4 Nights @ 2000 for the Event ICLF Held Between 07-09/11/2019	998596	18 %	<b>8,000.00</b>
3	<b>Receipt From Training, Seminar &amp; Workshop</b> For Stay of Myat Su Mon Towards Stay Charges for 06th Nov 2019 to 10th November 2019 (4 Nights @ Rs. 2000) for ICLF Event	998596	18 %	<b>8,000.00</b>
4	<b>Receipt From Training, Seminar &amp; Workshop</b> For Zameer Iqbal Towards Stay Charges For the Period From 06th to 10th November 2019 (for 4 Nights) @ Rs. 2000 Per Night For ICLF Event	998596	18 %	<b>8,000.00</b>

continued ...



SUBJECT TO DELHI JURISDICTION

This is a Computer Generated Invoice

## Tax Invoice (Page 2)

**TERI School of Advanced Studies**
 Plot No. 10 Institutional Area,  
 Vasant Kunj, New Delhi - 110070  
 GSTIN/UIN: 07AAATT4778L1ZU  
 State Name : Delhi, Code : 07  
 E-Mail: rihari@terisas.ac.in  
 Buyer

**START** Washington D.C, USA  
 USA

Invoice No.

**NOV/GST -6**

Supplier's Ref.

Dated

**7-Nov-2019**

Other Reference(s)

Sl No.	Particulars	HSN/SAC	GST Rate	Amount
5	<b>Receipt From Training, Seminar &amp; Workshop</b> For Mohanraj Bahadur's Stay Charges for the Period From 08th November to 12th Nov 2019 (for 4 Nights) @ Rs. 2000 Per Night For ICLE Event	998596	18 %	<b>8,000.00</b>
6	<b>Receipt From Training, Seminar &amp; Workshop</b> For Harkay Thapa Treewards Stay Charges for the Period From 6-10/11/2019 For 4 Nights @ Rs. 2000 Per Night For ICLE Event	998596	18 %	<b>8,000.00</b>
7	<b>Receipt From Training, Seminar &amp; Workshop</b> For Harkay Thapa Inr Stay Charges For the Period From 06-10/11/2019 (4 Nights) @ Rs. 2000 Per Night For ICLE	998596	18 %	<b>8,000.00</b>
8	<b>State Goods and Service Tax</b> SGST 9% on Hs. 56000			<b>5,040.00</b>

continued

 SUBJECT TO DELHI JURISDICTION  
 This is a Computer Generated Invoice

**Tax Invoice(Page 3)**

**TERI School of Advanced Studies**  
 Plot No. 10, Institutional Area,  
 Vasant Kunj, New Delhi - 110070  
 GSTIN/UIN: 07AAAT14778L 12U  
 State Name : Delhi, Code : 07  
 E-Mail : dramanj.singh@terisa.ac.in  
 Buyer  
**START Washington D.C, USA**  
**USA**

Invoice No  
**NOV/GST-6**  
 Supplier's Ref.

Date  
**7-Nov-2019**  
 Other Reference(s)

SI No.	Particulars	HSN/SAC	GST Rate	Amount
9	<b>Central Goods and Service Tax</b> CGST @ 9% on Rs. 56000			<b>5,040.00</b>
<b>Total</b>				<b>₹ 66,080.00</b> E & U.E

Amount Chargeable (in words)

**INR Sixty Six Thousand Eighty Only**

HSN/SAC

998596	Taxable Value	Central Tax Rate	Central Tax Amount	State Tax Rate	State Tax Amount	Total Tax Amount
	56,000.00	9%	5,040.00	9%	5,040.00	10,080.00
<b>Total</b>	<b>56,000.00</b>		<b>5,040.00</b>		<b>5,040.00</b>	<b>10,080.00</b>

Tax Amount (in words) : **INR Ten Thousand Eighty Only**

**Mod. 2-4a:**  
 Being amount recoverable from START Washington D.C  
 USA towards stay charges for their 7 Participants for the  
 period from 06-10th November ( 4 nights ) @ Rs. 2000 p  
 /m for the event International Conference on LULUCF and  
 Forestry held between 07-09/11/2019

Company's PAN

**AAATT4778L**

Company's Bank Details

Bank Name : **State Bank of India**

A/c No : **62142908671**

Branch & IFSC Code : **Central Sec Block, Laxmi Road, New Delhi - 110020512**

for TERI School of Advanced Studies

Customer's Seal and Signature

Prepared by

Verified by

Authorised Signatory

**SUBJECT TO DELHI JURISDICTION**

**This is a Computer Generated Invoice**







(Established under Section 3 of the UGC Act, 1956)  
Accredited by AAC

TERI School of  
Advanced Studies  
10, Institutional Area  
Vasant Kunj  
New Delhi - 110 070

Tel: 2180 0222  
E-mail: registrar@terisas.ac.in  
Fax: 2612 7874  
India - 91 + Delhi (0) 11  
Web: www.terisas.ac.in

### Tax Invoice

<b>TERI School of Advanced Studies</b> Plot No. 10, Institutional Area, Vasant Kunj, New Delhi - 110070 GSTIN/UIN: 07AAAC1477BL1ZU State Name: Delhi, Code: 07 E-Mail: dhanraj.singh@terisas.ac.in	Invoice No. <b>NDV/GST-7</b> Supplier's Ref. <b>Stay</b>	Dated <b>7-Nov-2019</b> Other Reference(s)
Buyer <b>Sandeep Kumar</b> Chennai, Tamilnadu State Name: Tamil Nadu, Code: 33		

Sl No.	Particulars	HSN/SAC	GST Rate	Amount
1	<b>Receipt From Training, Seminar &amp; Workshop</b> <i>For Stay Charges for the Period From 07th Nov to 09th November 2019 (for 2 Nights) / Rs. 2000 Per Night for ICLEF Event</i>	998596	18 %	<b>4,000.00</b>
2	<b>Central Goods and Service Tax</b> CGST @ 9%			<b>360.00</b>
3	<b>State Goods and Service Tax</b> SGST @ 9%			<b>360.00</b>
<b>Total</b>				<b>₹ 4,720.00</b>

Amount Chargeable (in words)

**INR Four Thousand Seven Hundred Twenty Only**

C. & C.E

HSN/SAC	Taxable Value	Central Tax Rate	Central Tax Amount	State Tax Rate	State Tax Amount	Total Tax Amount
998596	4,000.00	9%	360.00	9%	360.00	720.00
<b>Total</b>	<b>4,000.00</b>		<b>360.00</b>		<b>360.00</b>	<b>720.00</b>

Tax Amount (in words): **INR Seven Hundred Twenty Only**

#### Remarks

Being amount recoverable from Sandeep Kumar towards stay charges between 07-09/11/2019 for 2 nights @ Rs. 2000 Per night for event ICLEF event

Company's PAN: **AAATT4778L**

#### Company's Bank Details

Bank Name: **State Bank of India**  
A/c No: **52142908571**  
Branch & IFS Code: **Darbari Salt Block, Lodai Road, New Delhi & SBIN0033911**  
for TERI School of Advanced Studies

Customer's Seal and Signature

Prepared by

Verified by

Authorized Signatory

SUBJECT TO DELHI JURISDICTION

This is a Computer Generated Invoice



### Tax Invoice

**TERI School of Advanced Studies**  
 Plot No. 10, Institutional Area,  
 Vasant Kunj, New Delhi - 110070  
 GSTIN/UIN: 07AAATT4778L1ZU  
 State Name : Delhi, Code : 07  
 E-Mail : dhanraj.singh@terisas.ac.in  
 Buyer  
**Ajitha Gunawardena**

Invoice No.  
**NOV/GST -8**  
 Supplier's Ref.  
**Stay**

Dated  
**7-Nov-2019**  
 Other Reference(s)

Sl No.	Particulars	HSN/SAC	GST Rate	Amount
1	<b>Receipt From Training, Seminar &amp; Workshop</b> For Stay Charges for the Period From 07th Nov to 08th November 2019 : for 2 Nights ) Rs. 2000 Per Night for ICLF event	998596	18 %	<b>4,000.00</b>
2	<b>Central Goods and Service Tax</b> CGST @ 9%			<b>360.00</b>
3	<b>State Goods and Service Tax</b> SGST @ 9%			<b>360.00</b>
<b>Total</b>				<b>₹ 4,720.00</b>

Amount Chargeable (in words)

**INR Four Thousand Seven Hundred Twenty Only**

E. & O. E

HSN/SAC	Taxable Value	Central Tax Rate	Central Tax Amount	State Tax Rate	State Tax Amount	Total Tax Amount
998596	4,000.00	9%	360.00	9%	360.00	720.00
<b>Total</b>	<b>4,000.00</b>		<b>360.00</b>		<b>360.00</b>	<b>720.00</b>

Tax Amount (in words) **INR Seven Hundred Twenty Only**

Remarks

Being amount recoverable from Ajitha Gunawardena towards stay charges between 07-09/11/2019 for 2 nights @ Rs. 2000 Per night for event ICLF event

Company's PAN

**AAAT4778L**

Company's Bank Details

Bank Name **State Bank of India**

A/c No **52142900571**

Branch & IFSC Code **Dadan Seli Block, Lodhi Road, New Delhi - 110001**

for TERI School of Advanced Studies

Customer's Seal and Signature

Prepared by

Verified by

**SUBJECT TO DELHI JURISDICTION**

This is a Computer Generated Invoice



## Tax Invoice

## TERI School of Advanced Studies

 Plot No. 10, Institutional Area,  
Vasant Kunj, New Delhi - 110070  
GSTIN/UIN: 07AAATT4776L1ZU  
State Name: Delhi, Code: 07  
E-Mail: dhanraj.singh@terisas.ac.in  
Buyer

KK Sharma

State Name : Delhi, Code : 07

Invoice No.

NOV/GST -9

Supplier's Ref.

Stay

Dated

7-Nov-2019

Other Reference(s)

Sl No	Particulars	HSN/SAC	GST Rate	Amount
1	<b>Receipt From Training, Seminar &amp; Workshop</b> For Stay Charges for the Period From 06th Nov to 10th November 2019 ( for 4 Nights ) Rs. 2000 Per Night for ICLF Event	998596	18 %	8,000.00
2	<b>Central Goods and Service Tax</b> CGST @ 9%			720.00
3	<b>State Goods and Service Tax</b> SGST @ 9%			720.00
Total				<b>₹ 9,440.00</b>

Amount Chargeable (in words)

₹: 8 O E

INR Nine Thousand Four Hundred Forty Only

HSN/SAC	Taxable Value	Central Tax Rate	Central Tax Amount	State Tax Rate	State Tax Amount	Total Tax Amount
998596	8,000.00	9%	720.00	9%	720.00	1,440.00
Total	8,000.00		720.00		720.00	1,440.00

Tax Amount (in words) : INR One Thousand Four Hundred Forty Only

## Remarks

 Being amount recoverable from KK Sharma towards stay  
charges between 06-10/11/2019 for 4 nights @ Rs. 2000  
Per night for event ICLF event

Company's PAN AAATT4776L

## Company's Bank Details

Bank Name: State Bank of India

A/c No: 52142908571

Branch &amp; IFS Code: Daboli Seth Block, Lodhi Road, New Delhi &amp; SBIN0051103

Customer's Seal and Signature

for TERI School of Advanced Studies

Prepared by

Verified by

Authorized Signatory

SUBJECT TO DELHI JURISDICTION

This is a Computer Generated Invoice





(Deemed to be University)

 Established under Section 3 of the UGC Act, 1956  
 Accredited by NAAC

 TERI School of  
 Advanced Studies  
 10, Institutional Area  
 Vasant Kunj  
 New Delhi - 110 070

 Tel. 7180 0222  
 E-mail registrar@terisas.ac.in  
 Fax 2612 1874  
 India +91 • Delhi (0) 11  
 Web www.terisas.ac.in

## Tax Invoice

<b>TERI School of Advanced Studies</b> Plot No. 10, Institutional Area, Vasant Kunj, New Delhi - 110070 GSTIN/UIN: 07AAATT4778L1ZU State Name: Delhi, Code: 07 E-Mail: dhanraj.singh@terisas.ac.in Buyer <b>Shukla Acharjee</b>  State Name : Delhi Code: 07	Invoice No <b>NQV/GST -10</b> Supplier's Ref. <b>Stay</b>	Dated <b>7-Nov-2019</b> Other Reference(s) <b>1</b>
---	--	--

Sl No	Particulars	HSN/SAC	GST Rate	Amount
1	<b>Receipt From Training, Seminar &amp; Workshop</b> <i>For Stay Charges for the Period From 06th Nov to 9th November 2019 ( for 3 Nights )</i> <i>Rs. 2000 Per Night for ICLF Event</i>	998596	18 %	<b>6,000.00</b>
2	<b>Central Goods and Service Tax</b> CGST @ 9%			<b>540.00</b>
3	<b>State Goods and Service Tax</b> SGST @ 9%			<b>540.00</b>
Total				<b>₹ 7,080.00</b>

Amount Chargeable (in words):

**INR Seven Thousand Eighty Only**

E &amp; O.E

HSN/SAC	Taxable Value	Central Tax Rate	Central Tax Amount	State Tax Rate	State Tax Amount	Total Tax Amount
998596	6,000.00	9%	540.00	9%	540.00	1,080.00
Total	6,000.00		540.00		540.00	1,080.00

Tax Amount (in words): **INR One Thousand Eighty Only**

## Remarks

 Being amount recoverable from Shukla Acharjee towards  
 stay charges between 06-09-11/2019 for 3 nights @ Rs.  
 2000 Per night for event ICLF event

Company's PAN : AAATT4778L

## Company's Bank Details

 Bank Name : **State Bank of India**  
 Ac No : **52142908571**  
 Branch & IFS Code: **Darzi Sak Block, Lodi Road, New Delhi & SBIN0010511**  
 for TERI School of Advanced Studies

Customer's Seal and Signature

Prepared by

Verified by

Authorized Signatory

SUBJECT TO DELHI JURISDICTION

This is a Computer Generated Invoice





## Tax Invoice

**TERI School of Advanced Studies**  
Plot No. 10 Institutional Area  
Vasant Kunj New Delhi - 110070  
GSTIN/UIN: 07AAA114778L1ZU  
State Name: Delhi, Code: 07  
E-Mail: dharmraj.singh@terisas.ac.in  
Buyer

**Hiten Padalia**

State Name: Delhi, Code: 07

Invoice No  
**NOV/GST-11**  
Supplier's Ref.  
**Stay**

Dated  
**7-Nov-2019**  
Other Reference(s)

Sl No.	Particulars	HSN/SAC	GST Rate	Amount
1	<b>Receipt From Training, Seminar &amp; Workshop</b> <i>For Stay Charges for the Period From</i> <i>26th Nov to 28th November 2019 ( for 3 Nights )</i> <i>Rs. 2000 Per Night for ICFE Event</i>	998596	18 %	6,000.00
2	<b>Central Goods and Service Tax</b> CGST @ 9%			540.00
3	<b>State Goods and Service Tax</b> SGST @ 9%			540.00
Total				<b>₹ 7,080.00</b>

Amount Chargeable (in words):

**INR Seven Thousand Eighty Only**

E & O.E

HSN/SAC	Taxable Value	Central Tax Rate	Central Tax Amount	State Tax Rate	State Tax Amount	Total Tax Amount
998596	6,000.00	9%	540.00	9%	540.00	1,080.00
Total	6,000.00		540.00		540.00	1,080.00

Tax Amount (in words): **INR One Thousand Eighty Only**

Remarks

Being amount recoverable from Hiten Padalia towards  
stay charges between 26-28/11/19 for 3 nights @ Rs.  
2000 Per night for event ICFE event

Company's PAN: **AAATT4718L**

Company's Bank Details

Bank Name: **State Bank of India**

A/c No.: **52142908571**

Branch & IFSC Code: **Central Sec Bank, Lodhi Road, New Delhi - 5800010001**

Customer's Seal and Signature

for **TERI School of Advanced Studies**

Prepared by

Verified by

Authorized Signatory

SUBJECT TO DELHI JURISDICTION

This is a Computer Generated Invoice

### Tax Invoice

<b>TERI School of Advanced Studies</b> Plot No. 10, Institutional Area, Vasant Kunj, New Delhi - 110070 GSTIN/UIN: 07AAAT14778L1ZU State Name : Delhi, Code : 07 E-Mail : dhanraj.singh@terisas.ac.in Buber <b>START Washington D.C. USA</b> <b>USA</b>	Invoice No.	Dated
	<b>NOV/GST -12</b> Supplier's Ref.	<b>7-Nov-2019</b> Other Reference(s)

S. No.	Particulars	HSN/SAC	GST Rate	Amount
1	<b>Receipt From Training, Seminar &amp; Workshop</b> Tea/Coffee, Lunch and Usage of IT Equipments for 135 Participants @ Rs. 550 Each for 7th November 2019	998598	18 %	<b>74,250.00</b>
2	<b>Receipt From Training, Seminar &amp; Workshop</b> Tea/Coffee, Dinner and Usage of IT Equipments for 70 Participants @ Rs. 550 Each for 07th November 2019	998598	18 %	<b>38,500.00</b>
3	<b>Receipt From Training, Seminar &amp; Workshop</b> Tea/Coffee, Lunch and Usage of IT Equipments for 70 Participants @ Rs. 550 Each for 08th November 2019	998598	18 %	<b>38,500.00</b>
4	<b>Receipt From Training, Seminar &amp; Workshop</b> Tea/Coffee, Lunch And Usage of IT Equipments for 70 Participants @ Rs. 550 Each for 05th November 2019	998598	18 %	<b>38,500.00</b>
5	<b>Receipt From Training, Seminar &amp; Workshop</b> Rental of Auditorium for The Purpose of Event for 3 Days @ Rs. 10000 Per Day From 07/11/2019 to 09/11/2019	998598	18 %	<b>30,000.00</b>
6	<b>Receipt From Training, Seminar &amp; Workshop</b> Banner	998598	18 %	<b>4,000.00</b>

continued ...

SUBJECT TO DELHI JURISDICTION  
This is a Computer Generated Invoice

## Tax Invoice(Page 2)

TERI School of Advanced Studies Plot No. 10, Institutional Area, Vasant Kunj, New Delhi - 110070 GSTIN/UIN: 07AAATT4778L1ZU State Name : Delhi Code : 17 E-Mail : dhanraj.singh@terisas.ac.in Buyer <b>START Washington D.C. USA</b> USA	Invoice No. <b>NOV/GST -12</b>	Dated <b>7-Nov-2019</b>
	Supplier's Ref.	Other Reference(s)

Sl No.	Particulars	HSN/SAC	GST Rate	Amount
7	<b>Receipt From Training, Seminar &amp; Workshop</b> 150 Name Badges @ Rs 33	998599	18 %	<b>4,960.00</b>
8	<b>Receipt From Training, Seminar &amp; Workshop</b> Miscellaneous Activities	998599	18 %	<b>25,000.00</b>
9	<b>State Goods and Service Tax</b> @ 9% on Rs 253706			<b>22,834.00</b>
10	<b>Central Goods and Service Tax</b> @9% ctt 253706			<b>22,834.00</b>
<b>Total</b>				<b>₹ 2,99,378.00</b> <b>E &amp; O.E</b>

Amount Chargeable (in words)

**INR Two Lakh Ninety Nine Thousand Three Hundred Seventy Eight Only**

HSN/SAC	Taxable Value	Central Tax Rate	Central Tax Amount	State Tax Rate	State Tax Amount	Total Tax Amount
998599	2,53,710.00	9%	22,834.00	9%	22,834.00	45,668.00
<b>Total</b>	<b>2,53,710.00</b>		<b>22,834.00</b>		<b>22,834.00</b>	<b>45,668.00</b>

Tax Amount (in words) **INR Forty Five Thousand Six Hundred Sixty Eight Only**

**Remarks**  
Being amount receivable from START Washington D.C.  
USA towards logistics arrangement for International  
Conference on CUEUC and Forestry held during the  
period from 07/11/2019 to 09/11/2019

Company PAN **AAATT4778L**

Company's Bank Details

Bank Name **State Bank of India**A/c No. **52142908571**Branch & IFS Code **Central Sikh Bldg, Lodhi Road New Delhi & SBIN0005511**

Customer's Seal and Signature

for TERI School of Advanced Studies

Prepared by

Verified by

Authorized Signatory

SUBJECT TO DELHI JUR SDICTION

This is a Computer Generated Invoice



## Tax Invoice

<b>TERI School of Advanced Studies</b> Plot No. 10, Institutional Area, Vasant Kunj, New Delhi - 110070 GSTIN/UIN: 07AAATT4778L1ZU State Name: Delhi, Code: 07 Contact: 1111-71800222 E-Mail: dhanraj.singh@terisas.ac.in Buyer <b>Ministry Of Finance, Dept. Of Economic Affairs</b> Dr. Surbhi Jain, Director (IES), Department of Economic Affairs, Ministry of Finance, North Block, New Delhi PAN/TIN No. State Name: Delhi, Code: 07 Place of Supply: Delhi	Invoice No.	Dated
	<b>JAN/GST -6</b>	<b>10-Jan-2020</b>
	Supplier's Ref	Mode/Terms of Payment
	Buyer's Order No	Other Reference(s)
Terms of Delivery		

Sl No	Particulars	HSN/SAC	GST Rate	Amount
1	<b>Receipt From Training, Seminar &amp; Workshop</b> 10 <sup>th</sup> IES Officers For Training Programme Conducted From 16th - 20th December 2019	998596	18 %	<b>2,56,368.00</b>
2	<b>State Goods and Service Tax</b> SGST @ 9%			<b>2,56,368.00</b> <b>23,073.00</b>
3	<b>Central Goods and Service Tax</b> CGST @ 9%			<b>2,79,441.00</b> <b>23,073.00</b>
<b>Bill Details:</b>				
New Ref JAN/GST-6				2,02,514.00 Or
<b>Total</b>				<b>3,02,514.00</b>

Amount Chargeable (in words)

C. &amp; O E

**INR Three Lakh Two Thousand Five Hundred Fourteen Only**

HSN/SAC	Taxable Value	Central Tax Rate	Amount	State Tax Rate	Amount	Total Tax Amount
998596	2,56,368.00	9%	23,073.00	9%	23,073.00	46,146.00
<b>Total</b>	<b>2,56,368.00</b>		<b>23,073.00</b>		<b>23,073.00</b>	<b>46,146.00</b>

Tax Amount (in words): **INR Forty Six Thousand One Hundred Forty Six Only**

## Remarks:

 Being amount towards 10<sup>th</sup> IES Officers for training  
 programme conducted at TERI School Of Advanced  
 Studies, New Delhi from 16th - 20th December 2019
Company's PAN: **AAATT4778L**

## Company's Bank Details

Bank Name: **State Bank of India**A/c No.: **62142905571**Branch & IFS Code: **Dabari Seth Gosh, Connaught Road, New Delhi 110001**

Customer's Seal and Signature

for TERI School of Advanced Studies

3.5.2.155

Authorized Signatory





## Tax Invoice

**TERI School of Advanced Studies**
 Plot No. 10, Institutional Area,  
Vasant Kunj New Delhi - 110070  
GSTIN/UIN: 07AAAT74770L12U  
State Name: Delhi, Code: 07  
Contact: 011-71800222  
E-Mail: dhanraj.singh@terias.ac.in

Buyer

**Development Of Humane Action Foundation**  
1A, Vaidyanathapuram East, Kennel Cross Road,  
Madurai - 625016 Tamil Nadu  
PAN/T No: AAAID2591B  
State Name: Tamil Nadu, Code: 33  
Place of Supply: Tamil Nadu

Invoice No

JAN/GST -7

Dated

28-Jan-2020

Mode/Terms of Payment

Supplier's Ref.

Other Reference(s)

Buyer's Order No.

Dated

Terms of Delivery

Sl No.	Particulars	HSN/SAC	GST Rate	Amount
1	<b>Receipt From Training, Seminar &amp; Workshop</b> Local Travel For 5 Outstation Speakers @ Rs 1,500/- Per Speaker	998596	18 %	7,500.00
2	<b>Receipt From Training, Seminar &amp; Workshop</b> Hall Rent, Administrative Charges, Webpage & Social Media, Participant Mobilisation, Happening Fee	998596	18 %	7,500.00 30,000.00
3	<b>Receipt From Training, Seminar &amp; Workshop</b> Arranging Tea, Snacks And Lunch @ Rs 900/- Per Person For Sixty (60) Persons	998596	18 %	37,500.00 54,000.00
4	<b>Receipt From Training, Seminar &amp; Workshop</b> Resource Fees, Expert Fees, Strategy Paper Design	998596	18 %	91,500.00 50,000.00
5	<b>Receipt From Training, Seminar &amp; Workshop</b> Indirect Expenses & Miscellaneous @ 10%	998596	18 %	1,41,500.00 14,150.00
6	<b>Integrated Goods and Service Tax</b> (GST @ 18%)			1,55,650.00 28,017.00

continued...



## Tax Invoice (Page 2)

**TERI School of Advanced Studies**
 Plot No. 10, Institutional Area,  
Vasant Kunj, New Delhi - 110070  
GSTIN/UIN: 07AAAT14778L1ZU  
State Name: Delhi Code: 07  
Contact: 011 71800222  
E-Mail: ulianraj.singh@terisas.ac.in  
Buyer

**Development Of Humane Action Foundation**  
1A, Vaidyanathapuram East, Kernet Cross Road,  
Madurai - 625016, Tamil Nadu  
PAN/TIN No: AAATD2591B  
State Name: Tamil Nadu, Code: 33  
Place of Supply: Tamil Nadu

 Invoice No  
**JAN/GST -7**

 Dated  
**28-Jan-2020**  
Mode/Terms of Payment

Supplier's Ref.

Other Reference(s)

Buyer's Order No.

Dated

Terms of Delivery

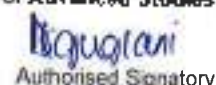
SI No.	Particulars	HSN/SAC	GST Rate	Amount
<b>Bill Details:</b>				
	New Ref JAN/GST-7			1,83,667.00 Dr
<b>Total</b>				
Amount Chargeable (in words):				<b>1,83,667.00</b>
				<b>₹ &amp; O.E</b>
<b>INR One Lakh Eighty Three Thousand Six Hundred Sixty Seven Only</b>				
	HSN/SAC	Taxable Value	Integrated Tax Rate	Total Tax Amount
998596		1,55,650.00	18%	28,017.00
<b>Total</b>		<b>1,55,650.00</b>		<b>28,017.00</b>

Tax Amount (in words): **INR Twenty Eight Thousand Seventeen Only****Remarks:**
 Being amount towards arranging logistics arrangements  
for resource persons for workshop on "Redefining Urban  
Water Space" held on 28.01.2020
Company's PAN: **AAATT4778L****Company's Bank Details**
 Bank Name: **State Bank of India**  
A/c No: **62142908571**  
Branch & IFS Code: **Urban Seth Block, Lodhi Road, New Delhi & SBIN0002511**  
for TERI School of Advanced Studies

Customer's Seal and Signature

3.5.2.157

FOR

  
Authorised Signatory




(Deemed to be University)

(Established under Section 3 of the UGC Act, 1956)  
Accredited by NAACTERI School of  
Advanced Studies  
10, Institutional Area  
Vasant Kunj  
New Delhi - 110 070Tel: 7150 0222  
E-mail: [registrar@terisas.ac.in](mailto:registrar@terisas.ac.in)  
Fax: 2612 1874  
India -91 • Delhi (n) 11  
Web: [www.terisas.ac.in](http://www.terisas.ac.in)

## Bill Of Supply

<b>TERI School of Advanced Studies</b> Plot No. 10, Institutional Area, Vasant Kunj, New Delhi - 110070 GSTIN/UIN: 07AAATT4778L1ZU State Name : Delhi Code : 07 Contact : 011-71800222 E-Mail : <a href="mailto:dhani@terisas.ac.in">dhani@terisas.ac.in</a> Buyer		Invoice No <b>JAN/BOS -2</b>	Dated <b>28-Jan-2020</b> Mode/Terms of Payment
		Supplier's Ref.	Other Reference(s)
		Buyer's Order No	Dated
<b>Development Of Humane Action Foundation</b> 1A, Vaidyanathapuram East, Kennet Cross Road, Madurai - 625016, Tamil Nadu PAN/IT No : AAATD2591B		Terms of Delivery	

Sl No	Particulars	Amount
1	<b>Receipt From Training, Seminar &amp; Workshop</b> Flight Ticket Cost Of Mr. Lokendra Belesana Agt Invoice Number 1000000083178690	8,256.00
2	<b>Receipt From Training, Seminar &amp; Workshop</b> Flight Ticket Cost Of Mr. Lalit Bajara Agt Invoice Number 1000000083172328	8,256.00 10,907.00
3	<b>Receipt From Training, Seminar &amp; Workshop</b> Flight Ticket Cost Of Mr. Prasanna Jangden Agt Invoice Number 1000000083173299	19,163.00 10,907.00
4	<b>Receipt From Training, Seminar &amp; Workshop</b> Flight Ticket Cost Of Ms. Madhulika Chaudhary Agt Invoice Number 1000000083174535	30,070.00 10,867.00
		40,837.00

continued ...



3.5.2.B



(Deemed to be University)

Established under Section 3 of the UGC Act, 1956  
Accredited by NAAC

TERI School of  
Advanced Studies  
10, Institutional Area  
Vasant Kunj  
New Delhi - 110 070

Tel. 7160 0227  
E-mail: registrar@terias.ac.in  
Fax: 2612 1874  
India 491 • Delhi (N) 11  
www.terias.ac.in

## Bill Of Supply (Page 2)

## TERI School of Advanced Studies

Plot No. 10, Institutional Area,  
Vasant Kunj, New Delhi - 110070  
GSTIN/UIN: 07AAATT4778112U  
State Name : Delhi, Code : 07  
Contact : 011 71600222  
E-Mail : ulhanraj.singh@terias.ac.in  
Buyer

Development Of Humane Action Foundation  
1A, Vaidyanathapuram East, Kennet Cross Road,  
Madurai - 625016, Tamil Nadu  
PAN/TI No : AAATD2591B

Invoice No.  
**JAN/BOS -2**

Dated  
**28-Jan-2020**  
Mode/Terms of Payment

Supplier's Ref.

Other Reference(s)

Buyer's Order No.

Dated

Terms of Delivery

Sl No.	Particulars	Amount
5	<b>Receipt From Training, Seminar &amp; Workshop</b> Flight Ticket Cost Of Ms. Mansha Bai Bhargava Agd Invoice Number 1000000002392096	8,844.00

## Bill Details:-

New Ref JAN505-2 49,781.00 Dr

Total **49,781.00**  
₹ & O.E.

Amount Charged (in words)

INR Forty Nine Thousand Seven Hundred Eighty One  
Only

Particulars  
Being amount of flight tickets booked for insurance  
purpose for workshop on "Handling Urban Water  
Scarc" held on 28.01.2020  
Company's PAN : AAATT47781

## Company's Bank Details

Bank Name : State Bank of India  
A/c No. : 52142808571  
Branch & IFSC Code : Dabai Seli Block, Uda Road, New Delhi & SBIN0028511  
for TERI School of Advanced Studies

Customer's Seal and Signature

3.5.2.159

for *Signature*  
Authorised Signatory





## Tax Invoice

## TERI School of Advanced Studies

Plot No. 10, Institutional Area  
Vasant Kunj New Delhi - 110070  
GSTIN/UIN: 07AAATT47781 1ZU  
State Name : Delhi, Code : 07  
Contact : 011-71800222  
E-Mail : dhairaj.singh@terias.ac.in

## Buyer

Development Of Humane Action Foundation  
1A Vaidyanathapuram East, Kennet Cross Road,  
Madurai - 625016, Tamil Nadu  
PAN/T No : AAATD2591B

## Invoice No.

FEB/BOS-3

## Dated

12-Feb-2020

## Mode/Terms of Payment

## Supplier's Ref

## Other Reference(s)

## Buyer's Order No.

## Dated

## Terms of Delivery

Sl No	Particulars	Amount
1	<b>Receipt From Training, Seminar &amp; Workshop</b> Room Charges including Breakfast and Dinner January 27th & 28th 2020 Ms. Madhulika Choudhary	4,000.00
2	<b>Receipt From Training, Seminar &amp; Workshop</b> Room Charges including Breakfast and Dinner January 27th 2020 Mr. Lokendra Balsenia	4,000.00 2,000.00
3	<b>Receipt From Training, Seminar &amp; Workshop</b> Room Charges including Breakfast and Dinner January 27th 2020 Mr. S Praveen Kumar	6,000.00 2,000.00
4	<b>Receipt From Training, Seminar &amp; Workshop</b> Room Charges including Breakfast and Dinner January 27th 2020 Mr. S Emanihi	8,000.00 2,000.00
		10,000.00

continued ...



## Tax Invoice (Page 2)

## TERI School of Advanced Studies

 Plot No. 10, Institutional Area,  
Vasant Kunj, New Delhi - 110070  
GSTIN/UIN: 07AAATT4778L1ZU  
Shop Name: Delhi Code: 07  
Contact: 11-71800222  
E-Mail: dhanraj.singh@terisas.ac.in  
Buyer

 Development Of Humane Action Foundation  
1A, Vaidyanathapuram East, Kennel Cross Road,  
Madurai - 625016 Tamil Nadu  
PAN No AAATD2591B

 Invoice No.  
**FEB/BOS-3**

 Dated  
**12-Feb-2020**  
Mode/Terms of Payment

Supplier's Ref.

Other Reference(s)

Buyer's Order No.

Dated

Terms of Delivery

Sl No.	Particulars	Amount
5	<b>Receipt From Training, Seminar &amp; Workshop</b> Room Charges including Breakfast and Dinner January 24th 2020 Mr. N Venkatarani	2,000.00
6	<b>Receipt From Training, Seminar &amp; Workshop</b> Room Charges including Breakfast and Dinner January 27th 2020 Mr. Laxmi Bhatnagar	12,000.00 2,000.00
7	<b>Receipt From Training, Seminar &amp; Workshop</b> Room Charges including Breakfast and Dinner January 27th 2020 Dr. P Joydeo	14,000.00 2,000.00
8	<b>Receipt From Training, Seminar &amp; Workshop</b> Room Charges including Breakfast and Dinner January 27th and 28th 2020 Dr. Mani Bai Shrivastava	15,000.00 4,000.00

## Bill Details:

New Ref FEBBOS- 20,000.00 Or

 Total ₹ 20,000.00  
+ GST

 Amount in Words  
INR Twenty Thousand Only

## Remarks

 Being amount of accommodation charges for room and  
breakfast for workshop on "Radicalising Urban Water  
Supply" held on 24th, 27th, 28th 2020

Company's PAN: AAATTA778L

## Company's Bank Details

 Bank Name: State Bank of India  
Acc No: 52142908571  
Branch & IFS Code: Connaught Place, Lodhi Road, New Delhi 110025-1  
for TERI School of Advanced Studies

Customer's Seal and Signature

3.5.2.161

 for   
Authorised Signatory


### Tax Invoice

#### TERI School of Advanced Studies

Plot No. 10, Institutional Area  
Vasant Kunj, New Delhi - 110070  
GSTIN/UIN: 07AAAT14778L1ZU  
State Name Delhi, Code 07  
Contact 011-71800222  
E-Mail : dhanraj.singh@terisas.ac.in

Buyer

**Indian Oil Corporation Limited**  
Corporate Office, 5th Floor, Conco-6, Group  
Complex, Lodhi Road, New Delhi  
GSTIN/UIN 07AAAC1681G1ZR  
PAN/TIN No AAAC1081G  
State Name Delhi, Code 07  
Place of Supply Delhi

Invoice No.  
**AUG/GST -11**

Date  
**22-Aug-2019**  
Mode/Terms of Payment

Supplier's Ref.

Other Reference(s)

Buyer's Order No.

Dated

Terms of Delivery

Sl No	Particulars	HSN/SAC	GST Rate	Amount
1	<b>Receipt From Training, Seminar &amp; Workshop</b> <i>Management Development Programme Reporting Sustainability in Business On 20.08.2019 to 21.08.2019 @ Rs 20,000 Per Participant For 20 Participants</i>	998500	18 %	<b>4,00,000.00</b>
2	<b>State Goods and Service Tax</b> SGST @ 9%			<b>36,000.00</b>
3	<b>Central Goods and Service Tax</b> CGST @ 9%			<b>36,000.00</b>
<b>Bill Details:</b> New Ref AUGST-1 4,72,000.00				
<b>Total</b>				<b>4,72,000.00</b> E & C E

Amount Chargeable (in words)

**INR Four Lakh Seventy Two Thousand Only**

HSN/SAC	Taxable Value	Central Tax Rate	Central Tax Amount	State Tax Rate	State Tax Amount	Total Tax Amount
998500	4,00,000.00	9%	36,000.00	9%	36,000.00	72,000.00
<b>Total</b>			<b>36,000.00</b>		<b>36,000.00</b>	<b>72,000.00</b>

Tax Amount (in words) **INR Seventy Two Thousand Only**

Remarks

Being amount recoverable from Indian Oil Corporation Limited towards Participation Fees for MCP Reporting Sustainability in Business on 20.08.2019 to 21.08.2019 for 20 participants @ Rs 20,000 per participant

Company's PAN **AAATT4778L**

Company's Bank Details

Bank Name : State Bank of India

A/c No : 52142908571

Branch & IFS Code : Dabon 3rd Block, Lodhi Road, New Delhi & SBIN0003611  
for TERI School of Advanced Studies

Customer's Seal and Signature

3.5.2.162

  
for Authorised Signatory



## 3.5.2.B.




(Deemed to be University)

Instituted under Section 3 of the UGC Act, 1956  
Accredited by NAAC

TERI School of  
Advanced Studies  
10, Institutional Area  
Vasant Kunj  
New Delhi - 110 070

Tel. 7180 0222  
E-mail registrar@terisds.ac.in  
Fax 2617 2874  
India +91 • Delhi (0) 11  
Web www.terisds.ac.in

TAX INVOICE			
<b>TERI School of Advanced Studies</b> Plot No. 10, Institutional Area Vasant Kunj, New Delhi - 110070 GSTIN/ALN - U744ATT473L12U State Name: Delhi, Code: 07 E-Mail: dharaaj.singh@terisds.ac.in		<b>Invoice No.</b> MAY/GST-5	<b>Dated</b> 24-May-19  <b>Mode/Terms of Payment</b>  <b>Other Reference(s)</b>
<b>Buyer</b> Dr. Lucia Rodriguez, Director Global Master's in Development Practice Secretariat Center for Sustainable Development The Earth Institute at Columbia University 475 Riverside Drive, Suite 1040 New York, New York 10115		<b>Terms of Delivery</b>	
SNO	PARTICULARS	HSN/SAC	AMOUNT (In USD)
<b>RECEIPT FROM TRAINING, SEMINAR AND WORKSHOP</b>			
1	Global MDG Summit for the period from 11th - 15th June 2019	998596	3,114.65
2	CGST @ 9%		280.41
	CGST @ 9%		280.41
<b>TOTAL</b>			<b>3,675.47</b>
Amount Chargeable (in words): <b>USD \$ Three thousand six hundred seventy six and forty seven cents only</b>			
For TERI School of Advanced Studies  (Authorised Signatory) <b>VIKAS PRASAD</b> Accountant TERI School of Advanced Studies 10, Institutional Area, Vasant Kunj New Delhi-110 070			
<b>Mode of Payment</b> Payment may please be remitted either through Demand Draft/Cheque (A/c Payee) drawn in favour of <b>"TERI School of Advanced Studies"</b> payable at New Delhi or through Bank transfer as per bank details given below			
Name of Beneficiary	TERI School of Advanced Studies		
Account Number	52142908571		
Name of the bank	State Bank of India		
Address	Scope Complex, Conna Road, New Delhi - 110003		
Bank IFSC Code	SBIN0003151		
Swift Code	SRIINBBH12		
Please mention the Invoice number and date in the remittance advice			



**TAX INVOICE**

**TERI School of Advanced Studies**

Plot No. 10, Institutional Area,

Vasant Kunj, New Delhi - 110070

GSTIN/UIN : 07AAATT4778L1ZU

State Name : Delhi, Code : 07

E-Mail : dhanraj.singh@terisas.ac.in

**Invoice No.**

**DEC/GST-7**

**Dated**

**21-Dec-20**

Mode/Terms of Payment

Supplier's Ref.

Other Reference(s)

Buyer

Terms of Delivery

**Mr. Vinay Kumar (Manager, JMA)**

**Jamshedpur Management Association**

Room No.18, Centre for Excellence near Jubilee Park,

Jamshedpur, Jharkhand - 831001.

PAN No. - AAEEAJ2108F

GST No. - 20AAEEAJ2108F1ZG

S NO	PARTICULARS	HSN/SAC	GST RATE	AMOUNT (In Rs)
1	Management Development Programme on Sustainable Energy and Environment Transition held during 16th to 18th December 2020	998596	18%	2,50,000
	<b>Total (Excluding Taxes)</b>			<b>2,50,000</b>
	IGST @ 18%			45,000
	<b>Total (Including Taxes)</b>			<b>2,95,000</b>

Amount Chargeable (in words)

**INR Two Lakhs Ninety Five Thousand Only**

for TERI School of Advanced Studies



*K. G. G. G. G.*  
 for (Authorised Signatory)

**Mode of Payment**

Payment may please be remitted either through Demand Draft/Cheque (A/c Payee) drawn in favour of

"TERI School of Advanced Studies" payable at New Delhi or through Bank transfer as per bank details given below

Name of Beneficiary

TERI School of Advanced Studies

Account Number

52142908571

Name of the bank

State Bank of India

Address

Scope Complex, Lodi Road, New Delhi - 110003

Bank IFSC Code

SBIN0020511

**Please mention the Invoice number and date in the remittance advice**